An IMC decentralized PID controller
retuning method based on frequency
domain data.

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Abstract: In this work, a method is proposed for retuning decentralized proportional-integral-
derivative controllers. It consists in applying the internal model control approach in order to fit
the closed-loop frequency response to that of a desired reference model. Then, an optimization
problem is solved to adjust the increments on the controller parameters in order to meet the
closed-loop requirements. To guarantee stability and robustness, linear margin constraints are
applied to each loop, using the concept of effective loop transfer functions to take the coupling
into account. The procedure is validated through simulations studies, by comparing it with other
decentralized and centralized tuning methods.

Keywords: Decentralized control, PID control, internal model control.

1. INTRODUCTION

Many industrial processes are multi-input and multi-output (MIMO). The coupling between loops is a major
issue for achieve stability and performance requirements
during the control design of this process. In literature,
several control strategies are proposed, to tune controllers
with centralized or decentralized structures. The simplest
consists in the decentralized proportional-integral (PI) or
proportional-integral-derivative (PID) structures, which
are easy to implement and leads to good solutions in most
applications (Nisi et al., 2019).

To address the issues caused by loops interactions
in decentralized control, different approaches can be taken.
One consists in use MIMO stability criteria during design,
such as the Nyquist criterion or Gershgorin bands. The
latter is used in Euzébio et al. (2020), where it is presented
the design of decentralized PID controllers, by solving a
linear programming problem. The main disadvantage was
that this approach is too conservative, being applicable
only to diagonal dominant process.

Other approach is to divide the MIMO system into sev-
eral single-input and single-output (SISO) subsystems,
and take the loop interactions into account by using the
concept of effective transfer function (ETF). In Silva and
Barros (2020) and Garrido et al. (2022), the ETF and the
effective loop transfer function (ELTF) are applied, respec-
tively, to design decentralized and centralized controllers.
The stability and robustness of each subsystem is assured
by applying constraints in the Nyquist diagrams, using a
method proposed by Karimi et al. (2007).

Methods based on internal model control (IMC) are also
used to specify the closed-loop desired responses. This
approach is applied in Jeng and Lee (2023), where a
diagonal reference model is defined to specify the desired
performance. Then the specifications of the off-diagonal
terms are chosen in order to make the controller matrix
diagonal. Frequency domain data is used to formulate a
least square problem to approximate the controller desired
frequency response by a decentralized PID structure.

In Gao et al. (2017), it is said that around 60% of industrial
controllers suffer from certain types of malfunctions. The
main causes of this malfunctions are the poorly tuned con-
troller parameters and the changes in the plant structure.
Then, it is proposed a data-driven method for performance
assessment and retuning of PID controllers.

In Silva Moreira et al. (2021), an internal model con-
trol (IMC) returning method for PID controllers is pre-
sented. It uses only process frequency domain data, and
is restricted to SISO systems. The method is extended
to square MIMO processes in Aguiar et al. (2021). The
resulting controllers are centralized.

In this paper, a method for retuning decentralized PID
controllers is proposed. Given the initial controller gains
and the frequency response of the process, the aim is
that the gains increments based on a closed-loop
desired specification. To ensure stability and robustness,
margin constraints are imposed to each loop, by taking
into account the interactions using the ETF approach.
Then, a convex optimization problem is stated to solve
the problem with the constraints.
This paper is organized as follows. In Section 2 the control problem is defined. The proposed method is presented in Section 3. Simulation studies are shown in Section 4. Conclusions are discussed in Section 5.

2. PROBLEM STATEMENT

Consider the closed-loop system block diagram presented in Fig. 1. It is a \( n \times n \) MIMO process where:

\[
G(s) = \begin{bmatrix}
G_{11}(s) & G_{12}(s) & \cdots & G_{1n}(s) \\
G_{21}(s) & G_{22}(s) & \cdots & G_{2n}(s) \\
\vdots & \vdots & \ddots & \vdots \\
G_{n1}(s) & G_{n2}(s) & \cdots & G_{nn}(s)
\end{bmatrix}.
\]

(1)

Here, \( G_{ij}(s) \) is the transfer function between \( j \)th input and \( i \)th output. The system is in closed-loop with an initial decentralized controller:

\[
C^0(s) = \begin{bmatrix}
C^0_{11}(s) & C^0_{12}(s) & \cdots & C^0_{1n}(s) \\
C^0_{21}(s) & C^0_{22}(s) & \cdots & C^0_{2n}(s) \\
\vdots & \vdots & \ddots & \vdots \\
C^0_{n1}(s) & C^0_{n2}(s) & \cdots & C^0_{nn}(s)
\end{bmatrix}.
\]

(2)

The \( j \)th loop term of the controller has the following parallel PID form:

\[
C^0_j(s) = k^0_{Pj} + \frac{k^0_{Ij}}{s} + \frac{k^0_{Dj} s}{T_{fj}s + 1},
\]

(3)

where \( k^0_{Pj}, k^0_{Ij} \) and \( k^0_{Dj} \) are the \( j \)th loop proportional, integral and derivative gains, respectively. \( T_{fj} \) is the derivative filter time constant, which is assumed to be known.

![Decentralized control system block diagram](image)

Fig. 1. Decentralized control system block diagram.

For this system, the complementary sensitivity matrix is defined as:

\[
T^0(s) = (I + G(s)C^0(s))^{-1}G(s)C^0(s).
\]

(4)

Given \( T^0(s) \) and \( C^0(s) \), the problem consists in obtain a new PID decentralized controller, named \( C(s) \), such that the new closed-loop transfer matrix, \( T(s) \), approaches a specified dynamics given by \( T^0(s) \).

Assuming that the elements of \( G(s) \) have no zeros on RHP, then:

\[
T_r(s) = \begin{bmatrix}
\frac{e^{-L_{11}s}}{\tau_{1j}s + 1} & \frac{e^{-L_{12}s}}{\tau_{1j}s + 1} & \cdots & \frac{e^{-L_{1n}s}}{\tau_{1j}s + 1}
\end{bmatrix},
\]

(5)

where \( \tau_j \) is the specified time constant for \( j \)th loop and \( L_{ij} \) is the time delay of \( G_{ij}(s) \).

3. CONTROLLER RETUNING METHOD

In this section, the proposed procedure to retuning decentralized PID controllers will be presented. An optimization problem will be formulated to approximate the frequency response between the complementary sensitivity matrix and the desired specification.

To guarantee closed-loop stability and robustness, constraints on the Nyquist diagram are added to the optimization problem. This is done by using the effective transfer function (ETF) to account for loop interactions, such that SISO stability margins limits can be imposed for each loop.

3.1 Controller design

Given the initial controller \((C^0(s))\), the designed controller can be expressed as:

\[
C(s) = C^0(s) + C^\Delta(s),
\]

(6)

where \( C^\Delta(s) \) is the increment transfer matrix.

The problem of PID IMC controller retuning was first proposed in Silva Moreira et al. (2021). In Aguiar et al. (2021), the problem was extended to redesign decentralized controllers. Here, the case of PID decentralized control is treated.

Lemma 1. The increments \( C^\Delta(s) \) in order to meet the specification \( T_r(s) \) are given by:

\[
C^\Delta(s) = C^0(s) (T^0(s))^{-1} (T_r(s) - T^0(s)) (S_r(s))^{-1}.
\]

(7)

Proof. Defining the following sensitivity functions:

\[
S^0(s) = I - T^0(s) = (I + G(s)C^0(s))^{-1}, \quad S(s) = I - T(s) = (I + G(s)C(s))^{-1},
\]

(8)

\[
S_r(s) = I - T_r(s).
\]

(9)

Then:

\[
S^0(s) (S(s))^{-1} S_r(s) =
\]

\[
= (I + G(s)C^0(s))^{-1} (I + G(s)C(s)) S_r(s).
\]

(10)

Ideally, \( S(s) = S_r(s) \), which leads to:

\[
S^0(s) = (I + G(s)C^0(s))^{-1} (I + G(s)C(s)) S_r(s).
\]

(11)

This equation can be rewritten, using (6) and then (4), as:

\[
S^0(s) = \left[ I + T^0(s) (C^0(s))^{-1} C^\Delta(s) \right] S_r(s),
\]

(12)

that is equivalent to:

\[
S^0(s) - S_r(s) = T_r(s) - T^0(s) =
\]

\[
= T^0(s) (C^0(s))^{-1} C^\Delta(s) S_r(s).
\]

Equation (7) can be used to compute the frequency response of the controller increments by taking the frequency response over a suitable frequency range \([\omega_1, \omega_N]\).

To retuning the parameters of the PID控制器, the frequency response of \( C^\Delta(j\omega) \) is approximated by \( C^\Delta_{PID}(j\omega) \), which has the following form:

\[
C^\Delta_{PID}(j\omega) = K_P^\Delta + \frac{K_I^\Delta}{j\omega} + K_D^\Delta j\omega,
\]

(13)

where \( K_P^\Delta, K_I^\Delta, K_D^\Delta \in \mathbb{R}^{n \times n} \) are the PID controller increments.

Then, the following convex optimization problem can be written to obtain the increments:

\[
\min_{K_P^\Delta, K_I^\Delta, K_D^\Delta} \left\| \begin{bmatrix} R \left( C^\Delta(j\omega) \right) \\ 3 \left( C^\Delta(j\omega) \right) \\ 3 \left( C^\Delta_{PID}(j\omega) \right) \end{bmatrix} \right\|_2^2
\]

s.t. \( K_P^\Delta, K_I^\Delta, K_D^\Delta \) are diagonal,

(14)

where \( R(.) \) and \( \Im(.) \) are the real and imaginary parts, respectively. The final controller is then obtained by (6).

An issue in this formulations is that there are no stability constraints. That said, the resulting closed-loop may be...
unstable. This problem will be solved by adding further constraints on the optimization problem.

3.2 Stability constraints

In order to guarantee stability and robustness of the IMC redesign procedure, constraints will be added to problem in (14).

To keep the problem convex and of simple implementation, the procedure presented in Euzébio et al. (2020) was adopted. It consists in divide the MIMO system in a set of SISO subsystems, represented by effective transfer functions to account for loop interactions. Then, the linear margin constraints approach proposed in Karimi et al. (2007) can be applied to each subsystem, in order to guarantee stability and robustness of each individual loop.

By denoting $G^e_{ij}$ as the ETF of $j$th loop. It is defined as the transfer function between $j$th input and $j$th output, by keeping this loop open while the remaining are closed. A procedure to calculate the ETF is presented in Silva and Barros (2020).

The effective loop transfer function (ELTF) is defined as:

$$L^e_{ij}(s) = G^e_{ij}(s)C_j(s).$$

(15)

Considering $C_j(s) = C^0_{ij}(s) + C^\Delta_{ij}(s)$ in PID form, as shown in (3) and (13), then the ELTF can be written as:

$$L^e_{ij}(s) = \rho^T_j G^e_{ij}(s)\phi_j(s),$$

(16)

where:

$$\rho_j = \begin{bmatrix} k^0_{pj} + k^\Delta_{pj} & k^0_{lj} + k^\Delta_{lj} & k^0_{dj} + k^\Delta_{dj} \end{bmatrix}^T,$$

$$\phi_j(s) = \begin{bmatrix} 1 & -1 & s \end{bmatrix}^T.$$

(17)

The Nyquist plot of $L^e_{ij}(j\omega)$ is presented in Fig. 2. It should lie in the right side of the line $\ell_n$, which crosses the negative real axis between 0 and $-1$ at a distance $l$ from the critical point. The angle of slope is $\alpha$, which varies between $0^\circ$ and $90^\circ$.

By geometric analysis, the constraints are met if, and only if:

$$\rho^T_j \left( \cot \alpha \Im\{G^e_{ij}(j\omega)\} - \Re\{G^e_{ij}(j\omega)\} \right) + l \leq 1,$$

(19)

where $\Im\{G^e_{ij}(j\omega)\}$ and $\Re\{G^e_{ij}(j\omega)\}$ are, respectively, the imaginary and real parts of $G^e_{ij}(j\omega)\phi_j(j\omega)$.

3.3 Resulting optimization problem with constraints

By combining the unconstrained optimization problem in (14) and the linear constraints in (19), the resulting problem can be written as (21).

In some cases, in order to achieve the specification, some of the gains can have a change in sign, implying that the direction of the control action is different than that of the loop direction. This may cause issues in the controller implementation because the reset or rate time will be negative. To avoid this, a restriction is added to guarantee that the direction of control action is kept during optimization:

$$d_j \rho^T_j \geq [0 0 0] \forall j,$$

(20)

where $d_j = 1$ if the control action of $j$th loop is direct, and $d_j = -1$ if it is reverse.

4. SIMULATION RESULTS

In this section, the proposed method is applied to two MIMO processes: the Wood and Berry (1973) distillation column and the reactor of a diesel hydrotreating unit (Aguiar et al., 2023).

The centralized IMC PID retuning method of Aguiar et al. (2021) and the decentralized IMC PID tuning method of Jeng and Lee (2023) are used as comparison. In the first scenario, the objective was to verify the efficacy of the decentralized structure against the centralized. In the second scenario, the objective was to compare with other method of decentralized IMC PID design in frequency domain.

In order to achieve a fast closed-loop response, the reference model time constants were chosen as $\tau_j = (T_{jj} +$
\( L_{jj} / 3 \), where \( T_{jj} \) and \( L_{jj} \) are the dominant time constant and delay of \( G_{jj}(s) \), respectively. In both examples, the linear margins were set as \( \lambda = 0.67 \) and \( \alpha = 60^\circ \), which results in \( M_S < 1.7 \) for each loop.

The choice of the frequency ranges was made by considering the maximum critical frequency among the elements of the reference model \( \mathbf{T}_r(s) \), \( \omega_c \). Then, \( N \) log spaced points were taken as \( \omega_k = k\omega_c/N \), where \( k = 1, \ldots, N \).

To assess the control system performance relative to the desired reference \( \mathbf{T}_r(s) \), the adopted criterion was the integral squared error between the output \( (y_j(t)) \) and the output of reference model \( (y_j(t)) \):

\[
E_j = \sum_{t=1}^{\infty} (y_j(t) - y_j(t))^2. \quad (22)
\]

Also, to measure the control effort, the total variation (TV) of the manipulated variables is considered. It is defined as:

\[
TV_j = \sum_{t=1}^{\infty} |u_j(t + 1) - u_j(t)|. \quad (23)
\]

To analyze the stability and robustness of the closed-loop system, the nyquist diagrams of the ELTFs are showed. The aim is to compare the retuned controller with the initial controller, and see if the frequency margin constraints are met.

### 4.1 Example 1

Consider the Wood and Berry (1973) model for the binary distillation column:

\[
G(s) = \begin{bmatrix}
12.8e^{-s} & -18.9e^{-3s} \\
16.7s + 1 & 21s + 1 \\
10.9s + 1 & 14.3s + 1
\end{bmatrix}, \quad (24)
\]

with the following reference model:

\[
\mathbf{T}_r(s) = \begin{bmatrix}
e^{-1s} & 0 \\
5.9s + 1 & e^{-3s} \\
0 & 5.8s + 1
\end{bmatrix}. \quad (25)
\]

The initial controller is:

\[
\mathbf{C}_i(s) = \begin{bmatrix}
0.5 + \frac{0.1}{s} & 0 \\
0 & -0.1 - \frac{0.01}{s}
\end{bmatrix}. \quad (26)
\]

Controllers \( \mathbf{C}(s) \), \( \mathbf{C}^!(s) \) and \( \mathbf{C}^{!!}(s) \) were obtained using proposed, Jeng and Lee (2023) and Aguiar et al. (2021) methods, respectively. The transfer matrices of each one are:

\[
\mathbf{C}(s) = \begin{bmatrix}
0.206 + \frac{0.023}{s} + 0.003s & 0 \\
0 & -0.1 - \frac{0.012}{s} - 0.002s
\end{bmatrix}. \quad (27)
\]

\[
\mathbf{C}^!(s) = \begin{bmatrix}
0.152 + \frac{0.023}{s} + 0.003s & 0 \\
0 & -0.078 - \frac{0.012}{s} - 0.002s
\end{bmatrix}. \quad (28)
\]

\[
\mathbf{C}^{!!}(s) = \begin{bmatrix}
0.206 + \frac{0.022}{s} + 0.003s & -0.025 + \frac{0.017}{s} + 0.001s \\
0.206 + \frac{0.008}{s} - 0.002s - 0.101 - \frac{0.012}{s} - 0.002s
\end{bmatrix}. \quad (29)
\]

Two closed-loop experiment were performed to compare the performance between designs. An unit step is applied to the setpoint each loop at different times, in order to observe the effects of setpoint tracking and coupling between loops. In Fig. 3, it is shown the comparison between the proposed and Jeng and Lee (2023) method, while in Fig. 4 is presented the comparison between the decentralized and centralized methods. For both cases, the desired reference response of \( \mathbf{T}_r \) is plotted, as well as the response with the initial controller. The error indexes between the outputs and reference outputs, calculated using (22), and the total variation of the MVs, calculated using (23), are shown in Table 1.

In comparison with the (Jeng and Lee, 2023) IMC design, it is possible to note that the retuned controller leads to a better closed-loop performance, with a lower error between the reference response. When compared with the retuned centralized controlled, the performance was worse, specially in respect to the decoupling obtained with each controller. This effect was expected because of the simpler structure of the proposed controller and the high coupling between the loops.

**Fig. 3.** closed-loop step response of example 1 with comparison between the centralized and decentralized methods.

**Fig. 4.** manipulated variables of the closed-loop step response of example 1.

To assess the robustness of the controller designed with proposed method, the Nyquist diagrams of the ELTFs of each loop were plotted. They are shown in Fig.
Table 1. Error indexes and TVs for example 1.

<table>
<thead>
<tr>
<th></th>
<th>(E_1)</th>
<th>(E_2)</th>
<th>(TV_1)</th>
<th>(TV_2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposed</td>
<td>1.79</td>
<td>2.04</td>
<td>0.27</td>
<td>0.29</td>
</tr>
<tr>
<td>Initial</td>
<td>2.99</td>
<td>4.09</td>
<td>1.46</td>
<td>0.52</td>
</tr>
<tr>
<td>Jeng and Lee (2023)</td>
<td>2.02</td>
<td>2.10</td>
<td>0.27</td>
<td>0.28</td>
</tr>
<tr>
<td>Aguiar et al. (2021)</td>
<td>0.13</td>
<td>0.52</td>
<td>0.13</td>
<td>0.24</td>
</tr>
</tbody>
</table>

Fig. 5. Nyquist diagrams of ELTFs with proposed (solid line) and initial controller (dashed line) for example 1, in comparison with line constraint (red line).

4.2 Example 2

Consider a diesel hydrotreating unit (HDT). The process model, reference closed-loop model and designed controllers are all presented in Equation (30).

Similar to example 1, \(C^{(0)}(s)\) is the initial controller, \(C(s)\) the redesigned decentralized controller, \(C^{(1)}(s)\) was obtained by applying Jeng and Lee (2023) method, and \(C^{(2)}(s)\) is the retuned centralized controller that was obtained by applying Aguiar et al. (2021) method.

Because of the lower triangular structure of \(G(s)\), the centralized PID controller \(C^{(2)}(s)\) also is triangular.

The closed-loops step responses are presented in Figs. 6 and 7, to compare both decentralized methods and the redesigns decentralized and centralized methods, respectively. The error indexes between the outputs and reference outputs are shown in Table 2. The total variation of each MV is presented in Table 3.

In this example, because of the lower coupling between loops, the decentralized redesign method leads to good results for both setpoint tracking and decoupling. In comparison with the centralized controller, it leads to a better closed-loop performance for loops 1 and 3, even with a simpler structure.

Table 2. Error indexes for example 2.

<table>
<thead>
<tr>
<th></th>
<th>Loop 1</th>
<th>Loop 2</th>
<th>Loop 3</th>
<th>Loop 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposed</td>
<td>4.53</td>
<td>28.10</td>
<td>23.15</td>
<td>40.91</td>
</tr>
<tr>
<td>Initial</td>
<td>63.06</td>
<td>109.60</td>
<td>82.11</td>
<td>138.55</td>
</tr>
<tr>
<td>Jeng and Lee (2023)</td>
<td>6.35</td>
<td>36.44</td>
<td>33.01</td>
<td>46.69</td>
</tr>
<tr>
<td>Aguiar et al. (2021)</td>
<td>7.22</td>
<td>9.05</td>
<td>71.12</td>
<td>37.21</td>
</tr>
</tbody>
</table>

In Fig. 8, the Nyquist diagrams of each ELTF are presented. With the redesigned controller, it was possible to achieve a faster closed-loop response than initial controller without violate the margin constraints.

Table 3. TVs for example 2.

<table>
<thead>
<tr>
<th></th>
<th>Loop 1</th>
<th>Loop 2</th>
<th>Loop 3</th>
<th>Loop 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposed</td>
<td>8.8</td>
<td>153.7</td>
<td>654.4</td>
<td>592.8</td>
</tr>
<tr>
<td>Initial</td>
<td>0.8</td>
<td>8.7</td>
<td>28.4</td>
<td>30.2</td>
</tr>
<tr>
<td>Jeng and Lee (2023)</td>
<td>9.6</td>
<td>160.7</td>
<td>672.6</td>
<td>617.0</td>
</tr>
<tr>
<td>Aguiar et al. (2021)</td>
<td>9.7</td>
<td>156.9</td>
<td>720.3</td>
<td>639.4</td>
</tr>
</tbody>
</table>

5. CONCLUSION

A procedure for retuning decentralized controllers was proposed. It consists in solve a convex optimization problem to approximate the frequency response of the new controller with a PID structure, in order to achieve the closed-loop specification. To guarantee stability and robustness, linear margin constraints are applied to shape the effective loop transfer functions. The method was validate through simulations, where good performance indexes were achieved when compared with other decentralized and a centralized controller, while keeping the stability constraints.
G(s) = 
\[ \begin{bmatrix} 1.1215e^{-838s} & 0 & 0 \\ 0.8770e^{-466.46s} & 0.9321e^{-356.8s} & 0 \\ 0.8777s + 1 & 0.9211s + 0.5646s & 0.8770s + 1 \\ 0.538s + 1 & 0.8777s + 1 & 0.8770s + 1 \end{bmatrix} \]

\[ C^{(0)}(s) = \text{diag} \begin{bmatrix} 0.134 + \frac{0.0007}{s} + 0.86s \\ -0.829 - \frac{0.0006}{s} - 2.06s \\ -3.146 - \frac{0.005}{s} \end{bmatrix}, \quad C^{(1)}(s) = \text{diag} \begin{bmatrix} 0.43 + \frac{0.0006}{s} + 14.75s \\ -2.80 - \frac{0.015}{s} - 7.52s \\ -11.02 - \frac{0.012}{s} - 56.13s \\ -11.65 - \frac{0.012}{s} - 122.42s \end{bmatrix} \]

\[ C^{(2)}(s) = \begin{bmatrix} 0.73 + \frac{0.0007}{s} + 0.86s \\ 0.09 + \frac{3.83s}{s} + 4.41 - \frac{6.89s}{s} \\ 3.21 + \frac{15.44s}{s} - 13.67 - \frac{0.61s}{s} + 17.59s - 14.56s - 0.019 - 29.38s \\ -3.33 + \frac{3.81s}{s} + 0.73 + \frac{0.087}{s} + 0.86s - 1.16 + \frac{0.014}{s} + 4.09s - 14.87s - 0.015 - 28.36s \end{bmatrix} \]

\[ T_r(s) = \begin{bmatrix} 0.72 + \frac{0.0087}{s} + 0.80s \\ -4.41 - \frac{0.015}{s} - 6.88s \\ -14.60 - \frac{0.019}{s} - 29.44s \\ -14.38 - \frac{0.015}{s} - 25.81s \end{bmatrix} \]

Fig. 8. Nyquist diagrams of ELIFs with proposed (solid line) and initial controller (dashed line) for example 2, in comparison with line constraint (red line).

For future works, the constraints on the optimization problem may be improved by taking into account multivariable stability specifications.

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