# FAULT DIAGNOSIS OF ROLL SHAPE UNDER THE SPEED CHANGE IN HOT ROLLING MILL 

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#### Abstract

The metal processing system usually consists of various components such like motors, work rolls, backup rolls, idle rolls, sensors, etc. Even a simple fault in a single component in the system may cause a serious damage on the final product. It is therefore necessary to diagnose the faults of the components to detect and prevent system failure. Especially, the defects in a work roll are critical to the quality of strip. It is especially difficult to detect faults of a roll by using the existing frequency analysis method if the speed of the roll is changing. In this study, a new diagnosis method for roll eccentricity under the roll speed changes was developed. The new method was induced from analyzing the rolling mechanism by using rolling force models, radius-speed relationship, and measured rolling force, etc. Simulation results by using the field data show that the proposed method is very useful. Copyright © 2005 IFAC


Keywords: Diagnosis, Eccentricity, Fast Fourier Transform (FFT), Rolling force, Roll Shape Fault.

## 1. INTRODUCTION

The continuous processing systems such as multistand hot rolling systems generally consist of various components like driven rolls, idle rolls, sensors. In the rolling system, a work roll shape fault may cause a serious damage on the final products. Therefore, it is important to monitor the process systems and diagnose the component faults and draw up a scheme in order to minimize degradation of product quality and economic loss. For example, in steel rolling, the eccentricity of work roll has a fatal influence on strip thickness (Lee et al., 2004; Shin and Hong, 1998).

A 2-D diagnosis technique of the eccentricity of the roll by the using frequency analysis has been reported (Tahk and Shin, 2002). Eccentricity compensation methods have been proposed for tension control (Shin, 2003; Shin, 2000) and for roll gap control (Kugi et al., 2000). But most of the previous studies handled the fault diagnosis of roll shape in the region of steady state.

In this paper, a new diagnosis algorithm under continuous speed change is suggested to identify the
defective work rolls on the basis of correlations among rolling force model, rolling mechanism, and system operating information. From the results of simulation, it is verified that the proposed diagnosis algorithm of roll shape detected the fault successfully even in the region of velocity variation of the roll.

## 2. LIMITATION OF FREQUENCY ANALYSIS

Commonly, not in transient state but in steady state, Fast Fourier Transform (FFT) of the sampled rolling force signals is used to find a eccentricity of roll in rolling systems (Park et al., 2002). But a frequency of eccentricity can be changed according to the speed variation of the roll such as Fig. 1 in the real plant. Also, FFT result of a rolling force in the region of speed change has its magnitudes through all frequency domains such as Fig.2. Thus it is hard to detect a eccentricity of a roll by using the FFT analysis in the region of speed change of a roll.
Thus it is necessary to develop an algorithm to detect roll defect under the speed variation of a roll.


Fig. 1. Roll radius variation with angular velocity change


Fig. 2. FFT result of a rolling force

## 3. MATHEMATICAL MODELS

Fig. 3 shows a hot rolling process in which the strip thickness is reduced from $h_{i-1}$ to $h_{i}$. The rolling force can be represented as the following Eqn (1) in hot rolling process (The Iron and Steel Institute of Japan, 1991). In Eqn (1), $W$ is strip width, $k_{m}$ is deformation constant, $Q_{p}$ is rolling force constant, and $R^{\prime}$ is deformed roll radius. The $k_{m}$ and $Q_{p}$ is a function of temperature (Ginzberg and Ballas, 2000). Thus $k_{m}$ and $Q_{p}$ can be constant in the same temperature respectively. The mathematical model of forward slip ( $f_{s}$ ) is such as Eqn (2). On the basis of correlation between work roll velocity ( $V_{R}$ ) and outlet strip velocity ( $V$ ), Eqn (3) is obtained.

$$
\begin{equation*}
P=W k_{m} Q_{p} \sqrt{R^{\prime}\left(h_{i-1}-h_{i}\right)} \tag{1}
\end{equation*}
$$

$$
\begin{align*}
& f_{S}= \tan ^{2}\left\{\frac{1}{2} \tan ^{-1} \sqrt{\frac{r}{1-r}}\right. \\
&\left.+\frac{\pi}{8} \sqrt{\frac{h_{i}}{R^{\prime}}} \ln (1-r)+\frac{1}{2} \sqrt{\frac{h_{i}}{R^{\prime}}} \frac{T_{i}-T_{i-1}}{k_{m}}\right\}  \tag{2}\\
& R^{\prime}=\frac{60 V_{i}}{2 \pi N\left(1+f_{s}\right)} \tag{3}
\end{align*}
$$

$$
\begin{equation*}
V=\frac{2 \pi N\left(1+f_{s}\right) P^{2}}{60\left(h_{i-1}-h_{i}\right)\left(W k_{m} Q_{P}\right)^{2}} \tag{4}
\end{equation*}
$$

Outlet strip speed is tangential velocity at the ' $a$ ' point in Fig. 3 and tangential velocity is generally expressed such as Eqn (5).

$$
\begin{equation*}
V=R \omega \tag{5}
\end{equation*}
$$

Finally Eqn (6) is derived from Eqn (4) and Eqn (5). Eqn (6) shows relationship between rolling force and roll shape.


Fig. 3. A stand in hot rolling system

$$
\begin{equation*}
R(\theta)=\frac{2 \pi N\left(1+f_{s}\right) P(\theta)^{2}}{60 \omega\left(h_{i-1}-h_{i}\right)\left(W k_{m} Q_{P}\right)^{2}} \tag{6}
\end{equation*}
$$

In Eqn (6), since the work roll radius ( $R$ ) and the rolling force ( $P$ ) is function of the angle of the rotating roll, the change in the frequency of shape fault does not appear even with the speed variation of the roll. Therefore Eqn (6) can be applied for the diagnosis of roll shape under roll speed change.

## 4. SIMULATION RESULTS

### 4.1 Simulations to Verify the Proposed Model

A simulation study has been carried out to verify the performance of proposed diagnosis method. It is assumed that a roll has a fault such as periodic eccentricity in circumferential direction for the simulation.
Results of diagnosis for roll shape fault in the region of constant speed are as shown in Fig.4, Fig.5, and Fig.6. It is assumed that rolling force is measured such as Fig.4. Through the radian based rolling force data and Eqn (6), the roll shape is estimated in the polar coordinate. Estimated roll shape seems to be perfect circle in Fig.5, but small magnitude and location of eccentricity is amply confirmed in Fig.6. In other words, not only magnitude of eccentricity but also location of eccentricity can be found through the proposed diagnosis method.

Eqn (4) follows from Eqn (1) and Eqn (3).

Table 1 shows the simulation data that are applied to roll shape fault diagnosis under roll speed variation. Rolling force variation in the region of speed change is as shown in Fig.7. Fig. 8 shows speed variation of the roll from 1000 to $2300 \mathrm{~mm} / \mathrm{s}$. Through Eqn (7) that expresses the relationship between data scan time and angular velocity variation, the time based value $P(t)$ as shown in Fig. 7 can be transformed into the radian based value $P(\theta)$ as shown in Fig.9.

Table 1 Simulation parameters

| Variables | values |
| :---: | :---: |
| Inlet thickness [mm] | 10 |
| Outlet thickness [mm] | 6 |
| Rolling temperature [K] | 1178 |
| Poison's ratio | 0.3 |
| Scan time for rolling force | 0.05 |
| measurement [sec] | 187 |
| Reference roll radius [mm] | 20408 |
| Roung's modulus [Kgf/mm²] | $1000 \sim 2300$ |



Fig. 4. Rolling force variation under constant speed


Fig. 5. Estimated roll shape in the polar coordinate


Fig. 6. Estimated eccentricity (magnitude, location)

$$
\begin{equation*}
\Delta \theta=\text { scan time } \times \Delta \omega \tag{7}
\end{equation*}
$$

By using the measured rolling force and Eqn (6), the eccentricity can be diagnosed as shown in Fig. 11 and Fig. 12.


Fig. 7. Rolling force variation in the region of speed change of the strip

Fig. 10 shows FFT result of the radian based value $P(\theta)$ as shown in Fig.9. The magnitude at the point of 0.6 Hz is relatively large as shown in Fig. 10. Therefore, from the FFT result as shown in Fig.10, the only eccentricity existing can be detected. Fig. 11 shows the estimated roll shape by using the proposed diagnosis method as shown in Eqn (6). Not only the eccentricity magnitude but also fault location in cross-sectional area of roll is shown in Fig.12. Roll shape error is obtained through Eqn (8).

$$
\begin{equation*}
\text { Roll shape error }=R_{\text {reference }}-R(\theta)_{\text {Estimated }} \tag{8}
\end{equation*}
$$



Fig. 8. Speed variation of roll


Fig. 9. Transformed rolling force


Fig. 10. FFT result of radian based value $P(\theta)$


Fig. 11. Estimated roll shape in the polar coordinate


Fig. 12. Estimated eccentricity (magnitude, location)

By using the radian based value $P(\theta)$, frequency analysis method can be applied for the fault diagnosis in the region of speed change. But it is impossible to find both eccentricity magnitude and eccentricity location in the roll as shown in Fig.10. But, as shown in Fig.12, not only magnitude of eccentricity but also location of eccentricity in the roll is obtained.

### 4.2 Simulations with Field Data

Fig. 13 shows a simplified multi-stand hot rolling system. The rolling system consists of seven stands. Rolling force variation in each stand is as shown in Fig.14. Especially, chattering of rolling force in the stand4 is bad in Fig.14. This chattering of rolling force may be generated by work roll shape fault. Each velocity of rolls in the rolling system is accelerated or decelerated as shown in Fig.15. Fig. 16 shows rolling force that is transformed into the radian based value by Equation (7). In Fig.16, there is still serious chattering in stand4.


Fig.13. Seven-stand hot rolling system


Fig.14. Rolling force in hot rolling system


Fig.15. Velocity of work roll in hot rolling system


Fig.16. Transformed rolling force
Table 2 shows simulation parameters used for diagnosis. In Fig.17, the result of FFT for the transformed radian based rolling force shows only the eccentricity magnitude of roll in the stand4 without any information about eccentricity location in the eccentric roll.

## Table 2 Simulation parameters

| Variables | values |
| :---: | :---: |
| Initial thickness [mm] | 29.6 |
| Final thickness [mm] | 1.59 |
| Initial rolling temperature [K] | 1229 |
| Final rolling temperature [K] | 1128 |
| Poison's ratio | 0.3 |
| Scan time for rolling force | 0.01 |
| measurement [sec] | 0.048 |
| Amount of carbon [\%] | 1240 |
| Strip width [mm] | 20408 |
| Young's modulus [Kgf/mm²] | $1000 \sim 11000$ |
| Strip speed [mm/s] |  |



Fig.17. FFT result of radian based value $P(\theta)$


Fig.18. Estimated eccentricity of work roll [mm] in stand1


Fig.19. Estimated eccentricity of work roll [mm] in stand2


Fig.20. Estimated eccentricity of work roll [mm] in stand4

But, when the proposed diagnosis model as described by Eqn (6) with transformed rolling force data as shown in Fig. 16 was used, both eccentricity magnitude and eccentricity location in cross sectional area of the roll is detected as shown in from Fig. 18 to Fig. 20.

It is very difficult to measure the rolling temperature in real-time even though $k_{m}$ and $Q_{p}$ of Eqn (1) is sensitive to rolling temperature. What is more, the measured rolling force is not a function of angle (radian) but the function of constant scant time.

Therefore most of work rolls seem to have eccentricity as shown in From Fig. 18 to Fig.20. Namely, small magnitude error of eccentricity may be generated because of rolling temperature error, noise, and sparse radian based rolling force data, and so on. Especially, magnitude error of eccentricity slightly large in Fig. 18 and Fig. 19 because rolling temperature variation is large in the fore part of seven-stand rolling system.
Although there are above difficulties for diagnosis of roll shape in hot rolling system, the roll shape fault can be identified through the proposed fault diagnosis method even with speed change of rolls. In Fig. 18 and Fig.19, roll shape error does not exceed 0.1 mm . But Fig. 20 shows special shape error having the magnitude of 1 mm at 120 and 300 degree. This resulted from work roll shape fault in stand4. A magnitude and location of eccentricity can be detected through the proposed diagnosis method in the region of speed change.

## 5. CONCLUSION

This paper proposes a new diagnosis method of roll shape faults under the roll speed change. The mathematical models have been used to detect faulty roll in hot rolling systems. Both magnitude and location of eccentricity in the eccentric roll is obtained through the diagnosis method. Simulations and experiments were conducted to verify the performance of the proposed diagnosis method. The results show that the diagnosis method is greatly effective. The main results of the study are summarized as follows ;
(1) Mathematical model is developed for diagnosis of roll shape faults under velocity variation in hot rolling system.
(2) Faulty rolls are detected in the multi-stand rolling system without additional diagnosis instruments.
(3) Foundation for a roll shape fault tolerant control is prepared by detecting the magnitude and location of eccentricity.
(4) High frequency data of rolling force is needed to have a good diagnosis results when the proposed fault diagnosis method is used.

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