# Boundary Control of flexible marine risers * 

K. D. Do* J. Pan ${ }^{* *}$<br>* School of Mechanical Engineering, The University of Western Australia, 35 Stirling Highway, Crawley, WA 6009, Australia, Tel: +61864883125, Fax: +61864881024, Email: duc@mech.uwa.edu.au<br>** School of Mechanical Engineering, The University of Western Australia, 35 Stirling Highway, Crawley, WA 6009, Australia, Email:<br>pan@mech.uwa.edu.au


#### Abstract

A method to design a boundary controller for global stabilization of threedimensional nonlinear dynamics of flexible marine risers is presented. Equations of motion of the risers are first developed in a vector form. The boundary controller at the top end of the risers is then designed based on Lyapunov's direct method. It is shown that when there are no environmental disturbances, the proposed boundary controller is able to force the riser to be globally exponentially stable at its equilibrium position. When there are environmental disturbances, the riser is stabilized in the neighborhood of its equilibrium position by the proposed boundary controller.


## 1. INTRODUCTION

A typical configuration of an offshore platform is depicted in Figure 1. The riser is considered in this paper as a slender thin walled circular beam because of its large length to diameter ratio. In general, the riser is subject to nonlinear deformation dependent hydrodynamic loads induced by waves, ocean currents, tension exerted at the top, distributed/concentrated buoyancy from attached modules, its own weight, inertia forces and distributed/concentrated torsional couples. Since the riser dynamics is essentially a distributed system and its motion is governed by a set of partial differential equations (PDE) in both time and space variables, modal control and boundary control approaches are often used to control the riser in the literature.
In the modal control approach, see Meirovitch [1997], distributed systems are controlled by controlling their modes. As a result, many concepts developed for lumpedparameter systems in Khalil [2002] can be used for controlling the distributed ones, since both types can be described in terms of modal coordinates. The main difficulty is computation of infinite dimensional gain matrices. This difficulty can be avoided by using the independent modal-space control method, but this method requires a distributed control force, which can be problematic to implement.
The boundary control approach is more practical and efficient than the modal control approach since it excludes the effect of both observation and control spillover phenomenon, and the use of distributed actuators and sensors. Design of boundary controllers for distributed systems has been usually based on functional analysis and semi-group theory, see Chen et al. [2001] and Curtain and Zwart [1995], and the Lyapunov's direct method, see Queiroz et al. [2000] and Junkins and Kim [1993]. Using Lyapunov's direct method, various boundary controllers

[^0]

Fig. 1. A typical riser system.
have been proposed for flexible beam-like systems. In Fung et al. [1999] and Fung and Tseng [1999], asymptotic and exponential stability of an axially moving string is proven by using a linear and nonlinear state feedback boundary control, respectively.

In this paper, we consider a problem of global stabilization of three-dimensional nonlinear flexible marine risers. A set of partial differential equations and boundary conditions describing motion of the risers is presented. Using the Lyapunov's direct method, a boundary controller at the top end of the risers is designed. The environmental disturbances induced by waves, wind and ocean currents are also considered. This paper is a short version of Do and Pan [2007].


Fig. 2. Riser coordinates.

## 2. MATHEMATICAL MODEL AND CONTROL OBJECTIVES

### 2.1 Mathematical Model

In developing the equations of motion of the riser, we make the following assumption:

## Assumption 1:

1) The riser can be modeled as a beam rather than a shell since the diameter-to-length of the riser is small, i.e. we consider the riser as a slender structure.
2) Plane sections remain plane after deformation, i.e. warping is neglected.
3) The riser is locally stiff, i.e. cross sections do not deform and Poisson effect is neglected.
4) The riser material is homogeneous, isotropic and linearly elastic, i.e. it obeys Hookes's law.
5) The riser is initially straight and vertical.
6) Torsional and distributed moments induced by environmental disturbances are neglected.

Remark 1. Items 1) - 4) mean that the riser will be modeled as a Bernoulli-type of beam and not a Timoshenkotype, and that the extension of the riser axis small. Bernoulli-Euler models are satisfactory for modeling low frequency vibrations of beams. Item 5) generally holds in practice, and is made to simplify the development of the mathematical model and boundary controller. This item can be readily removed. Item 6) implies that we consider fluid/gas transportation risers rather than drilling risers, and that moment induced by the asymmetry of the relative flow due to vortex shedding is ignored.

Preliminaries The riser coordinates are presented in Figure 2. In this figure, we have two coordinate systems. The earth-fixed system is $(O X Y Z)$, where $O$ is the bottom ball-joint of the riser, and the $O Z$ axis is along the initial riser. Let $r^{0}\left(s_{0}, t_{0}\right)=\left[x_{0}, y_{0}, z_{0}\right]$ be the position vector of the point $P_{0}$ of the initial riser centerline at the time $t_{0}$ and the arc length $s_{0}$ from the point $O$. Hence at the time $t>t_{0}$, the point $P_{0}$ moves to the point $P$ of the deformed riser centerline, whose position is denoted by $r(s, t)=$ $[x(s, t), y(s, t), z(s, t)]$ at the arc length $s$ from the point $O$. Moreover, let $\left.w(s, t)=\left[w_{x}(s, t), w_{y}(s, t), w_{z}(s, t)\right)\right]^{T}$ be the vector from the point $P_{0}$ to the point $P$. Then we have

$$
\begin{equation*}
r=r^{0}+w \tag{1}
\end{equation*}
$$

where from now onward whenever it is not confusing, we drop the arguments $(t, s)$ and $\left(t_{0}, s_{0}\right)$ of $r, w$ and $r^{0}$, respectively for clarity. The body-fixed system is $(\hat{t}, \hat{n}, \hat{b})$, whose axes are the tangent, principal normal and binormal and unit vectors. These vectors can be expressed in terms of the fixed system as

$$
\begin{equation*}
\hat{t}=r_{s}, \quad \hat{n}=\hat{t}_{s} / \kappa, \quad \hat{b}=\hat{t} \times \hat{n} \tag{2}
\end{equation*}
$$

where the subscript $s$ denotes the partial derivative with respect to the arc-length $s$, and $\kappa$ is curvature of the riser center line at $s$ depicting the rate of change of the orientation of the normal plane ( $\hat{n}, \hat{b}$ ) defined by $\kappa=\left\|r_{s s}\right\|$. The above definition of the body-fixed coordinate system means that $(\hat{t}, \hat{n}, \hat{b})$ form a right handed orthonormal triad. The derivatives of the unit body-fixed vectors are given by the well-known Frenet-Serret relations:

$$
\begin{equation*}
\hat{n}_{s}=\tau \hat{b}-\kappa \hat{t}, \quad \hat{b}_{s}=-\tau \hat{n}, \quad \hat{t}_{s}=\kappa \hat{n} \tag{3}
\end{equation*}
$$

where $\tau$ is the geometric torsion of the riser centerline depicting the rate of change of the orientation of the osculating plane $(\hat{n}, \hat{t})$ defined by $\tau=r_{s} .\left(r_{s s} \times r_{s s s}\right) / \kappa^{2}$. Now from the right hand side sub-figure of Figure 2, balancing the forces and moments on a component $d s$ of the deformed riser results in

$$
\begin{align*}
& m_{o} w_{t t}=F_{s}+q \\
& J \omega_{t}=M_{s}+\hat{t} \times F+m \tag{4}
\end{align*}
$$

where from now onward, we use the subscript $t$ to denote the partial derivative with respect to the time $t, m_{o}=\rho A$ is the oscillating mass of the riser per unit length with $A$ being the riser cross section area, and $\rho$ being the density of the riser, $J=\rho I$ with $I$ being the second moment of the riser cross section area about the $\hat{b}$ axis, $F$ and $M$ are internal force and moment vectors, $q$ and $m$ are the external distributed force and moment vectors, and $\omega_{t}=\hat{n} \times \hat{n}_{t t}+\hat{b} \times \hat{b}_{t t}$ is the angular acceleration of a point on the centerline. The distributed moment vector $m$ is induced by the asymmetry of the relative flow due to vortex shedding. Let $\left(F_{\hat{t}}, F_{\hat{n}}, F_{\hat{b}}\right)$ and $\left(M_{\hat{t}}, M_{\hat{n}}, M_{\hat{b}}\right)$ be the components of $F$ and $M$ along the $\hat{t}, \hat{n}, \hat{b}$ axes of the body-fixed system, respectively. We then can write $F$ and $M$ as

$$
\begin{align*}
& F=F_{\hat{t}} \hat{t}+F_{\hat{n}} \hat{n}+F_{\hat{b}} \hat{b} \\
& M=M_{\hat{t}} \hat{t}+M_{\hat{n}} \hat{n}+M_{\hat{b}} \hat{b} \tag{5}
\end{align*}
$$

Since the riser is assumed to be straight at the initial time $t_{0}$, we have the following constitutive relations, see Love [1920] and Bernitsas [1982]:

$$
\begin{align*}
& F_{\hat{t}}=E A \epsilon+T_{0}+\rho_{w} g \frac{\pi D_{o}^{2}}{4}\left(H_{w}-z\right)-\rho_{m} g \frac{\pi D_{i}^{2}}{4}\left(H_{m}\right. \\
& -z), \quad M_{\hat{b}}=B \kappa, \quad M_{\hat{n}}=0, \quad M_{\hat{t}}=G \tau+H \tag{6}
\end{align*}
$$

where $E$ is Young's modulus, $T_{0}$ is the initial tension in the riser; $H_{w}$ and $H_{m}$ are the vertical coordinates of the free surface of the water and mud, respectively; $\rho_{w}$ and $\rho_{m}$ are the density of the water and mud, respectively; $D_{o}$ and $D_{i}$ are the external and internal diameters of the riser; $z$ is the
vertical coordinate of the point $P ; B=E I$ is the bending rigidity of the riser; $H$ is the initial torsional moment around the $\hat{t}$ axis; $G=2 \mu I$ is the torsional rigidity of the riser with $\mu$ being the shear modulus, $\epsilon$ is the extension of the riser centerline given by Dill [1992]

$$
\begin{equation*}
\epsilon=\frac{d s}{d s_{0}}-1=\sqrt{\frac{d r}{d s_{0}} \cdot \frac{d r}{d s_{0}}}-1 \tag{7}
\end{equation*}
$$

It is noted that since we assumed that extension of the riser centerline is small and the riser centerline is stretched, hence $0 \leq \epsilon \leq 1$. The case where $\epsilon=0$ corresponds to an inextensible riser. Moreover, $F_{\hat{t}}$ in (6) is referred to as the effective tension, while the actual tension is $E A \epsilon$.

Remark 2. In Bernitsas [1982], the constitutive equation for the moment in the normal direction, $M_{\hat{n}}$, is misgiven, since $M_{\hat{n}}$ is always zero for the riser under consideration.

Equations of motion From (5) and the second equation of (6), we have

$$
M_{s}=(B \kappa \hat{b})_{s}+(\bar{H} \hat{t})_{s}=\hat{t} \times\left((B \kappa \hat{n})_{s}-\bar{H} \kappa \hat{b}\right)+\bar{H}_{s} \hat{t}(8)
$$

where $\bar{H}=H+G \tau$. Now substituting (8) into the second equation of (4) results in

$$
\begin{equation*}
J \omega_{t}=\hat{t} \times\left((B \kappa \hat{n})_{s}-\bar{H} \kappa \hat{b}+F\right)+\bar{H}_{s} \hat{t}+m \tag{9}
\end{equation*}
$$

Now producting vector both sides of (9) with $\hat{t}$ gives

$$
\begin{align*}
& \hat{t} \cdot\left(J \omega_{t}\right)=\hat{t} \cdot\left(\hat{t} \times\left((B \kappa \hat{n})_{s}-\bar{H} \kappa \hat{b}+F\right)\right)+\bar{H}_{s} \cdot \hat{t} \cdot \hat{t}+m \cdot \hat{t} \\
& \Rightarrow \quad r_{s} \cdot\left(J \omega_{t}\right)=\bar{H}_{s}+m \cdot r_{s} \tag{10}
\end{align*}
$$

where we have used the definition of $\hat{t}$ in (2). On the other hand, vectoring both sides of (9) with $\hat{t}$ gives

$$
\begin{align*}
\hat{t} \times\left(J \omega_{t}\right)= & \left.\hat{t} \times\left(\hat{t} \times(B \kappa \hat{n})_{s}\right)-\hat{t} \times(\hat{t} \times \bar{H} \kappa \hat{b})\right)+ \\
& \hat{t} \times(\hat{t} \times F)+\hat{t} \times\left(\bar{H}_{s} \hat{t}\right)+\hat{t} \times m . \tag{11}
\end{align*}
$$

Let us calculate the first three terms of the right hand side of (11) using the definitions of $\hat{t}, \hat{n}$ and $\hat{b}$ in (2) as follows:

$$
\begin{align*}
\hat{t} \times\left(\hat{t} \times(B \kappa \hat{n})_{s}\right) & =-\left(B r_{s s}\right)_{s}-B \kappa^{2} r_{s} \\
\hat{t} \times(\hat{t} \times \bar{H} \kappa \hat{b})) & =-\bar{H} r_{s} \times r_{s s} \\
\hat{t} \times(\hat{t} \times F) & =-F+\left(F . r_{s}\right) r_{s} \tag{12}
\end{align*}
$$

Substituting (12) into (11) gives

$$
\begin{align*}
r_{s} \times\left(J \omega_{t}\right) & =-\left(B r_{s s}\right)_{s}-B \kappa^{2} r_{s}+\bar{H} r_{s} \times r_{s s}-F \\
& +\left(F . r_{s}\right) r_{s}+r_{s} \times m \tag{13}
\end{align*}
$$

Now substituting $F$ from (13) into the first equation of (6) and combining the second equation of (10) result in the equations of motion of the riser as follows:

$$
\begin{align*}
m_{o} w_{t t} & \left.=-\left(B r_{s s}\right)_{s s}+\left(F_{\hat{t}}-B \kappa^{2}\right) r_{s}\right)_{s} \\
& +\left(\bar{H} r_{s} \times r_{s s}\right)_{s}+\left(r_{s} \times m\right)_{s}-\left(r_{s} \times\left(J \omega_{t}\right)\right)_{s}+q \\
r_{s} .\left(J \omega_{t}\right) & =\bar{H}_{s}+m \cdot r_{s} . \tag{14}
\end{align*}
$$

It is noted that we have assumed the torsional moment $\bar{H}$ and the distributed moment $m$ are negligible, and that the riser has a constant cross section. Furthermore, since the riser is initially straight, we have $r_{s s}=w_{s s}, r_{s s s s}=w_{s s s s}$
and $w_{s}=r_{s}-r_{s}^{0}$ where we take $s \simeq s_{0}$ due to the small extension assumption, see Dill [1992]. With these in mind, we now have the equations of motion of the riser from (14) for the boundary control design in the next section:

$$
\begin{align*}
m_{o} w_{t t} & =-B w_{s s s s}+\left(F_{\hat{t}}-B \kappa^{2}\right)_{s}\left(w_{s}+r_{s}^{0}\right)+ \\
& \left(F_{\hat{t}}-B \kappa^{2}\right) w_{s s}+q, \quad \kappa=\left\|w_{s s}\right\| \tag{15}
\end{align*}
$$

Initial and boundary conditions The initial conditions of the riser consist of the initial position and velocity functions. They are

$$
\begin{equation*}
w\left(s, t_{0}\right)=g_{1}(s), \quad w_{t}\left(s, t_{0}\right)=g_{2}(s), \quad \forall s \in(0, L) \tag{16}
\end{equation*}
$$

where $g_{1}(s)$ and $g_{2}(s)$ are sufficiently smooth and bounded function vectors of $s$, and compatible with the boundary conditions. Next, we will apply Hamilton's principle to derive the boundary conditions for the riser under consideration. We first provide the kinetic and potential energies, then use the first variation of the Lagrangian of the system to derive the boundary conditions. As such, the kinetic energy $K_{E}$ and the potential energy $P_{E}$ of the riser with a length of $L$ are

$$
\begin{align*}
K_{E} & =\frac{1}{2} \int_{0}^{L} m_{o} r_{t} \cdot r_{t} d s \\
P_{E} & =\frac{1}{2} \int_{0}^{L} B r_{s s} \cdot r_{s s} d s-\int_{0}^{L} q r d s+F(0) r(0) \\
& -F(L) r(L)+M(0) r_{s}(0)-M(L) r_{s}(L) \tag{17}
\end{align*}
$$

where we have used $r_{t}=w_{t}$ and $r_{s s}=w_{s s}$. The Lagrangian $L_{A}$ of the riser is

$$
\begin{equation*}
L_{A}=\int_{t_{1}}^{t_{2}}\left(K_{E}-P_{E}\right) d t \tag{18}
\end{equation*}
$$

where $t_{1}$ and $t_{2}$ denote time. Moreover, the riser response must satisfy the kinetic constraint of the unit tangent vector $\hat{t}$. In terms of deformation, this constraint is

$$
\begin{equation*}
r_{s} \cdot r_{s}=1 \tag{19}
\end{equation*}
$$

The above constraint is applied along the riser by modifying the Lagrangian of the riser and by embedding a continuous multiplies $\left(F_{\hat{t}}-B \kappa^{2}\right) / 2$. As such, the modified Lagrangian $L_{M A}$ is
$L_{M A}=\int_{t_{1}}^{t_{2}}\left[K_{E}-P_{E}+\frac{\left(F_{\hat{t}}-B \kappa^{2}\right)}{2} \int_{0}^{L}\left(r_{s} . r_{s}-1\right) d s\right] d t$.
Including the term $\int_{t_{1}}^{t_{2}}\left[\frac{\left(F_{t}-B \kappa^{2}\right)}{2} \int_{0}^{L}\left(r_{s} . r_{s}-1\right) d s\right] d t$ in the modified Lagrangian physically means that the modified Lagrangian takes the contribution of the axial deformation into account in the potential energy. From (20), the first variation of $L_{M A}$ is given by

$$
\begin{gather*}
\delta L_{M A}=\int_{t_{1}}^{t_{2}} \int_{0}^{L}\left[-\left(B r_{s s}\right)_{s s}+\left(\left(F_{\hat{t}}-B \kappa^{2}\right) r_{s}\right)_{s}+q\right. \\
\left.-m_{o} r_{t t}\right] \delta r d s d t+\left.\int_{t_{1}}^{t_{2}}\left(r_{s} \times M-B r_{s s}\right) \delta r_{s}\right|_{0} ^{L} d t \\
\quad+\left.\int_{t_{1}}^{t_{2}}\left(-\left(B r_{s s}\right)_{s}+\left(F_{\hat{t}}-B \kappa^{2}\right) r_{s}-F\right) \delta r\right|_{0} ^{L} d t \tag{21}
\end{gather*}
$$

Since $\delta r$ is arbitrary over the domain $0<s<L$, letting $\delta L_{M A}=0$ results in

$$
\begin{gather*}
-\left(B r_{s s}\right)_{s s}+\left(\left(F_{\hat{t}}-B \kappa^{2}\right) r_{s}\right)_{s}+q-m_{o} r_{t t}=0 \\
\forall s \in[0, L], t \in \mathbb{R}^{+} \tag{22}
\end{gather*}
$$

and

$$
\begin{align*}
& r_{s} \times M-B r_{s s}=0 \\
& \text { or } r_{s}=0 \text { at } s=0 \text { and } s=L \forall t \in \mathbb{R}^{+} \tag{23}
\end{align*}
$$

and

$$
\begin{align*}
& -\left(B r_{s s}\right)_{s}+\left(F_{\hat{t}}-B \kappa^{2}\right) r_{s}-F=0 \\
& \text { or } r=0 \text { at } s=0 \text { and } s=L \forall t \in \mathbb{R}^{+} \tag{24}
\end{align*}
$$

The equation (22) is exactly the same as (15). The equations (23) and (24) specify the boundary conditions of the riser at top and bottom ends. Choosing proper conditions from (23) and (24) depends on the riser configuration. For the riser considered in this paper, ball joints at both ends imply that the moments acting at both ends are zero, i.e. $M(L, t)=M(0, t)=0$, and the force vector $U(t)$ as the boundary control inputs at the top end. With this observation in mind, the boundary conditions (23) and (24) for the riser considered in this paper become:

$$
\begin{align*}
& w_{s s}(0, t)=0, \quad w_{s s}(L, t)=0, \quad w(0, t)=0 \\
& -B w_{s s s}(L, t)+F_{\hat{t}}(L, t)\left[w_{s}(L, t)+r_{s}^{0}(L)\right]=F(L, t) \\
& :=U(t) \tag{25}
\end{align*}
$$

where $F_{\hat{t}}(L, t)$ is calculated from (6) as follows:

$$
\begin{align*}
F_{\hat{t}}(L, t)= & E A \epsilon(L, t)+T_{0}+\rho_{w} g \frac{\pi D_{o}^{2}}{4}\left(H_{w}-z(L, t)\right) \\
& -\rho_{m} g \frac{\pi D_{i}^{2}}{4}\left(H_{m}-z(L, t)\right) \tag{26}
\end{align*}
$$

Environmental disturbance vector $q$ The external disturbance vector $q$ per unit length consists of fluid drag force, any concentrated forces exerted on the riser by attached cables and/or buoys modeled by dirac distributions, and effective riser weight defined as the weight of the riser plus contents in water. It is noted that the effective rather than the actual riser weight is used because the effective tension is used instead of the actual tension. In this paper, we do not consider cables or buoys attached to the riser. The fluid drag force is found by the use of a generalization of Morison's formula to account for cylinders, which are not oriented normal to the relative flow Borgman [1958]. Taking the effective riser weight into account, we have $q(s, t)=\hat{t} \times\left(W_{r e} \times \hat{t}\right)+\frac{\rho_{w} C_{L D} D_{H} V_{n}}{2}+\frac{\rho_{w} C_{N D} D_{H}\left\|V_{n}\right\| V_{n}}{2}$
where $C_{L D}$ and $C_{N D}$ are the linear and nonlinear drag coefficients, respectively; $D_{H}$ is the local riser hydrodynamic diameter; $W_{r e}=-\left[\begin{array}{lll}0 & 0 & w_{r e}\end{array}\right]^{T}$ with $w_{r e}$ is the effective riser weight per unit length; $V_{n}$ is the component of the relative flow velocity normal to the riser centerline. Letting $V$ be the (bounded) liquid flow velocity due to waves and currents. Then taking the riser motion into account, the relative flow velocity normal to the riser centerline, $V_{n}$, is given by

$$
\begin{equation*}
V_{n}=\hat{t} \times\left(\left(V-w_{t}\right) \times \hat{t}\right)=\left(I_{3 \times 3}-r_{s} r_{s}^{T}\right)\left(V-w_{t}\right) \tag{28}
\end{equation*}
$$

where $I_{3 \times 3}$ is the three dimensional identity matrix. Substituting (28) into (27) results in the equation for external disturbance vector $q$ as follows:

$$
\begin{align*}
q(s, t)= & \left(I_{3 \times 3}-r_{s} r_{s}^{T}\right) W_{r e}+\frac{1}{2} \rho_{w} C_{L D} D_{H}\left(I_{3 \times 3}\right. \\
& \left.-r_{s} r_{s}^{T}\right)\left(V-w_{t}\right)+\frac{1}{2} \rho_{w} C_{N D} D_{H} \|\left(I_{3 \times 3}\right. \\
& \left.-r_{s} r_{s}^{T}\right)\left(V-w_{t}\right) \|\left(I_{3 \times 3}-r_{s} r_{s}^{T}\right)\left(V-w_{t}\right) \tag{29}
\end{align*}
$$

### 2.2 Control objectives

Under Assumption 1, design the boundary control $U(t)$ for the riser dynamics given by (15) subject to the boundary conditions given by (25) to globally stabilize the riser at its vertical position, i.e. finding the boundary control $U(t)$ of the form $U(t)=\Omega\left(w_{s}(L, t), w_{t}(L, t)\right)$ such that:
(1) when the external disturbance vector q is ignored, all the terms $\|w(s, t)\|, \int_{0}^{L} w_{s}(s, t) \cdot w_{s}(s, t) d s$,

$$
\int_{0}^{L} w_{t}(s, t) \cdot w_{t}(s, t) d s \text { and } \int_{0}^{L} w_{s s}(s, t) \cdot w_{s s}(s, t) d s \text { ex- }
$$ ponentially converge to zero for all $s \in[0, L]$ and $t \geq t_{0}$

(2) when the external disturbance vector q is present, all the terms $\|w(s, t)\|, \int_{0}^{L} w_{s}(s, t) \cdot w_{s}(s, t) d s$,

$$
\int_{0}^{L} w_{t}(s, t) \cdot w_{t}(s, t) d s \text { and } \int_{0}^{L} w_{s s}(s, t) \cdot w_{s s}(s, t) d s \text { ex- }
$$ ponentially converge to some small positive constants for all $s \in[0, L]$ and $t \geq t_{0}$.

## 3. BOUNDARY CONTROL DESIGN

Consider the following Lyapunov function candidate

$$
\begin{align*}
W= & \frac{m_{o}}{2} \int_{0}^{L} w_{t} \cdot w_{t} d s+\frac{B}{2} \int_{0}^{L} w_{s s} \cdot w_{s s} d s+ \\
& \frac{\lambda}{2} \int_{0}^{L} w_{s} \cdot w_{s} d s+\alpha \int_{0}^{L} s w_{t} \cdot w_{s} d s \tag{30}
\end{align*}
$$

where $\lambda$ and $\alpha$ are positive constants to be specified later. Since for all $t \geq t_{0}$

$$
\begin{gather*}
-L \rho_{0} \int_{0}^{L} w_{t} \cdot w_{t} d s-\frac{L}{4 \rho_{0}} \int_{0}^{L} w_{s} \cdot w_{s} d s \leq \int_{0}^{L} s w_{t} \cdot w_{s} d s \\
\quad \leq L \rho_{0} \int_{0}^{L} w_{t} \cdot w_{t} d s+\frac{L}{4 \rho_{0}} \int_{0}^{L} w_{s} \cdot w_{s} d s \tag{31}
\end{gather*}
$$

where $\rho_{0}$ is a positive constant, the function $W$ satisfies

$$
\begin{align*}
W \geq & \left(\frac{m_{o}}{2}-\alpha L \rho_{0}\right) \int_{0}^{L} w_{t} \cdot w_{t} d s+\frac{B}{2} \int_{0}^{L} w_{s s} \cdot w_{s s} d s \\
& +\left(\frac{\lambda}{2}-\frac{\alpha L}{4 \rho_{0}}\right) \int_{0}^{L} w_{s} \cdot w_{s} d s \\
W \leq & \left(\frac{m_{o}}{2}+\alpha L \rho_{0}\right) \int_{0}^{L} w_{t} \cdot w_{t} d s+\frac{B}{2} \int_{0}^{L} w_{s s} \cdot w_{s s} d s \\
& +\left(\frac{\lambda}{2}+\frac{\alpha L}{4 \rho_{0}}\right) \int_{0}^{L} w_{s} \cdot w_{s} d s \tag{32}
\end{align*}
$$

Hence if we choose $\lambda, \alpha$ and $\rho_{0}$ such that

$$
\begin{equation*}
\frac{m_{o}}{2}-\alpha L \rho_{0}=c_{1}, \quad \frac{\lambda}{2}-\frac{\alpha L}{4 \rho_{0}}=c_{2} \tag{33}
\end{equation*}
$$

where $c_{1}$ and $c_{2}$ are strictly positive constants, then the function $W$ defined in (30) is a proper function of
$\int_{0}^{L} w_{t} \cdot w_{t} d s, \int_{0}^{L} w_{s s} \cdot w_{s s} d s$, and $\int_{0}^{L} w_{s} . w_{s} d s$. We do not detail the conditions (33) at the moment, but deal with them after the boundary control $U(t)$ is designed since the constants $\lambda, \alpha$ and $\rho_{0}$ need to satisfy some more conditions later. Differentiating both sides of (30) with respect to the time $t$, along the solutions of the riser dynamics (15) results in

$$
\begin{equation*}
\dot{W}=\Delta_{1}+\Delta_{2} \tag{34}
\end{equation*}
$$

where

$$
\begin{align*}
\Delta_{1}= & \int_{0}^{L} w_{t} \cdot\left(-B w_{s s s s}+\left(F_{\hat{t}}-B \kappa^{2}\right)_{s}\left(w_{s}+r_{s}^{0}\right)\right. \\
& \left.+\left(F_{\hat{t}}-B \kappa^{2}\right) w_{s s}+q\right) d s+B \int_{0}^{L} w_{s s} \cdot w_{s s t} d s \\
& +\lambda \int_{0}^{L} w_{s} \cdot w_{s t} d s+\alpha \int_{0}^{L} s w_{t} \cdot w_{t s} d s \\
\Delta_{2}= & \frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot\left(-B w_{s s s s}+\left(F_{\hat{t}}-B \kappa^{2}\right)_{s}\left(w_{s}+r_{s}^{0}\right)\right. \\
& \left.+\left(F_{\hat{t}}-B \kappa^{2}\right) w_{s s}+q\right) d s \tag{35}
\end{align*}
$$

Using integration by part rules, we have

$$
\begin{aligned}
\Delta_{1} & =-B\left(\left.w_{s s s} \cdot w_{t}\right|_{0} ^{L}-\left.w_{s s} \cdot w_{s t}\right|_{0} ^{L}\right) \\
& +\left.\left(F_{\hat{t}}-B \kappa^{2}\right)\left(w_{s}+r_{s}^{0}\right) \cdot w_{t}\right|_{0} ^{L}- \\
& \int_{0}^{L}\left(F_{\hat{t}}-B \kappa^{2}\right)\left(w_{s s} \cdot w_{t}+\left(w_{s}+r_{s}^{0}\right) \cdot w_{s t}\right) \\
& +\int_{0}^{L}\left(F_{\hat{t}}-B \kappa^{2}\right) w_{s s} \cdot w_{t} d s+\int_{0}^{L} w_{t} \cdot q d s+\left.\lambda w_{s} \cdot w_{t}\right|_{0} ^{L} \\
& -\lambda \int_{0}^{L} w_{s s} \cdot w_{t} d s+\left.\frac{\alpha}{2} s w_{t} \cdot w_{t}\right|_{0} ^{L}-\frac{\alpha}{2} \int_{0}^{L} w_{t} \cdot w_{t} d s \cdot(36)
\end{aligned}
$$

Since $r_{s} \cdot r_{s}=1$, we have $\left(w_{s}+r_{s}^{0}\right) \cdot w_{s t}=0$, which is substituted into (36) to yield

$$
\begin{align*}
\Delta_{1} & =-B\left(\left.w_{s s s} \cdot w_{t}\right|_{0} ^{L}-\left.w_{s s} \cdot w_{s t}\right|_{0} ^{L}\right)+\left(F_{\hat{t}}-B \kappa^{2}\right)\left(w_{s}\right. \\
& \left.+r_{s}^{0}\right)\left.\cdot w_{t}\right|_{0} ^{L}+\left.\lambda w_{s} \cdot w_{t}\right|_{0} ^{L}+\left.\frac{\alpha}{2} s w_{t} \cdot w_{t}\right|_{0} ^{L}+ \\
& \int_{0}^{L} w_{t} \cdot q d s-\lambda \int_{0}^{L} w_{s s} \cdot w_{t} d s-\frac{\alpha}{2} \int_{0}^{L} w_{t} \cdot w_{t} d s . \tag{37}
\end{align*}
$$

We now focus on the term $\Delta_{2}$. Expanding this term gives

$$
\begin{equation*}
\Delta_{2}=\Delta_{21}+\Delta_{22}+\frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot q d s \tag{38}
\end{equation*}
$$

with

$$
\begin{aligned}
\Delta_{21}= & -\frac{\alpha B}{m_{o}} \int_{0}^{L} s w_{s} \cdot w_{s s s s} d s \\
\Delta_{22}= & \frac{\alpha}{m_{o}} \int_{0}^{L}\left(F_{\hat{t}}-B \kappa^{2}\right)_{s}\left(w_{s}+r_{s}^{0}\right) d s \\
& +\frac{\alpha}{m_{o}} \int_{0}^{L}\left(F_{\hat{t}}-B \kappa^{2}\right) w_{s s} d s
\end{aligned}
$$

Using integration by part rules, we can calculate the term $\Delta_{21}$ as

$$
\begin{align*}
\Delta_{21}= & -\left.\frac{\alpha B}{m_{o}} s w_{s} \cdot w_{s s s}\right|_{0} ^{L}+\left.\frac{\alpha B}{2 m_{o}} s w_{s s} \cdot w_{s s}\right|_{0} ^{L} \\
& +\left.\frac{\alpha B}{m_{o}} w_{s} \cdot w_{s s}\right|_{0} ^{L}-\frac{3 \alpha B}{2 m_{o}} \int_{0}^{L} w_{s s} \cdot w_{s s} d s . \tag{39}
\end{align*}
$$

Similarly, the term $\Delta_{22}$ is calculated as

$$
\begin{align*}
& \Delta_{22}=\left.\frac{\alpha}{m_{o}}\left(F_{\hat{t}}-B \kappa^{2}\right) s w_{s} \cdot\left(w_{s}+r_{s}^{0}\right)\right|_{0} ^{L} \\
& \quad-\frac{\alpha}{2 m_{o}} \int_{0}^{L} F_{\hat{t}} w_{s} \cdot w_{s} d s-\frac{\alpha}{2 m_{o}} \int_{0}^{L} F_{\hat{t}}\left(1-r_{s}^{0} \cdot r_{s}^{0}\right) d s \\
& \quad+\frac{\alpha B}{m_{o}} \int_{0}^{L} w_{s s} \cdot w_{s s} w_{s} \cdot\left(w_{s}+r_{s}^{0}\right) d s \tag{40}
\end{align*}
$$

where we have used $\left(w_{s}+r_{s}^{0}\right) \cdot w_{s s}=0$ and $w_{s} \cdot w_{s}+2 r_{s}^{0} \cdot w_{s}+$ $r_{s}^{0} \cdot r_{s}^{0}=1$ since $r_{s} \cdot r_{s}=1$ and $r_{s s}^{0}=0$ due to the riser is initially straight. Now substituting (40) and (39) into (38), then substituting (38) and (37) into (34) results in

$$
\begin{align*}
\dot{W}= & -B\left(\left.w_{s s s} \cdot w_{t}\right|_{0} ^{L}-\left.w_{s s} \cdot w_{s t}\right|_{0} ^{L}\right)+\left(F_{\hat{t}}-B \kappa^{2}\right)\left(w_{s}\right. \\
& \left.+r_{s}^{0}\right)\left.\cdot w_{t}\right|_{0} ^{L}+\left.\lambda w_{s} \cdot w_{t}\right|_{0} ^{L}+\left.\frac{\alpha}{2} s w_{t} \cdot w_{t}\right|_{0} ^{L} \\
& -\left.\frac{\alpha B}{m_{o}} s w_{s} \cdot w_{s s s}\right|_{0} ^{L}+\left.\frac{\alpha B}{2 m_{o}} s w_{s s} \cdot w_{s s}\right|_{0} ^{L} \\
& +\left.\frac{\alpha B}{m_{o}} w_{s} \cdot w_{s s}\right|_{0} ^{L}+\left.\frac{\alpha}{m_{o}}\left(F_{\hat{t}}-B \kappa^{2}\right) s w_{s} \cdot\left(w_{s}+r_{s}^{0}\right)\right|_{0} ^{L} \\
& -\lambda \int_{0}^{L} w_{s s} \cdot w_{t} d s-\frac{\alpha}{2} \int_{0}^{L} w_{t} \cdot w_{t} d s \\
& -\frac{3 \alpha B}{2 m_{o}} \int_{0}^{L} w_{s s} \cdot w_{s s} d s-\frac{\alpha}{2 m_{o}} \int_{0}^{L} F_{\hat{t}} w_{s} \cdot w_{s} d s  \tag{41}\\
& -\frac{\alpha}{2 m_{o}} \int_{0}^{L} F_{\hat{t}}\left(1-r_{s}^{0} \cdot r_{s}^{0}\right) d s+\frac{\alpha B}{m_{o}} \int_{0}^{L} w_{s s} \cdot w_{s s} \\
& \times w_{s} \cdot\left(w_{s}+r_{s}^{0}\right) d s+\int_{0}^{L} w_{t} \cdot q d s+\frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot q d s .
\end{align*}
$$

Before going further, we find maximum and minimum values of $F_{\hat{t}}$, and maximum value of $w_{s} \cdot\left(w_{s}+r_{s}^{0}\right)$ and $r_{s}^{0} \cdot r_{s}^{0}$. From (6), we have

$$
\begin{equation*}
F_{\hat{t}} \leq F_{\hat{t}}^{\max }, \quad F_{\hat{t}} \geq F_{\hat{t}}^{\min } \tag{42}
\end{equation*}
$$

where we have used $0 \leq \epsilon(s, t) \leq 1$ and $0 \leq z(s, t) \leq L$ for all $s \in[0, L]$ and $t \geq t_{0} \geq 0$. On the other hand, from (7) we have

$$
\begin{equation*}
w_{s} \cdot\left(r_{s}^{0}+w_{s}\right)=\leq 1, \quad r_{s}^{0} \cdot r_{s}^{0}=\leq 1 \tag{43}
\end{equation*}
$$

where we have used the fact that the angle $\theta$ between the vectors $r$ and $r^{0}$ is in the range $[-\pi / 2,+\pi / 2]$ due to the initial straight and vertical position of the riser. Using (42) and (43), and $F_{\hat{t}}^{\text {min }}>0$, which holds when $T_{0}$ is sufficiently large, i.e.

$$
\begin{equation*}
T_{0} \geq-\rho_{w} g \frac{\pi D_{o}^{2}}{4}\left(H_{w}-L\right)+\rho_{m} g \frac{\pi D_{i}^{2}}{4} H_{m}+\bar{T}_{0} \tag{44}
\end{equation*}
$$

where $\bar{T}_{0}$ is a strictly positive constant. Now using the boundary conditions (25), we can write $\dot{W}$ as

$$
\begin{align*}
\dot{W} \leq & U(t) \cdot w_{t}(L, t)+\lambda w_{s}(L, t) \cdot w_{t}(L, t) \\
& +\frac{\alpha L}{2} w_{t}(L, t) \cdot w_{t}(L, t)-\frac{\alpha L B}{m_{o}} w_{s}(L, t) \cdot w_{s s s}(L, t) \\
& +\frac{\alpha L}{m_{o}} F_{\hat{t}}(L, t) w_{s}(L, t) \cdot\left[w_{s}(L, t)+r_{s}^{0}(L)\right] \\
& +\int_{0}^{L} w_{t} \cdot q d s+\frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot q d s-\left(\frac{\alpha}{2}-\lambda \rho_{1}\right) \\
& \times \int_{0}^{L} w_{t} \cdot w_{t} d s-\left(\frac{\alpha B}{2 m_{o}}-\frac{\lambda}{4 \rho_{1}}\right) \int_{0}^{L} w_{s s} \cdot w_{s s} d s \\
& -\frac{\alpha F_{\hat{t}}^{\min }}{2 m_{o}} \int_{0}^{L} w_{s} \cdot w_{s} d s \tag{45}
\end{align*}
$$

where $\rho_{1}$ is a positive constant to be specify later. From (45), we choose the boundary control $U(t)$ as follows

$$
\begin{equation*}
U(t)=-k_{1} w_{t}(L, t)-k_{2} w_{s}(L, t) \tag{46}
\end{equation*}
$$

where $k_{1}$ and $k_{2}$ are positive constants to be specified later. It is recalled from (25) that $U(t)=-B w_{\text {sss }}(L, t)+$ $F_{\hat{t}}(L, t)\left[w_{s}(L, t)+r_{s}^{0}(L)\right]$. Hence from (46), we have

$$
\begin{align*}
-B w_{s s s}(L, t)= & -k_{1} w_{t}(L, t)-k_{2} w_{s}(L, t) \\
& -F_{\hat{t}}(L, t)\left[w_{s}(L, t)+r_{s}^{0}(L)\right] \tag{47}
\end{align*}
$$

Now substituting (46) and (47) into (45) gives

$$
\begin{align*}
\dot{W} \leq & -\left(k_{1}-\frac{\alpha L}{2}\right) w_{t}(L, t) \cdot w_{t}(L, t) \\
& -\frac{\alpha L k_{2}}{m_{o}} w_{s}(L, t) \cdot w_{s}(L, t)+\left(\lambda-k_{2}-\frac{\alpha L k_{1}}{m_{o}}\right) \\
& \times w_{s}(L, t) \cdot w_{t}(L, t)-\left(\frac{\alpha}{2}-\lambda \rho_{1}\right) \int_{0}^{L} w_{t} \cdot w_{t} d s \\
& -\left(\frac{\alpha B}{2 m_{o}}-\frac{\lambda}{4 \rho_{1}}\right) \int_{0}^{L} w_{s s} \cdot w_{s s} d s-\frac{\alpha F_{\hat{t}}^{m i n}}{2 m_{o}}  \tag{48}\\
& \times \int_{0}^{L} w_{s} \cdot w_{s} d s+\int_{0}^{L} w_{t} \cdot q d s+\frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot q d s .
\end{align*}
$$

From (48), we specify the positive constants $\rho_{1}, \lambda, \alpha, k_{1}$ and $k_{2}$ such that

$$
\begin{align*}
& k_{1}-\frac{\alpha L}{2}=c_{3}, \lambda-k_{2}-\frac{\alpha L k_{1}}{m_{o}}=0, \frac{\alpha}{2}-\lambda \rho_{1}=c_{4} \\
& \frac{\alpha B}{2 m_{o}}-\frac{\lambda}{4 \rho_{1}}=c_{5} \tag{49}
\end{align*}
$$

where $c_{3}, c_{4}$ and $c_{5}$ are strictly positive constants. Using the conditions given in (49) and the upper bound of $W$ given in (32), we can write (48) as follows:

$$
\begin{align*}
\dot{W} \leq & -c_{3} w_{t}(L, t) \cdot w_{t}(L, t)-\frac{\alpha L k_{2}}{m_{o}} w_{s}(L, t) \cdot w_{s}(L, t) \\
& -c W+\int_{0}^{L} w_{t} \cdot q d s+\frac{\alpha}{m_{o}} \int_{0}^{L} s w_{s} \cdot q d s \tag{50}
\end{align*}
$$

where

$$
\begin{equation*}
c=\frac{\min \left(c_{4}, c_{5}, \frac{\alpha \bar{T}_{0}}{2 m_{o}}\right)}{\max \left(\left(\frac{m_{o}}{2}+\alpha L \rho_{0}\right), \frac{B}{2},\left(\frac{\lambda}{2}+\frac{\alpha L}{4 \rho_{0}}\right)\right)} \tag{51}
\end{equation*}
$$

where $\bar{T}_{0}$ is the strictly positive constant in (44). Before going further, we show that there always exist constants $\rho_{0}, \rho_{1}, \lambda, \alpha, k_{1}$ and $k_{2}$ such that the conditions specified
in (33) and (49) hold with $c_{i}, i=1, \ldots, 5$ strictly positive constants. For simplicity, we choose $\rho_{0}=L \sqrt{\frac{m_{o}}{B}}$ and $\rho_{1}=\sqrt{\frac{m_{o}}{4 B}}$. A calculation shows that as long as the positive constants $\lambda, \alpha, k_{1}$ and $k_{2}$ are chosen such that the following inequalities strictly hold:

$$
\begin{align*}
& \alpha<\frac{1}{2 L^{2}} \sqrt{\frac{B}{m_{o}}}, \quad \frac{\alpha}{2} \sqrt{\frac{B}{m_{o}}}<\frac{\alpha L k_{1}}{m_{o}}+k_{2}<\alpha \sqrt{\frac{B}{m_{o}}} \\
& k_{1}>\frac{\alpha L}{2}, \quad \lambda=\frac{\alpha L k_{1}}{m_{o}}+k_{2} \tag{52}
\end{align*}
$$

then there exist strictly positive constants $c_{i}, i=1, \ldots, 5$ satisfying the conditions specified in (33) and (49). We are ready to state the main result of our paper in the following theorem whose proof is omitted due to space limitation.
Theorem 1. Under Assumption 1, the boundary control $U(t)$ given in (46) solves the control objective provided that the initial tension $T_{0}$ is sufficiently large, i.e. the condition (44) holds, and the design constants $k_{1}$ and $k_{2}$ are chosen such that the conditions given in (52) hold.

## REFERENCES

M. M. Bernitsas. Three dimensional nonlinear large deflection model for dynamics behavior of risers, pipelines and cables. Journal of Ship Research, 26(1):59-64, 1982.
L. E. Borgman. Computation of the ocean-wave forces on inclined cylinders. American Geophysical Union, Transactions, 39(5):885-888, 1958.
G. Chen, I. Lasiecka, and J. Zhou. Control of Nonlinear Distributed Parameter Systems. Lecture notes in pure and applied mathematics. Marcel Dekker Inc., New York, 2001.
R. F. Curtain and H. J. Zwart. An Introduction to InfiniteDimensional Linear Systems Theory. Springer-Verlag, New York, 1995.
E. H. Dill. Kirchhoff's theory of rods. American Geophysical Union, Transactions, 44(1):1-23, 1992.
K. D. Do and J. Pan. Global stabilization of threedimensional flexible marine risers by boundary control. IEEE Transactions on Control Systems Technology (Submitted), 2007.
R. F. Fung and C. C. Tseng. Boundary control of an axially moving string via lyapunov method. Journal of Dynamic Systems, Measurement, and Control, 121:105110, 1999.
R. F. Fung, J. M. Wu, and S. L. Wu. Stabilization of an axially moving string by nonlinear boundary feedback. Journal of Dynamic Systems, Measurement, and Control, 121:117-121, 1999.
J. L. Junkins and Y. Kim. Introduction to Dynamics and Control of Flexible Structures. AIAA Education Series. Washington, 1993.
H. Khalil. Nonlinear systems. Prentice Hall, 2002.
A. Love. A Treatise on the Mathematical Theory of Elasticity. Cambridge University Press, 3rd edition, 1920.
L. Meirovitch. Principles and Techniques of Vibrations. Prentice-Hall, 1997.
M. S. De Queiroz, M. Dawson, S. Nagarkatti, and F. Zhang. Lyapunov-Based Control of Mechanical Systems. Birkhauser, Boston, 2000.


[^0]:    * This work was supported in part by the the ARC Discovery grant No. DP0774645.

