Adaptive Coordination of Decentralized Controllers using a Centralized Neural Network

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Abstract—An adaptive approach that augments existing decentralized linear controllers is considered. By employing a neural network as a centralized element, the approach greatly broadens the class of system for which linear decentralized controllers can be designed. The stability proof naturally follows from the viewpoint that a set of decentralized controllers are a special class of multi-input multi-output controllers of an existing central method. The approach is illustrated using an inverted flexible pendulum in which a neural network coordinates an acceleration controller with a controller for an rigid inverted pendulum.

I. INTRODUCTION

Recent advances in the technology of sensors and actuators allow for implementation of distributed set of inexpensive sensors and actuators for large-scales systems. This poses a challenge on designing a controller because most conventional control methods become proportionally complicated by the dimension of the system to be controlled. Therefore it is not practical to design a single controller because the design of a concurrent controller processing a distributed set of sensors and actuators is a formidable task. Moreover, if the system to be controlled is uncertain, the design of a single controller for a high dimensional system becomes less feasible in most control systems. However, we note that a major obstacle associated with a concurrent controller in a large-scale system does not lie in setting up multiple communication channels among many subsystems in hardware, but in the lack of an appropriate information processing algorithm that is numerically efficient. In practice, recent advances in microprocessors and signal processing make it possible for a single system board to handle multiple channels of inputs and outputs with less power consumption compared to the past, but control design methodology for systems having distributed arrays of sensors and actuators has not kept up with this technology.

(NN) as a tool for providing a hierarchy in control design to those systems equipped with a practically manageable size of distributed sensors and actuators. The control architecture proposed in this paper is depicted in Figure 1. Compared to previous NN-based decentralized approaches [1]–[4], it is immediately clear that the main difference is the usage of the distributed outputs as an NN input, and this process does not drastically increase the complexity of neural processing because of its inherently parallel nature of data processing. It is also clear that the architecture in Figure 1 is centralized only in a sense that the NN processes all the measurements while the baseline controllers can remain decentralized. The NN in Figure 1 can be equivalently realized as a set of NNs in each subsystem [5].

In this paper, we propose a centralized neural network



Fig. 1. Centralized NN control architecture

As a result of employing a centralized NN as a hierarchical controller for underlying decentralized controllers, we encompass a broader class of nonlinear systems compared to the classes in the literature [1]–[4]. Compared to the class in [4], implementing a centralized NN controller over a set of decentralized controllers allows for interconnections that cannot be approximated by a decentralized NN because of the requirement for observability. This further implies that the proposed architecture can accommodate a class of unmodeled dynamics which are prohibited in [4], in the same manner as in the centralized setting in [6].

The paper is organized as follows. In Section II we formulate a central, hierarchical NN control problem. Following the analysis of tracking error dynamics in Section III, we present the augmenting method of adaptive control design in

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SectionIV whose stability analysis is presented in Section V. In Section VI, we illustrate the proposed method in controlling a flexible inverted pendulum. Conclusions are given in Section VII. Throughout the manuscript, $\|\cdot\|$ means Euclidean norm for a vector and the induced 2-norm for a matrix unless otherwise mentioned. That is, $||x|| = \sqrt{x^T x}$ for $\boldsymbol{x} \in \mathbb{R}^n$, and $\|A\| = \sqrt{\lambda_{\max}(A^T A)}$ for $A \in \mathbb{R}^{m \times n}$. The Frobenius norm for a matrix is represented by the subscript F, i.e., $||A||_F = \sqrt{\operatorname{tr}(A^{\top}A)}$. The set $S|_k$ denotes a projection of the set S to the k-dimensional Euclidian space \mathbb{R}^k . A vector is denoted by a bold symbol, and its *i*th element is represented by a plain symbol with a subscript i, i.e., $\boldsymbol{u} = [u_1, \ldots, u_i, \ldots]^\top$.

II. PROBLEM FORMULATION

Consider a system described by the following normal form

$$\begin{aligned} \dot{\xi}_{i_1} = & \xi_{i_2}, \ \dot{\xi}_{i_2} = \xi_{i_3}, \dots \\ \dot{\xi}_{i_{r_i}} = & \boldsymbol{a}_i^\top \boldsymbol{\xi}_i + \boldsymbol{p}_i^\top \boldsymbol{z}_i + h_i(\boldsymbol{x}, \boldsymbol{u}) \\ \dot{\boldsymbol{z}}_i = & F_{o_i} \boldsymbol{z}_i + G_{o_i} \boldsymbol{\xi}_i + \boldsymbol{g}_i(\boldsymbol{x}) \\ \dot{\boldsymbol{\eta}} = & \boldsymbol{f}_{\eta}(\boldsymbol{x}_p, \boldsymbol{\eta}) \\ y_i = & \xi_{i_1}, \end{aligned}$$
(1)

where $\boldsymbol{x} = [(\boldsymbol{x}_p)^{\top}, \boldsymbol{\eta}]^{\top} \in \Omega_x \subset \mathbb{R}^n, \ \boldsymbol{x}_p = [\boldsymbol{x}_{p_1}, \dots, \boldsymbol{x}_{p_m}]^{\top} \in \Omega_x|_{r+n_z}, \ \boldsymbol{x}_{p_i} = [\boldsymbol{\xi}_i^{\top}, \ \boldsymbol{z}_i^{\top}]^{\top} \in \Omega_x|_{r_i+n_{z_i}}$, which denotes the state of the *i*th subsystem, $oldsymbol{\eta} \in \Omega_x|_{n-n_z-r}$ represents the state of unmodeled internal dynamics, i.e., $oldsymbol{f}_{\eta}$ is unknown, smooth vector field, $oldsymbol{u}$ \in $\Omega_u \subset \mathbb{R}^m$ is the input, $y_i \in \Omega_x|_1 \in \mathbb{R}, \ i = 1, \dots, m$ are the regulated output, r_i represents the relative degrees of the output y_i , $r = r_1 + \ldots + r_m \le n$, $n_z = n_{z_1} + \ldots + n_{z_m}$. The sets Ω_x and Ω_u are open, and $(\mathbf{0},\mathbf{0}) \in \Omega_x \times \Omega_u$. The function $h_i(\boldsymbol{x}, \boldsymbol{u})$ is a smooth partially known function $(h_i(\mathbf{0},\mathbf{0})=0)$, and $\boldsymbol{f}_{\eta}(\boldsymbol{x}_p,\boldsymbol{\eta})$ is a smooth partially known vector field ($\boldsymbol{f}_{\eta}(\boldsymbol{0},\boldsymbol{0}) = \boldsymbol{0}$).

Assumption 1: The Jacobian $\frac{\partial h}{\partial u}(x, u)$ is nonsingular for every $(\boldsymbol{x}, \boldsymbol{u}) \in \Omega_x \times \Omega_u$.

Assumption 2: For the system $\dot{\eta} = f_{\eta}(0, \eta)$, there exists a continuously differentiable function $V_{\eta}(\boldsymbol{\eta})$ satisfying

$$c_{1} \|\boldsymbol{\eta}\|^{2} \leq V_{\eta}(\boldsymbol{\eta}) \leq c_{2} \|\boldsymbol{\eta}\|^{2}$$

$$\dot{V}_{\eta} \leq -c_{3} \|\boldsymbol{\eta}\|^{2}$$

$$\left\|\frac{\partial V}{\partial \boldsymbol{\eta}}\right\| \leq c_{4} \|\boldsymbol{\eta}\|,$$
(2)

with some positive constants c_i 's, $i = 1, \ldots, 4$. Furthermore, the vector field f_{η} is Lipschitz in its arguments. Under this assumption, we have

$$\dot{V}_{\eta} = \frac{\partial V_{\eta}}{\partial \boldsymbol{\eta}} \boldsymbol{f}_{\eta}(\boldsymbol{x}_{p}, \boldsymbol{\eta})
= \frac{\partial V_{\eta}}{\partial \boldsymbol{\eta}} \boldsymbol{f}_{\eta}(\boldsymbol{0}, \boldsymbol{\eta}) + \frac{\partial V_{\eta}}{\partial \boldsymbol{\eta}} [\boldsymbol{f}_{\eta}(\boldsymbol{x}_{p}, \boldsymbol{\eta}) - \boldsymbol{f}_{\eta}(\boldsymbol{0}, \boldsymbol{\eta})]$$

$$\leq -c_{3} \|\boldsymbol{\eta}\|^{2} + c_{4}c_{5} \|\boldsymbol{\eta}\| \|\boldsymbol{x}_{p}\|,$$
(3)

where c_5 is the Lipschitz constant. This implies that with x_p as an input, the dynamics $\dot{\eta} = \boldsymbol{f}_{\eta}(\boldsymbol{x}_p, \boldsymbol{\eta})$ in (1) are inputto-state stable [7].

where $\boldsymbol{x}_{t_i} = [\boldsymbol{x}_{p_i}^{\top} \ \boldsymbol{x}_{c_i}^{\top}]^{\top}$, and $\bar{\boldsymbol{b}}_i = \begin{bmatrix} \boldsymbol{b}_i^{\top} & \boldsymbol{0}_{1 \times n_{c_i}} \end{bmatrix}^{\top}$, $B_i^g = \prod_{\tau}^{T} (\boldsymbol{b}_i^{\top} \ \boldsymbol{0}_{1 \times n_{c_i}} \end{bmatrix}^{\top}$

 $\begin{bmatrix} \mathbf{0}_{n_{z_i} \times r_i} & I_{n_{z_i}} & \mathbf{0}_{n_{z_i} \times n_{c_i}} \end{bmatrix}^\top.$

A baseline controller for the *i*th subsystem is assumed to be designed using only the local output y_i and neglecting the effect of the other control signals. As a result, it is assumed that the baseline controller for the *i*th subsystem is designed based on a single estimate λ_i for the term in $h_i(x, u)$. This induces the following modeling error:

$$h_i(\boldsymbol{x}, \boldsymbol{u}) = \lambda_i u_i + \Delta_i(\boldsymbol{x}, \boldsymbol{u}), \qquad (4)$$

where $\Delta_i(\boldsymbol{x}, \boldsymbol{u}) = h_i(\boldsymbol{x}, \boldsymbol{u}) - \lambda_i u_i$. The resulting controller is described by

$$\dot{\boldsymbol{x}}_{c_i} = A_{c_i} \boldsymbol{x}_{c_i} + \boldsymbol{b}_{c_i} (y_{d_i} - y_i), \ \boldsymbol{x}_{c_i} \in \mathbb{R}^{n_{c_i}} u_{c_i} = \boldsymbol{c}_{c_i} \top \boldsymbol{x}_{c_i} + d_{c_i} (y_{d_i} - y_i),$$

$$(5)$$

which regulates a linear model

$$\begin{aligned} \dot{\boldsymbol{x}}_{p_i} = A_i \boldsymbol{x}_{p_i} + \boldsymbol{b}_i \lambda_i u_i \\ y_i = \boldsymbol{c}_i \top \boldsymbol{x}_{p_i}, \end{aligned} \tag{6}$$

where
$$A_i = \begin{bmatrix} A_{o_i} & P_{o_i} \\ F_{o_i} & G_{o_i} \end{bmatrix}$$
, $b_i = \begin{bmatrix} b_{o_i} \\ 0_{n_{z_i} \times 1} \end{bmatrix}$, $c_i = \begin{bmatrix} c_{o_i} \\ 0_{n_{z_i} \times 1} \end{bmatrix}$, $A_{o_i} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ a_{i_1} & a_{i_2} & \dots & a_{i_{r_i}} \end{bmatrix}$, $P_{o_i} = \begin{bmatrix} 0 & 0 & \dots & p_i \end{bmatrix}_{r_i \times r_i}^{\top}$, $b_{o_i} = \begin{bmatrix} 0 & 0 & \dots & 1 \end{bmatrix}_{r_i \times 1}^{\top}$, $c_{o_i} = \begin{bmatrix} 1 & 0 & \dots & 0 \end{bmatrix}_{r_i \times 1}^{\top}$. The linear model in (6) regulated by the controller in (5) constitutes a reference model

that represents the desired behavior of the *i*th subsystem: $\bar{A} \cdot \boldsymbol{r} = \pm \bar{b} \cdot \boldsymbol{a}$ \dot{x}

$$\begin{aligned} \boldsymbol{x}_{m_i} &= A_i \boldsymbol{x}_{m_i} + \boldsymbol{b}_{d_i} y_{d_i} \\ y_{m_i} &= \bar{\boldsymbol{c}}_i^\top \boldsymbol{x}_{m_i} \end{aligned} \tag{7}$$

where $\boldsymbol{x}_{m_i} = [(\boldsymbol{x}_{p_i})^{m^{\top}}, \boldsymbol{x}_{c_i}^{\top}]^{\top} \in \Omega_{x_{m_i}} \subset \mathbb{R}^{r_i + n_{z_i} + n_{c_i}}$ in which the superscript m is introduced to denote the reference model, and $\bar{A}_i = \begin{bmatrix} A_i - \boldsymbol{b}_i \lambda_i d_{c_i} \boldsymbol{c}_i^{\top} & \boldsymbol{b}_i \lambda_i \boldsymbol{c}_{c_i}^{\top} \\ -\boldsymbol{b}_{c_i} \boldsymbol{c}_i^{\top} & A_{c_i} \end{bmatrix}, \bar{\boldsymbol{b}}_{d_i} = \begin{bmatrix} \boldsymbol{b}_i \lambda_i d_{c_i} \\ \boldsymbol{b}_{c_i} \end{bmatrix}, \bar{\boldsymbol{c}}_i = \begin{bmatrix} \boldsymbol{c}_i \\ \boldsymbol{0}_{n_{c_i} \times 1} \end{bmatrix}.$

Let the decentralized control signal be augmented by the adaptive signal

$$u_i = u_{c_i} - u_{ad_i}.\tag{8}$$

Applying the linear controller in (5) to the system in (1)leads to

$$\begin{aligned} \dot{\boldsymbol{x}}_{t_i} = & A_i \boldsymbol{x}_{t_i} + \boldsymbol{b}_{d_i} y_{d_i} + \boldsymbol{b}_i [-\lambda_i u_{ad_i} \\ &+ \Delta_i (\boldsymbol{x}, \boldsymbol{u})] + B_i^g \boldsymbol{g}_i (\boldsymbol{x}) \\ \dot{\boldsymbol{\eta}} = & \boldsymbol{f}_{\eta} (\boldsymbol{x}_p, \boldsymbol{\eta}) \\ y_i = & \bar{\boldsymbol{c}}_i^\top \boldsymbol{x}_{t_i}, \ i = 1, \dots, m \end{aligned}$$
(9)

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effect of the modeling error $\Delta(x, u)$. Whereas the output of the *i*th system is decoupled from the other control signals u_j $(j \neq i)$ in [4], the centralized architecture in Figure 1 allows for coupling in the input matrix, and therefore the class treated in this paper is broader than that in [4]. Compared to [6], the proposed approach takes the path in [8] and does not resort to the contraction mapping assumption.

III. TRACKING ERROR DYNAMICS

Defining the tracking error as

$$\boldsymbol{e}_i = \boldsymbol{x}_{m_i} - \boldsymbol{x}_{t_i}, \tag{10}$$

leads to the following error dynamics for the *i*th subsystem

$$\dot{\boldsymbol{e}}_{i} = A_{i}\boldsymbol{e}_{i} + \boldsymbol{b}_{i}[\lambda_{i}u_{ad_{i}} - \Delta_{i}(\boldsymbol{x},\boldsymbol{u})] - B_{i}^{g}\boldsymbol{g}_{i}(\boldsymbol{x})$$

$$\dot{\boldsymbol{\eta}} = \boldsymbol{f}_{n}(\boldsymbol{x}), \ \boldsymbol{s}_{i} = \bar{C}_{i}\boldsymbol{e}_{i},$$

$$(11)$$

where $\boldsymbol{s}_i := [y_{m_i} - y_i, \ \boldsymbol{x}_{c_i}^{m\top} - \boldsymbol{x}_{c_i}^{\top}]^{\top}$ represents available measurements, and hence $\bar{C}_i = \begin{bmatrix} \bar{c}_i^{\top} & \mathbf{0} \\ \mathbf{0} & I_{n_{c_i}} \end{bmatrix}$. Since \bar{A}_i is Hurwitz by design, there exist a $P_i = P_i^{\top} > 0$ such that for an arbitrary $Q_i > 0, \ \bar{A}_i^{\top} P_i + P_i \bar{A}_i + Q_i = 0$. By introducing $\boldsymbol{e} = [\boldsymbol{e}_1^{\top}, \dots, \boldsymbol{e}_m^{\top}]^{\top} \in \mathbb{R}^{r+n_z+n_c}$, Eq. (11) can be written as

$$\dot{\boldsymbol{e}} = \bar{A}\boldsymbol{e} + B[\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta}] - B^{g}\boldsymbol{g}(\boldsymbol{x})$$

$$\dot{\boldsymbol{\eta}} = \boldsymbol{f}_{n}(\boldsymbol{x}), \qquad (12)$$

 $\begin{array}{lll} \text{where} \quad \bar{A} &= & \operatorname{diag}\{\bar{A}_1, \bar{A}_2, \dots, \bar{A}_m\} &\in \\ \mathbb{R}^{(r+n_z+n_c)\times(r+n_z+n_c)}, \quad \bar{B} &= & \operatorname{diag}\{\bar{b}_1, \bar{b}_2, \dots, \bar{b}_m\} &\in \\ \mathbb{R}^{(r+n_z+n_c)\times m}, \quad B^g &= & \operatorname{diag}\{B_1^g, B_2^g, \dots, B_m^g\} &\in \\ \mathbb{R}^{(r+n_z+n_c)\times n_z}, \quad \Lambda &= \operatorname{diag}\{\lambda_1, \lambda_2, \dots, \lambda_m\} \in \mathbb{R}^{m\times m} \end{array}$

Assumption 3: The unmatched uncertainty g(x) is bounded as follows:

$$\|\boldsymbol{g}(\boldsymbol{x})\| \leq \alpha_p \|\boldsymbol{x}_p\| + \alpha_\eta \|\boldsymbol{\eta}\|, \ \alpha_p, \alpha_\eta \geq 0.$$

Note that the system in (12) has the same form as that in [6, Eq. (21)] except the diagonalized system matrix \overline{A} because the linear controllers are decentralized. To address the fact that the uncertainty $\Delta(x, u)$ depends on the control signals, in [6] the uncertainty is assumed to be a contraction mapping with respect to the adaptive signal u_{ad} . In this paper, we follow the path in [8] and note that

$$\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta} = -\boldsymbol{h}(\boldsymbol{x}, \boldsymbol{u}_c - \boldsymbol{u}_{ad}) + \Lambda \boldsymbol{u}_c.$$
(13)

Since $\frac{\partial \mathbf{h}}{\partial u_{ad}}(\mathbf{x}, u_c - u_{ad}) = -\frac{\partial \mathbf{h}}{\partial u}(\mathbf{x}, u)$ is nonsingular by Assumption 1, applying the implicit function theorem as in [9] guarantees that there exists a smooth function $u_{ad_*} = u_{ad_*}(\mathbf{x}, \mathbf{x}_c)$ such that

$$-\boldsymbol{h}(\boldsymbol{x}, \boldsymbol{u}_c(\boldsymbol{x}_c) - \boldsymbol{u}_{ad_*}) + \Lambda \boldsymbol{u}_c, = \boldsymbol{0}$$
(14)

for every $(\boldsymbol{x}, \boldsymbol{x}_c) \in \Omega_x \times \Omega_{x_c}$. With the definition of \boldsymbol{u}_{ad_*} , Eq. (13) can be expressed as

$$\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta} = -\boldsymbol{h}(\boldsymbol{x}, \boldsymbol{u}_c - \boldsymbol{u}_{ad}) + \boldsymbol{h}(\boldsymbol{x}, \boldsymbol{u}_c - \boldsymbol{u}_{ad_*}). \quad (15)$$

Unlike a single-input single-out system in [10], the mean value theorem in general does not hold in a multivariable function. Therefore, we follow the steps in [8] and define a mapping $f(\boldsymbol{x}_m, \boldsymbol{x}, \boldsymbol{u}) := \boldsymbol{e}^\top P \bar{B} \boldsymbol{h}(\boldsymbol{x}, \boldsymbol{u})$, where P :=

diag $\{P_1, \ldots, P_m\} \in \mathbb{R}^{(r+n_z) \times (r+n_z)}$. Then, the mapping becomes a scalar mapping $f : \Omega_{x_m} \times \Omega_x \times \Omega_u \to \mathbb{R}$, and we have

$$e^{\top} P\bar{B}(\Lambda u_{ad} - \Delta)$$

$$= -f(\boldsymbol{x}_{m}, \boldsymbol{x}, \boldsymbol{u}_{c} - \boldsymbol{u}_{ad}) + f(\boldsymbol{x}_{m}, \boldsymbol{x}, \boldsymbol{u}_{c} - \boldsymbol{u}_{ad_{*}})$$

$$= -\left[\frac{\partial f}{\partial \boldsymbol{x}_{m}}, \frac{\partial f}{\partial \boldsymbol{x}}, \frac{\partial f}{\partial \boldsymbol{u}}\right]\Big|_{(\boldsymbol{x}_{m}, \boldsymbol{x}, \bar{\boldsymbol{u}})} \begin{bmatrix} \boldsymbol{0}_{r+n_{z}+n_{c}} \\ \boldsymbol{0}_{n} \\ -\boldsymbol{u}_{ad} + \boldsymbol{u}_{ad_{*}} \end{bmatrix} (16)$$

$$= e^{\top} P\bar{B}H(\bar{\boldsymbol{u}})[\boldsymbol{u}_{ad} - \boldsymbol{u}_{ad_{*}}]$$

where $H(\bar{u}) := \frac{\partial h}{\partial u}\Big|_{(x,\bar{u})}$ that is nonsingular by Assumption 1, and $\bar{u} = u_c - \theta u_{ad} - (1-\theta)u_{ad_*}$ for a constant $\theta \in [0, 1]$. The above expression implies that as far as a Lyapunov candidate function of the form $\frac{1}{2}e^{\top}Pe$ (P > 0) is considered, the error dynamics in (12) can be treated as if the mean value theorem holds, i.e., $\dot{e} = \bar{A}e + \bar{B}H(\bar{u})[u_{ad} - u_{ad_*}] - B^g g(x)$ because both error dynamics lead to the same product term in a stability analysis. As in [8], we introduce the following assumption regarding $H(\bar{u})$, which is fundamental in most nonlinear robust control methods [11] and further explained in Remark 1.

Assumption 4: The matrix $H(\bar{u})$ can be decomposed as $H(\bar{u}) = \hat{H}(I + \Delta H(x, u))$ with a known nonsingular \hat{H} and $0 \le ||\Delta H(x, u)|| \le b_{\Delta} < 1$ on $\Omega_x \times \Omega_u$.

IV. ADAPTIVE CONTROL

A single hidden layer NN (SHLNN) is used to approximate $u_{ad_*}(x, x_c)$ in (14) because it is a universal approximator [12]. Since the arguments of u_{ad_*} include the unavailable states x, we recall the main result in [13] that establishes universal approximation for an unknown function of the states and control in an *observable* system using sampled values of its input/output. Following [13], [14], for a given $\epsilon^* > 0$, u_{ad_*} is parametrized on the compact set $C_{\bar{x}} := \Omega_x \times \Omega_{x_c}$ by

$$\boldsymbol{u}_{ad_*}(\boldsymbol{x}, \boldsymbol{x}_c) = M^{\top} \boldsymbol{\sigma}(N^{\top} \boldsymbol{\mu}) + \boldsymbol{\varepsilon}(\boldsymbol{\mu}), \ \|\boldsymbol{\varepsilon}(\boldsymbol{\mu})\| \leq \epsilon^*, \ (17)$$

where $\varepsilon(\mu)$ is the NN reconstruction error and μ is the network input vector

$$\boldsymbol{\mu}(t) = \begin{bmatrix} 1 & \bar{\boldsymbol{u}}_{d}^{\top}(t) & \bar{\boldsymbol{y}}_{d}^{\top}(t) & \boldsymbol{x}_{c}(t)^{\top} \end{bmatrix}^{\top}, \quad \|\boldsymbol{\mu}\| \leq \mu^{*} \\ \bar{\boldsymbol{u}}_{d}^{\top}(t) = \begin{bmatrix} \boldsymbol{u}(t), \boldsymbol{u}(t-d), \dots, \boldsymbol{u}(t-(n_{1}-r-1)d) \end{bmatrix}^{\top} \\ \bar{\boldsymbol{y}}_{d}^{\top}(t) = \begin{bmatrix} \boldsymbol{y}(t), \boldsymbol{y}(t-d), \dots, \boldsymbol{y}(t-(n_{1}-1)d) \end{bmatrix}^{\top},$$
(18)

where r is the relative degree, and n_1 is selected greater than or equal to the observability index of the system output \boldsymbol{y} that guarantees a diffeormorphism between \boldsymbol{x} and $\boldsymbol{Y} :=$ $[\boldsymbol{y}, \dot{\boldsymbol{y}}(t), \dots, \boldsymbol{y}^{(n_1-1)}(t)]^{\top}$. The constant d > 0 is a time delay, and $\boldsymbol{\sigma}$ is a vector of squashing functions $\boldsymbol{\sigma}(\cdot)$, its i^{th} element being defined like $[\boldsymbol{\sigma}(N^{\top}\boldsymbol{\mu})]_i = \boldsymbol{\sigma}[(N^{\top}\boldsymbol{\mu})_i]$.

Assumption 5: On the compact set $C_{\bar{x}}$, the ideal NN weights M, N are bounded, i.e., $||M||_F \leq M^*$ and $||N||_F \leq N^*$.

The adaptive signal u_{ad} is designed as

$$\boldsymbol{u}_{ad} = \widehat{\boldsymbol{M}}(t)^{\top} \boldsymbol{\sigma}(\widehat{\boldsymbol{N}}(t)^{\top} \boldsymbol{\mu}), \tag{19}$$

where $\widehat{M}(t), \widehat{N}(t)$ are the estimates for M, N in (17) and adapted on-line.

For an adaptation law for $\widehat{M}(t)$, $\widehat{N}(t)$, we follow the path in [14] and introduce an error observer. For this, we note that when $u_{ad} = u_{ad_*}$, $\Lambda u_{ad} = \Delta$ by (15), and hence $\lambda_i u_{ad_i} - \Delta_i(\boldsymbol{x}, \boldsymbol{u}) = 0$ for $i = 1, \ldots, m$. Therefore, we design the following linear observer for \boldsymbol{e}_i [14]: $\dot{\boldsymbol{e}}_i = \overline{A}_i \hat{\boldsymbol{e}}_i + K_i(\boldsymbol{s}_i - \hat{\boldsymbol{s}}_i)$, where K_i is selected such that $\widetilde{A}_i := \overline{A}_i - K_i \overline{C}_i$ is Hurwitz. Let $\tilde{\boldsymbol{e}}_i = \hat{\boldsymbol{e}}_i - \boldsymbol{e}_i$. The the observation error dynamics are described by $\dot{\boldsymbol{e}}_i = \widetilde{A}_i \tilde{\boldsymbol{e}}_i - \overline{b}_i [\lambda_i u_{ad_i} - \Delta_i(\boldsymbol{x}, \boldsymbol{u})] + B_i^g \boldsymbol{g}_i(\boldsymbol{x})$. Since \widetilde{A}_i is Hurwitz, for a $\widetilde{Q}_i > 0$, there exists a $\widetilde{P} > 0$ such that $\widetilde{A}_i^\top \widetilde{P}_i + \widetilde{P}_i \widetilde{A}_i^\top + \widetilde{Q}_i = 0$. With the notation $\widetilde{\boldsymbol{e}} = [\widetilde{\boldsymbol{e}}_1^\top, \ldots, \widetilde{\boldsymbol{e}}_m^\top]^\top$, the overall observation error dynamics are written as

$$\dot{\tilde{\boldsymbol{e}}} = \tilde{A}\tilde{\boldsymbol{e}} - \bar{B}[\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta}] + B^g \boldsymbol{g}(\boldsymbol{x}).$$
(20)

The NN weights $\widehat{M}(t)$, $\widehat{N}(t)$ are updated according to the following adaptation laws [14]

$$\dot{\widehat{M}} = -\Gamma_M[(\hat{\sigma} - \hat{\sigma}' \widehat{N}^\top \mu) r + k \widehat{M}], \\ \dot{\widehat{N}} = -\Gamma_N[\mu r \widehat{M}^\top \hat{\sigma}' + k \widehat{N}],$$
(21)

in which Γ_M , $\Gamma_N > 0$ are positive definite adaptation gain matrices, k > 0 is a σ -modification constant, $\hat{\sigma} \triangleq \sigma(\hat{N}^\top \eta)$, $\hat{\sigma}'$ is the Jacobian computed at the estimates: $\hat{\sigma}' = \sigma'(\hat{N}^\top \eta)$, and the training signal is given by

$$\boldsymbol{r} = \boldsymbol{e}^{\top} P \bar{B} \hat{H} \tag{22}$$

Remark 1: In a completely decentralized setting, r = $[r_1, \ldots, r_m]^{\top}$ where $r_i = \hat{\boldsymbol{e}}_i^{\top} P_i \boldsymbol{b}_i \lambda_i$. Therefore, compared to the decentralized approach, the centralized neural controller is beneficial in two aspects: 1) the approach effectively accommodates couplings in the control input matrix in regulating all the outputs 2) the observability is enhanced by processing all the outputs. As a price, the control input matrix is required to meet Assumption 4. In other words, addressing the coupling effects require an estimate for the couplings in the input channels. This estimate should be made such that the nominal control action should not be overcome by uncertain modeling error $(\|\Delta H(\boldsymbol{x}, \boldsymbol{u})\| \leq b_{\Delta} < 1)$, which has been a fundamental requirement in nonlinear robust control literature [7], [11]. In implementation aspects, NNs have an inherent parallel structure, and numerical complexity does not increase significantly compared to the decentralized NN architecture. As a matter of fact, a single centralized NN can be decomposed as multiple NNs that processes the same input [5].

Remark 2: Our analysis shows that when the control system, including a NN, is fully decentralized, the decentralized control system is valid if $\|\Delta H\| = \|H(\bar{u}) - \Lambda\| < 1$, and each output is observable with respect to the entire system. That is, if the coupling effect among control signals is not dominant, the validity of the decentralized controllers is still guaranteed with an each observable output.

V. STABILITY ANALYSIS

Define
$$\widetilde{M} \triangleq \widehat{M} - M$$
, $\widetilde{N} \triangleq \widehat{N} - N$, $\widetilde{Z} \triangleq \begin{bmatrix} \widetilde{M} & 0 \\ 0 & \widetilde{N} \end{bmatrix}$,
where M, N are ideal weights defined in (17). The term
 $u_{ad} - u_{ad_*}$ allows for the following upper bound [14]
 $||u_{ad} - u_{ad_*}|| \leq \delta_1 ||\widetilde{Z}||_F + \delta_2, \quad \delta_1, \delta_2 > 0$. The NN
approximation error $u_{ad} - u_{ad_*}$ can, using Taylor series
expansion, be described as follows $u_{ad} - u_{ad_*} = \widetilde{M}(\hat{\sigma} - \hat{\sigma}'\widehat{N}^{\top}\mu) + \widehat{M}^{\top}\hat{\sigma}'\widetilde{N}^{\top}\mu + \omega - \varepsilon$, where $\omega = \widetilde{M}^{\top}\hat{\sigma}'N^{\top}\mu - M^{\top}\mathcal{O}((\widetilde{N}^{\top}\mu)^2))$ with $\mathcal{O}((\widetilde{N}^{\top}\mu)^2)$ as higher order terms.

Using the bound for μ in (18), the term $\omega - \varepsilon$ can be bounded [14] $\|\omega - \varepsilon\| \le \delta_3 \|\widetilde{Z}\|_F + \delta_4$, $\delta_3, \delta_4 > 0$. For a stability analysis, consider the following Lyapunov candidate function

$$V(\boldsymbol{e}, \tilde{\boldsymbol{e}}, \widetilde{\boldsymbol{M}}, \widetilde{\boldsymbol{N}}) = \boldsymbol{e}^{\top} \boldsymbol{P} \boldsymbol{e} + \tilde{\boldsymbol{e}}^{\top} \tilde{\boldsymbol{P}} \tilde{\boldsymbol{e}} + \operatorname{tr} \left(\widetilde{\boldsymbol{M}}^{\top} \boldsymbol{\Gamma}_{\boldsymbol{M}}^{-1} \widetilde{\boldsymbol{M}} \right) + \operatorname{tr} \left(\widetilde{\boldsymbol{N}}^{\top} \boldsymbol{\Gamma}_{\boldsymbol{N}}^{-1} \widetilde{\boldsymbol{N}} \right) + V_{\eta}(\boldsymbol{\eta}).$$
(23)

The time derivative of V along (12) and (20) becomes

$$\begin{split} \dot{V} &= 2\boldsymbol{e}^{\top} P \left[\bar{A}\boldsymbol{e} + \bar{B} [\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta}] - B^{g} \boldsymbol{g}(\boldsymbol{x}) \right] \\ &+ 2\tilde{\boldsymbol{e}}^{\top} \tilde{P} \left[\tilde{A}\tilde{\boldsymbol{e}} - \bar{B} [\Lambda \boldsymbol{u}_{ad} - \boldsymbol{\Delta}] + B^{g} \boldsymbol{g}(\boldsymbol{x}) \right] \\ &+ \operatorname{tr} \left(\widetilde{M}^{T} \Gamma_{M}^{-1} \dot{\widehat{M}} \right) + \operatorname{tr} \left(\widetilde{N}^{T} \Gamma_{N}^{-1} \dot{\widehat{N}} \right) + \dot{V}_{\eta}. \end{split}$$
(24)

Applying the mean value theorem as in (16) leads to

$$\dot{V} = -e^{\top}Qe + 2e^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}] -\tilde{e}^{\top}\tilde{Q}\tilde{e} - 2\tilde{e}^{\top}\tilde{P}\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}] + \dots$$
(25)

Using Assumption 4, the product term $2e^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}]$ can be arranged as

$$2e^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}]$$

$$= 2(\hat{e} - \tilde{e})^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}]$$

$$= 2\hat{e}^{\top}P\bar{B}\hat{H}(I + \Delta H)[u_{ad} - u_{ad_*}]$$

$$- 2\tilde{e}^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}]$$

$$= 2\hat{e}^{\top}P\bar{B}\hat{H}[\widetilde{M}(\hat{\sigma} - \hat{\sigma}'\hat{N}^{\top}\mu) + \widehat{M}^{\top}\hat{\sigma}'\tilde{N}^{\top}\mu$$

$$+ \omega - \varepsilon] + 2\hat{e}^{\top}P\bar{B}\hat{H}\Delta H[u_{ad} - u_{ad_*}]$$

$$- 2\tilde{e}^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}].$$
(26)

Note that Eq. (25) is the same as that in [14] except the presence of $H(\bar{u})$ and V_{η} . Since $\|\Delta H\| \leq b_{\Delta} < 1$, and hence $\|H(\bar{u})\| \leq \|\hat{H}\| (1+b_{\Delta})$, the product terms associated with $H(\bar{u})$ and ΔH can be treated using upper bounds similar to those in [14]. For example, $|\tilde{e}^{\top}P\bar{B}H(\bar{u})[u_{ad} - u_{ad_*}]| \leq \|P\bar{B}\| (1+b_{\Delta}) \|e\| [\delta_1 \|\tilde{Z}\|_F + \delta_2] \leq \gamma_1 \|e\| \|\tilde{Z}\|_F + \gamma_2 \|e\|$, where $\gamma_1 = \delta_1 \|P\bar{B}\| (1+b_{\Delta})$, and $\gamma_2 = \delta_2 \|P\bar{B}\| (1+b_{\Delta})$. This means that the stability directly follows from the results in [14] and [6]. Therefore, we state the main result of the paper without a proof.

Theorem 1: Suppose that the system satisfies Assumptions 1-5. The feedback control law in (8) with the adaptive signal in (19), together with the NN weights updated by (21), guarantees that the signals $e, \tilde{e}, \tilde{M}, \tilde{N}$, and η in the closed-loop system are ultimately bounded.

Remark 3: The rationale in this section is to view the decentralized linear controllers in (5) as a subclass of centralized controllers in [6]. Therefore, the stability proof is the same except that a SHLNN is used while a radial basis function NN is used in [6]. A main feature that distinguishes the control design from that in [6] is the coupling terms in the input matrix that are treated by (26).

Remark 4: The decentralized nature of the proposed architecture allows for straightforward addition of an additional control system when a new set of sensors and actuators are deployed; A local controller for a new pair is designed and is coordinated by a NN that processes all the input and outputs. The prerequisite for this procedure is to obtain a new \hat{H} that includes additional elements arising from added sensor/actuator pairs.

VI. SIMULATIONS

The notations used to derive the equation of motion in this section are independent from the other section, which should be clear in the context.

Consider a flexible inverted pendulum in Figure 2. The inverted pendulum has been often used to study tasks associated with balancing such as stabilization for rocket thrusters [15]. Our motivation is to study the proposed architecture in suppressing vibrations caused by flexibilities in a slender launch vehicle and providing attitude stabilization during its ascent phase. In Figure 2, the tip mass is mounted to account for an additional payload carried by such slender launch vehicles. The flexible pendulum in Figure 2 consists



Fig. 2. A flexible inverted pendulum on a cart

of a motor driven cart, which is equipped with two encoders that measure the position of the cart (x) and the angle of the pendulum (θ) , which is free to swing at the side of the cart. Due to limited spaces, we do not include a complete system model, however, a similar model with detailed system properties can be found in [16].

The available measurements are :

$$y_1 = x, \ y_2 = \theta,$$

$$y_3 = \ddot{x}\cos(\theta + \beta) + (L\ddot{\theta} + \ddot{w})\cos\beta + (L\dot{\theta} + \dot{w})\dot{\theta}\sin\theta$$

where *L* is the length of the pendulum, $\beta(t) := \frac{\partial w}{\partial r}(L, t)$, and therefore y_3 represents the tip acceleration in the direction of b_2 in Figure 2. The control forces include the external force applied to the cart $F_1(t)$ and the external force $F_2(t)$, which mimics a gas-jet thruster located at the payload in case of lanuch vehicles. They are realized by the control voltages according to the following relation:

$$F_{1} = F_{1}i_{2} = (a_{1}u_{1} - a_{2}\dot{x})i_{2},$$

$$F_{2} = -F_{2}b_{2} = -k_{a}u_{2}b_{2},$$
(27)

where $a_1 = 1.72$, $a_2 = 7.68$ are due to the gear actuation mechanism [17], and $k_a = 1$ is a force gain.

The rationale for the decentralized controllers is as follows: design a controller for u_1 in the same manner as in controlling a rigid pendulum on the cart while u_2 is independently used to suppress vibrations due to flexibility. An immediate issue in this pursuit is that blindly suppressing the accelerations at the tip mass also suppresses accelerations due to the rigid body motion. Therefore, a reference model, composed of a linear pendulum model regulated by the controller for u_1 , is utilized in the design of a controller for u_2 . That is, the nominal controller for u_2 is designed only to suppress the deviation of the acceleration from that of the reference model, which is the nominal closed-loop system.

Linear controller for u_1 : The nominal model for the system in Figure 2 is obtained by neglecting elasticity of the beam and then linearizing the pendulum equation with respect to the vertical equilibrium. Since the nominal model is the linearized model with respect to the vertical position, the nominal control design is carried out in the same manner as was done in [17]. A linear quadratic Gaussian (LQG) controller is designed for output tracking. Figure 3 compares



Fig. 3. Times responses of the reference model and the closed loop system

the responses of the reference model to the closed-loop responses when the LQG controller is applied to the system . Initially the pendulum is tilted with 10° while all the other states are set to zero. While the reference model shows desired behavior for (x, θ) , the system regulated by the LQG controller goes unstable. The instability is caused by the flexibility in the beam. The LQG controller fails to stabilize the inverted pendulum even for an arbitrarily small initial tilt of the pendulum. Without the flexibility, it can be proven that a domain of attraction exists, and the closed-loop linear system is exponentially stable.

Linear controller for u_2 : For acceleration feedback, an independent control law for u_2 is designed. Since the goal of the acceleration feedback is to suppress vibrations due to the flexibility of the pendulum, the desired profile for the acceleration, $y_{d_3}(t)$, is obtained by the reference model consisting of the plant model regulated by the LQG controller in Section VI, and hence $y_{d_3}(t) = y_{m_3}(t) = \ddot{x}_m(t) + L\ddot{\theta}_m(t)$. An issue related to acceleration feedback is that it has relative



Fig. 4. Time responses with and without NN augmentation for the

decentralized controllers

degree zero while most approaches, including the proposed adaptive control in this paper, assume the relative degree greater than zero. Considering that acceleration signals are usually filtered before use for feedback, we introduce a first-order filter for y_3 : $y_{3_f} = L(s)y_3$, where $L(s) = \frac{1}{s/\omega_a+1}$, $\omega_a = 6(Hz) = 12\pi(rad/sec)$, and treat y_{3_f} as the regulated output. The resulting controller is designed as $u_{c_2} = -K_a L(s)(y_{d_3} - y_3)$, where $K_a = 1$.

Adaptive control augmentation: The input matrix H in Assumption 4 is obtained by considering the linearized dynamics that include the low-pass filter L(s) for the acceleration. An error observer for $e_1 = [e_1^r, x_{c_1r}^{m\top} - x_{c_1}^{\top}]^{\top}$ is designed as the following reduced observer $\hat{e}_1 = A_1 \hat{e}_1^r + b_1[u_{c_1}(y_{m_1}) - u_{c_1}(y_1)] + L_1(s_1 - \hat{s}_1)$, where $s_1 = [y_{m_1} - y_1, y_{m_2} - y_2]^{\top} = C_1^r e_1^r$ because $x_{c_1}^m - x_{c_1}$ is available. The signal $u_{c_1}(y_{m_1})$ is the linear control signal in the reference model and $u_{c_1}(y_1)$ is the output of the LQG controller. Therefore, the error estimate \hat{e}_1 is constructed as: $\hat{e}_1 = [(\hat{e}_1^r)^{\top} (x_{c_1}^m - x_{c_1})^{\top}]^{\top}$, and the resulting overall error estimate becomes $\hat{e} = [\hat{e}_1^r, x_{c_2}^{\top}]^{\top}$.

A SHLNN consisting of 10 neurons in the hidden layer is implemented to achieve coordination between (u_1, y_1, y_2) and (u_2, y_3) . The input for the NN is composed of 2 delayed values of y_1, y_2 , and y_3 , as well as the inputs u. The time delay is set as d = 0.002 sec. The tuning parameters for two networks are set as: $\Gamma_M = 0.1I, \Gamma_N = 0.5I, k_1 = 0.02$ where I is the identity matrix with compatible dimension.

Figure 4 compares output responses of the closed-loop systems with and without the NN. While the accelerations levels are kept at the same level, the NN provides improved responses in the position of the cart and the angle of the pendulum. This implies that the NN helps to coordinate the rigid motion with suppressing action of the tip actuator while independent design for acceleration suppression leads to large deviation in the rigid body motion.

VII. SUMMARY

We propose a central neural network based adaptive algorithm that coordinates a distributed pair of sensors and actuators in controlling an uncertain complex system. Compared to the previous approaches, the approach allows for control couplings among the input/output pair which is utilized for updating a centralized neural network. The stability proof naturally follows from that of the existing multi-input multi-output approach by taking a viewpoint that decentralized controllers are a subclass of linear controllers. We illustrate the proposed method in control of a flexible inverted pendulum mounted on a cart in which acceleration feedback is added to a linear quadratic Gaussian regulator designed for a rigid pendulum and a cart system.

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