

GENERATING A FUZZY RULE BASE WITH AN ADDITIVE INTERPRETATION

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Abstract: Since 1965 the fuzzy set theory and its application have deep development especially in many disciplines close to the automatic control of processes. A fuzzy model has been shown to be able to approximate the behaviour of many complex processes. Very robust fuzzy controller can be constructed in various ways. One of them, learning algorithm, is focused in this paper while the approximation idea has been brought from the technique called F-transform. *Copyright*© 2005 *IFAC*.

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1. INTRODUCTION

A new methodology has been brought to the control theory by L.A. Zadeh who introduced a fundamental idea of expressing dependencies between variables by conditional sentences with fuzzy predicates. These conditional sentences called fuzzy rules made possible to use a fragment of human language in control algorithms. An expert knowledge is then represented in the form of fuzzy rules forming a fuzzy rule base (FRB) for some specific control. Such system using a fuzzy rule base is called fuzzy rule based system and its main advantage is a high robustness.

However, for the control of some systems the expert knowledge acquisition is not a trivial task or transformation of such knowledge into FRB would be technically hardly feasible. For these cases, and not only for them, algorithms called *learning* are taken into account. Usually, a learning algorithm works with some training data obtained by one or more experiments. These training data serve us as a pattern of behaviour of a modeled system and the chosen learning algorithm transforms them into a FRB for respective control.

Although the learning algorithms provide fuzzy rule bases describing the controlled process, these fuzzy rule bases are not usually ready for implementation into the respective inference engines. They suffer from complexity, redundancy or inconsistency. To get rid of mentioned problems many sophisticated algorithms have been developed (see Setnes et al. [1999]; Dvořák and Novák [2004]; Novák [2001]).

The motivation of this paper is to provide a new approach to an interpretation of fuzzy rules as well as their construction by learning algorithm using the extended fuzzy transform (see Perfilieva [2003]). This algorithm avoids the problems of inconsistency and redundancy. Furthermore, it provides the user a possibility to increase or decrease the complexity of generated FRB with respect to user's requirements on accuracy.

2. PRELIMINARIES

For the whole paper we restrict our focus just on multiple-input-single-output systems. Let us stress that although a set of multiple-input-single-output systems is not equivalent to a multiple-

input-multiple-output system, usually, we are able to construct such systems of the first type that they control the process sufficiently.

Let us consider a general FRB consisting of n fuzzy rules of the following form

$$\mathbf{IF} \text{ Ant}_i \mathbf{THEN} \text{ Cons}_i, \quad 1 \leq i \leq n. \quad (1)$$

Each type of such fuzzy rule base can be determined by a certain form of consequents Cons_i , while all of them have the same form of the antecedent (see Perfilieva [1999]). We can distinguish between three such types of fuzzy rule bases.

- *Singleton* FRB with consequents given by numerical values (fuzzy singletons).
- *Takagi-Sugeno* FRB with consequents given as linear combinations of the input variables appearing in respective antecedents.
- *Linguistic* FRB with consequents given linguistically using fuzzy sets e.g. *very small*, *more or less big*, *about five*, etc.

The first two types are sometimes merged because the first one is a special case of the second one. Learning algorithm generating these types of FRB are quite deeply investigated. Usually, the fuzzy sets appearing in the antecedents are determined by fuzzy cluster analysis and then the linear combinations for consequents are generated above the constructed fuzzy clusters. The fundamental method for clustering is called *c-means* (see Bezdek [1981]).

The third type of FRB is, perhaps, the most usual one and we focus on it. Let us consider a multiple-input-single-output system with p input variables. Each rule from such FRB is written in the following form

$$\mathbf{IF} x_1 \text{ is } \mathcal{A}_i^1 \mathbf{AND} \dots \mathbf{AND} x_p \text{ is } \mathcal{A}_i^p \mathbf{THEN} y \text{ is } \mathcal{F}_i, \quad (2)$$

where $1 \leq i \leq n$ and linguistic expressions $\mathcal{A}_i^1, \dots, \mathcal{A}_i^p, \mathcal{F}_i$ are represented by suitable fuzzy sets $\mathbf{A}_i^1, \dots, \mathbf{A}_i^p, \mathbf{F}_i$.

Each rule (2) can be interpreted as a fuzzy relation with help of fuzzy sets mentioned above. In order to distinguish two main cases of the interpretation of FRB, we follow the notation of I. Perfilieva (see Perfilieva [1999]) and write $R_i^c(\mathbf{x}, y)$ if the relation is given as follows

$$R_i^c(\mathbf{x}, y) = \mathbf{A}_i^1(x_1) \mathbf{t} \dots \mathbf{t} \mathbf{A}_i^m(x_m) \mathbf{t} \mathbf{F}_i(y) \quad (3)$$

and $R_i^d(\mathbf{x}, y)$ if the relation is given as follows

$$R_i^d(\mathbf{x}, y) = \mathbf{A}_i^1(x_1) \mathbf{t} \dots \mathbf{t} \mathbf{A}_i^m(x_m) \rightarrow_{\mathbf{t}} \mathbf{F}_i(y), \quad (4)$$

where symbols \mathbf{t} and $\rightarrow_{\mathbf{t}}$ mean some t-norm and its adjoint residuum. Fuzzy relations $R_i^c(\mathbf{x}, y)$ and $R_i^d(\mathbf{x}, y)$ are used in the following two fuzzy relations interpreting the given FRB:

$$R^{DNF} = \bigvee_{i=1}^n R_i^c(\mathbf{x}, y) \quad (5)$$

or

$$R^{CNF} = \bigwedge_{i=1}^n R_i^d(\mathbf{x}, y), \quad (6)$$

while formulas (5) and (6) are called disjunctive normal form and conjunctive normal form, respectively. The choice of concrete t-norm \mathbf{t} specifies the interpretation of the given FRB e.g. with t-norm equal to the min operator R^{DNF} gives the well known Mamdani-Assilian interpretation.

3. FUZZY TRANSFORM

This section recalls specific technique of an approximate representation of a continuous function. The author of this technique, I. Perfilieva, published a method called fuzzy transform (in short F-transform) which is based on two transforms - the direct one and the inverse one (see Perfilieva [2003], Štepanička and Valášek [2004]).

3.1 F-transform for functions with one variable

An interval $[a, b]$ of real numbers will be considered as a common domain of all functions in this subsection.

Definition 1. Let $x_i = a + h(i - 1)$ be nodes on $[a, b]$ where $h = (b - a)(n - 1)$, $n \geq 2$ and $i = 1, \dots, n$. We say that functions $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$ defined on $[a, b]$ are *basic functions* if each of them fulfills the following conditions:

- $\mathbf{A}_i : [a, b] \rightarrow [0, 1]$, $\mathbf{A}_i(x_i) = 1$,
- $\mathbf{A}_i(x) = 0$ if $x \notin (x_{i-1}, x_{i+1})$ where $x_0 = a$, $x_{n+1} = b$,
- $\mathbf{A}_i(x)$ is continuous,
- $\mathbf{A}_i(x)$ strictly increases on $[x_{i-1}, x_i]$ and strictly decreases on $[x_i, x_{i+1}]$,
- $\sum_{i=1}^n \mathbf{A}_i(x) = 1$, for all x ,
- $\mathbf{A}_i(x_i - x) = \mathbf{A}_i(x_i + x)$, for all $x \in [0, h]$, $i = 2, \dots, n - 1$, $n > 2$,
- $\mathbf{A}_{i+1}(x) = \mathbf{A}_i(x - h)$, for all x , $i = 2, \dots, n - 2$, $n > 2$.

We say that fuzzy sets $\mathbf{A}_i(x)$ constitute a uniform fuzzy partition of real interval $[a, b]$. If we avoid the last two conditions, fuzzy sets $\mathbf{A}_i(x)$ constitute just a fuzzy partition of $[a, b]$. Each basic function $\mathbf{A}_i(x)$ can be viewed as a fuzzy set *approximately* x_i .

Definition 2. Let $f(x)$ be any continuous function on $[a, b]$ and $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$ be basic functions. We say that the n-tuple of real numbers $[F_1, \dots, F_n]$ is *the F-transform* of f w.r.t. $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$ if

$$F_i = \frac{\int_a^b f(x) \mathbf{A}_i(x) dx}{\int_a^b \mathbf{A}_i(x) dx}. \quad (7)$$

The F-transform transforms a function to a real vector which serves as its discrete representation. Real *components* F_i given by (7) are computed over the whole support of $\mathbf{A}_i(x)$ which means that they average all the values of f in a neighbourhood of the node x_i . This provides the robustness, for fuzzy techniques typical, which has been used in many applications e.g. noise reduction.

Definition 3. Let $[F_1, \dots, F_n]$ be the F-transform of a function $f(x)$ with respect to $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$. The function

$$f_n^F(x) = \sum_{i=1}^n F_i \mathbf{A}_i(x) \quad (8)$$

will be called the *inverse F-transform*.

The inverse F-transform provides an appropriate continuous approximation of the original function. Its form, linear combination of fuzzy sets constituting a uniform fuzzy partition, seems to be very useful for further applications.

3.2 Generalization

In order to be able to describe a multiple-input-single-output system, we must generalize the technique of F-transform for functions with more variables. This step has been done e.g. in (Štepička and Valášek [2004]). The idea of this generalization is the same as in the case of one variable and it will be demonstrated on the case of a function with two variables.

Let a rectangle $[a, b] \times [c, d]$ be a common domain of all functions in this subsection. We define a *system of basic functions* as a set of basic functions $\mathbf{A}_1(u), \dots, \mathbf{A}_n(u)$ constituting a uniform fuzzy partition on $[a, b]$ and basic functions $\mathbf{B}_1(v), \dots, \mathbf{B}_m(v)$ constituting a uniform fuzzy partition on $[c, d]$.

Definition 4. Let $f(u, v)$ be a continuous function on $[a, b] \times [c, d]$ and let $\{\mathbf{A}_i, \mathbf{B}_j\}_{i=1}^n, \{j=1}^m$ be a system of basic functions on $[a, b] \times [c, d]$. Then the matrix $[F_{ij}]$ given as follows

$$F_{ij} = \frac{\int_c^d \int_a^b f(u, v) \mathbf{A}_i(u) \mathbf{B}_j(v) dudv}{\int_c^d \int_a^b \mathbf{A}_i(u) \mathbf{B}_j(v) dudv} \quad (9)$$

will be called the F-transform of f w.r.t. the given system of basic functions.

Definition 5. Let $[F_{ij}]$ be the F-transform of a function $f(u, v)$. Then the function

$$f_{n,m}^F(u, v) = \sum_{i=1}^n \sum_{j=1}^m F_{ij} \mathbf{A}_i(u) \mathbf{B}_j(v) \quad (10)$$

will be called the inverse F-transform.

3.3 Data-based model

All the definitions presented in the previous subsections required continuity. It is a natural requirement especially when we model systems with physical quantities. In these cases the continuity is necessary because quantities like temperature or pressure cannot provide discontinuous changes. However, integral formulas in definitions require complex computations.

Furthermore, we usually do not have the full knowledge of a function f but just at some nodes. This situation is again typical even for systems with continuous quantities. This is usually caused by the fact that f is known only theoretically and in practice we have a set of measurements (of e.g. temperature)

$$(x_k, f(x_k)) \quad k = 1, \dots, r, \quad (11)$$

where $f(x_k)$ is a value of quantity f measured at node x_k .

In fact, continuous knowledge of $f(x)$ is a limit case of having data (11) as well as an integral is a limit summation. Keeping this in mind, we redefine F-transform for discrete data set.

The F-transform of function f known at nodes $\{x_k\}_{k=1}^r$ w.r.t. some basic functions $\mathbf{A}_1, \dots, \mathbf{A}_n$ is given as follows

$$F_i = \frac{\sum_{k=1}^r f(x_k) \mathbf{A}_i(x_k)}{\sum_{k=1}^r \mathbf{A}_i(x)} \quad i = 1, \dots, n. \quad (12)$$

For a function with two variables $f(u, v)$ the formula of the F-transform changes analogously. Let us be given data

$$(u_k, v_k, f(u_k, v_k)) \quad k = 1, \dots, r, \quad (13)$$

then the F-transform of f w.r.t. a system of basic functions $\{\mathbf{A}_i, \mathbf{B}_j\}_{i=1}^n, \{j=1}^m$ is given as follows

$$F_{ij} = \frac{\sum_{k=1}^r f(u_k, v_k) \mathbf{A}_i(u_k) \mathbf{B}_j(v_k)}{\sum_{k=1}^r \mathbf{A}_i(u_k) \mathbf{B}_j(v_k)}. \quad (14)$$

4. EXTENDED FUZZY TRANSFORM

From mathematical point of view, the crucial point of fuzzy control consists in designing a model using fuzzy relations. In section 2, we have mentioned that a FRB is interpreted by an appropriate fuzzy relation. Concrete interpretations are given by formulas (5), (6) and by concrete choice of t-norm \mathbf{t} .

Having in mind all the properties of the F-transform and its advantages including low computational complexity and high speed of the respective algorithms, we come to the natural idea of an extension of F-transform for fuzzy relations. Such extended F-transform could approximate a

fuzzy relation which serves us as an approximate interpretation of some FRB in fuzzy control.

4.1 Fuzzy set-valued functions

In fuzzy control, instead of crisp control function $f : X \rightarrow Y$, we use a fuzzy relation $R : X \times Y \rightarrow [0, 1]$ describing the control function which is in principle unknown.

Because of physical backgrounds of the controlled process, the crisp control function f is usually continuous and therefore similar property will be required for the fuzzy relation R . Therefore we restrict the choice of approximated fuzzy relation only to a "continuous" fuzzy set-valued function where, briefly said, fuzzy set-valued function is a mapping which maps each element from its domain to a fuzzy number.

Definition 6. Let $\mathcal{F}_0(\mathbb{R})$ denote the set of fuzzy sets $\mathbf{F} : \mathbb{R} \rightarrow [0, 1]$ with the following properties:

- $\{x \in \mathbb{R} : \mathbf{F}(x) = 1\} \neq \emptyset$,
- $\mathbf{F}_\alpha = \{x \in \mathbb{R} : \mathbf{F} \geq \alpha\}$ is a closed interval in \mathbb{R} for each $\alpha \in (0, 1]$ i.e. $\mathbf{F}_\alpha = [F_\alpha^-, F_\alpha^+]$.

Definition 7. A mapping $\Phi : X \subset \mathbb{R} \rightarrow \mathcal{F}_0(\mathbb{R})$ is a fuzzy relation which can be taken as a *fuzzy set-valued function*.

Definition 8. Let $X \subset \mathbb{R}$ and $I(\mathbb{R})$ denote the set of all closed real intervals. Then function

$$\begin{aligned} \varphi : X &\rightarrow I(\mathbb{R}), \\ x &\mapsto \varphi(x) = [\varphi^-(x), \varphi^+(x)], \end{aligned} \quad (15)$$

such that $\varphi^-(x), \varphi^+(x)$ are real functions on X will be called the *interval valued function*.

It has been shown (see Zhang et al. [1998]) that Φ is a fuzzy set-valued function if and only if the α -level function

$$\Phi_\alpha(x) = [\Phi_\alpha^-(x), \Phi_\alpha^+(x)] \quad (16)$$

of $\Phi(x)$ is an interval valued function for each $\alpha \in (0, 1]$.

Let us define a continuous fuzzy set-valued function as a fuzzy set valued function such that for each $\alpha \in (0, 1]$: $\Phi_\alpha^-(x), \Phi_\alpha^+(x)$ are continuous real functions on X .

For more details see e.g. (Zhang et al. [1998]) or (Zhang and Wang [1998]).

4.2 Extension

We have used the F-transform as a technique of approximate representation of a continuous

function. Then we have briefly mentioned fuzzy set-valued functions as a fuzzy relations naturally extending the notion of a real-valued function. Such fuzzy relations could be used in formula (7) defining the F-transform instead of the original real-valued function. Then we obtain an extended technique for approximate representation of a continuous fuzzy set-valued function.

Definition 9. Let $X \subset \mathbb{R}$, $Y \subset \mathbb{R}$ and $\Phi : X \rightarrow \mathcal{F}_0(Y)$ be a continuous fuzzy set-valued function. Let $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$ be basic functions constituting a uniform fuzzy partition of $X \in I(\mathbb{R})$. We say that the n-tuple of fuzzy sets $[\mathbf{F}_1(y), \dots, \mathbf{F}_n(y)]$ on Y is the *extended F-transform* of Φ with respect to $\mathbf{A}_1(x), \dots, \mathbf{A}_n(x)$ if

$$\mathbf{F}_i(y) = \frac{\int_X \Phi(x, y) \mathbf{A}_i(x) dx}{\int_X \mathbf{A}_i(x) dx} \quad (17)$$

Definition 10. Let $\mathbf{F}_1, \dots, \mathbf{F}_n$ be an extended F-transform of a continuous fuzzy set-valued function Φ w.r.t. given basic functions $\mathbf{A}_1, \dots, \mathbf{A}_n$. Then fuzzy relation

$$\Phi_n^F(x, y) = \sum_{i=1}^n \mathbf{F}_i(y) \mathbf{A}_i(x) \quad (18)$$

is called the extended inverse F-transform.

The generalization of the extended F-transform for fuzzy set-valued functions with more variables is straightforward.

5. LEARNING

This section deals with an idea of generating an appropriate FRB for a control of some process.

5.1 Data-based model

Similarly to the subsection 3.3 we consider the case when we have the knowledge of fuzzy set-valued function Φ only at some nodes x_k where $k = 1, \dots, r$ i.e. we have a set of data $(x_k, \Phi(x_k, y))$. Then the extended F-transform is given as follows

$$\mathbf{F}_i(y) = \frac{\sum_{k=1}^r \Phi(x_k, y) \mathbf{A}_i(x_k)}{\sum_{k=1}^r \mathbf{A}_i(x_k)}. \quad (19)$$

Formula (18) for the extended inverse F-transform remains the same.

Analogously, in the case of a fuzzy set-valued function depending on two variables we require a set of data $(u_k, v_k, \Phi(u_k, v_k))$, $k = 1, \dots, r$ and the formula is modified as follows

$$\mathbf{F}_{ij}(y) = \frac{\sum_{k=1}^r \Phi(u_k, v_k, y) \mathbf{A}_i(u_k) \mathbf{B}_j(v_k)}{\sum_{k=1}^r \mathbf{A}_i(u_k) \mathbf{B}_j(v_k)}. \quad (20)$$

The extended inverse F-transform is then evaluated as follows

$$\Phi_{n,m}^F(u, v, y) = \sum_{i=1}^n \sum_{j=1}^m \mathbf{F}_{ij}(y) \mathbf{A}_i(u) \mathbf{B}_j(v). \quad (21)$$

The given data is a collection of crisp values u_k, v_k and fuzzy sets at these nodes $\Phi(u_k, v_k)$. These data can be obtained by questioning some expert. For example, in fuzzy control of a dynamic robot which passes a corridor, we know a distance u between the robot and the middle of the corridor at each moment and we know its change v per time. We ask some expert about a control action. Answer could be either a linguistic expression or a fuzzy number.

5.2 Learning algorithm

Let us now consider the mentioned case with a dynamic robot in a corridor. Although the approach introduced above is a natural way how to implement the extended F-transform to turn a collection of data to a fuzzy relation (i.e. FRB), it requires the knowledge of fuzzy sets $\Phi(u_k, v_k)$ i.e. an expert estimations. In order to obtain a learning algorithm generating an FRB from measured data while the robot is controlled manually we must consider just crisp data.

Let us be given data (u_k, v_k, y_k) where y_k is a measured control action at node (u_k, v_k) . Values y_k are obtained by measuring the control actions provided by somebody who manually controls the robot. Such values can be imprecise and we should consider them to be somehow approximate control actions. Therefore values y_k are transformed to fuzzy sets $\Phi(u_k, v_k)$ by some “fuzzification” method to increase the robustness.

Finally, let us introduce the whole algorithm from discrete data to an interpretation of the generated FRB step by step. Because of simplicity we consider a single-input-single-output system.

- (1) Obtain training data (x_k, y_k) while y_k is the k -th control action and $k = 1, \dots, r$.
- (2) Impose the fuzziness of values of y_k . We get data $(x_k, \Phi(x_k))$.
- (3) Construct basic functions \mathbf{A}_i , $i = 1, \dots, n$. (The choice of the shape of basic functions and their number n is an expert decision)
- (4) Construct components \mathbf{F}_i of the F-transform according to formula (19) w.r.t. the chosen basic functions.
- (5) Infer i.e. evaluate formula (18) defining the inverse F-transform. This technique deals just with fuzzy relation and does not implicitly interpret an FRB. But for better understanding we could imagine such FRB composed by the following rules:

IF x is \mathcal{A}_i **THEN** y is \mathcal{F}_i ,

where $i = 1, \dots, n$ and $\mathcal{A}_i, \mathcal{F}_i$ are linguistic evaluating expressions represented by fuzzy sets \mathbf{A}_i , and \mathbf{F}_i , respectively. Interpretation of such FRB given by (18) could be rewritten into the following **additive** form:

$$\Phi(x, y) := \bigoplus_{i=1}^n (\mathbf{A}_i(x) \odot \mathbf{F}_i(y)). \quad (22)$$

- (6) Use an appropriate defuzzification method. Having in mind the shapes of used fuzzy sets, the method *COG* (*Center of Gravity*) is such defuzzification giving good results.

Now, let us briefly recall the basic algorithm used for generating an FRB with the conjunctive interpretation and the usage of linguistic expressions derived from basic trichotomy.

- (1) Obtain training data (x_k, y_k) while y_k is the k -th control action and $k = 1, \dots, r$.
- (2) Impose the fuzziness of values of y_k as well as of values x_k . We get fuzzy data $(\mathbf{X}_k, \mathbf{Y}_k)$.
- (3) Generate an initial FRB:

IF x is \mathcal{X}_k **THEN** y is \mathcal{Y}_k ,

where $k = 1, \dots, r$ and $\mathcal{X}_k, \mathcal{Y}_k$ are linguistic evaluating expressions represented by fuzzy sets \mathbf{X}_k and \mathbf{Y}_k , respectively.

- (4) Interpretation of the previous FRB is given by the following **conjunctive** form:

$$\Phi(x, y) := \bigwedge_{k=1}^r (\mathbf{X}_k(x) \rightarrow \mathbf{Y}_k(y)). \quad (23)$$

Because the number of measured pairs (x_k, y_k) is usually very high (at least hundreds), it is almost impossible to use the initial FRB in practice. The complexity must be reduced, the inconsistency removed and redundancy decreased.

- (5) Use some sophisticated algorithm for inconsistency elimination (see Dvořák and Novák [2004]; Novák [2001]).
- (6) Use some sophisticated algorithm for redundancy analysis and decrease (see Bělohlávek and Novák [2002]).
- (7) Use an appropriate defuzzification method w.r.t. the shapes of used fuzzy sets. Method *DEE* (*Defuzzification of Evaluating Expressions* see Bělohlávek and Novák [2002]) is such defuzzification giving good results for linguistically given fuzzy sets like *very small* or *roughly big*. Method *COG* is suitable for the case of the usage of fuzzy numbers.

Let us stress that the last three steps can be avoided (at least partially), but it requires similar analysis of data (x_k, y_k) . Some statistical techniques, clustering, averaging etc. can get rid of data causing the redundancy and the inconsistency and reduce the complexity as well.

6. CONCLUSION

The paper deal with a fuzzy rule base which is generated from given data. The algorithm providing such results is called learning algorithm and has been many times successfully applied in practice. We came to the problem of a construction of an FRB from data from the approximation problem. Having in mind all to of applications and advantages of F-transform as an approximating method lead us to its generalization. What means that we defined so called extended fuzzy transform for approximate representation of a continuous fuzzy set valued function. Discretization of such method provides, in fact, an algorithm for data processing returning a fuzzy relation describing a process we controlled while obtaining data. Finally, we have constructed an FRB with the additive interpretation which corresponds to a fuzzy relation given by extended F-transform.

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