

# Finding self-optimizing control variables using plant data

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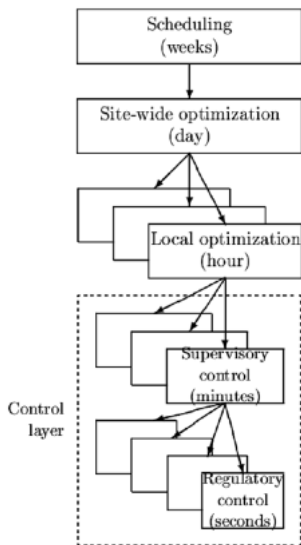
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# Main focus of the project

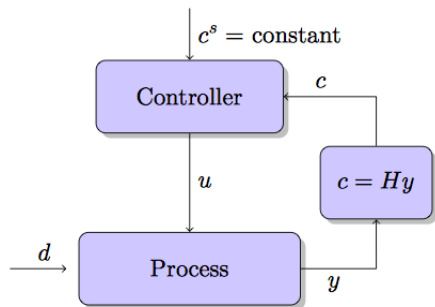
- Use historical plant data
- Combinations of measurements
- Self-optimizing variables

# The bigger picture



- Different time scales
- Lower layers operate at a shorter time scale
- Bring the system back to optimal operation after a disturbance
- Self-optimizing variables

# Self-optimizing variables



Achieve near optimal operation by controlling the control variables  $\mathbf{c} = \mathbf{H}\mathbf{y}$  at constant set points.

# How to find the optimal $H$

- Null space
- Exact Local method
- In this project: Data-based method

## The data-based method

- Express the cost function in terms of measurements

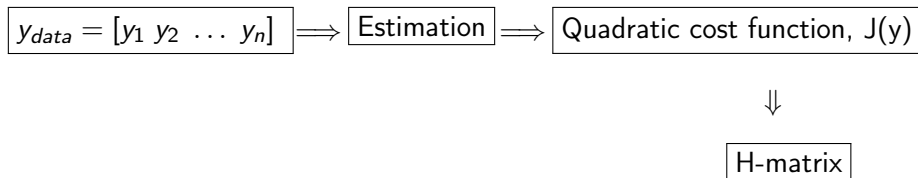
$$\boxed{J(u,d)} \approx \boxed{J(y)}$$

## The data-based method

- Express the cost function in terms of measurements

$$\boxed{J(u,d)} \approx \boxed{J(y)}$$

- Use available measurements data to estimate a quadratic cost function
- Use parameters from the estimated cost function to calculate H



# The data-based method

- Express the cost function in terms of measurements

$$\boxed{J(u,d)} \approx \boxed{J(y)}$$

Approximated cost function around the nominal point:

$$J(\Delta u, \Delta d) \approx J^* + \begin{bmatrix} J_u^* & J_d^* \end{bmatrix} \begin{bmatrix} \Delta u \\ \Delta d \end{bmatrix} + \frac{1}{2} \begin{bmatrix} \Delta u^T & \Delta d^T \end{bmatrix} \begin{bmatrix} J_{uu}^* & J_{ud}^* \\ J_{du}^* & J_{dd}^* \end{bmatrix} \begin{bmatrix} \Delta u \\ \Delta d \end{bmatrix}$$

Linearized measurement model

$$\Delta y = \tilde{G}^y \begin{bmatrix} \Delta u \\ \Delta d \end{bmatrix}$$



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Linearized measurement model

$$\Delta y = \tilde{G}^y \begin{bmatrix} \Delta u \\ \Delta d \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} \Delta u \\ \Delta d \end{bmatrix} = [\tilde{G}^y]^\dagger \Delta y$$

# The cost function as a function of measurements

- Express the cost function in terms of measurements

$$\boxed{J(\mathbf{u}, \mathbf{d})} \approx \boxed{J(\mathbf{y})}$$

Approximated cost function around the nominal point:

$$\begin{aligned} J(\Delta \mathbf{y}) &= J^* + \underbrace{\begin{bmatrix} J_u^* & J_d^* \end{bmatrix} [\tilde{G}^y]^\dagger}_{J_y^*} \Delta \mathbf{y} + \frac{1}{2} \Delta \mathbf{y}^T \underbrace{[\tilde{G}^y]^\dagger T \begin{bmatrix} J_{uu}^* & J_{ud}^* \\ J_{du}^* & J_{dd}^* \end{bmatrix}}_{J_{yy}^*} [\tilde{G}^y]^\dagger \Delta \mathbf{y} \\ &= J^* + J_y^* \Delta \mathbf{y} + \frac{1}{2} \Delta \mathbf{y}^T J_{yy}^* \Delta \mathbf{y} \end{aligned}$$

Look at  $J_{yy}^*$

$$J_{yy}^* = [\tilde{G}^y]^\dagger T \begin{bmatrix} J_{uu}^* & J_{ud}^* \tilde{G}^{y\dagger} \\ J_{du}^* & J_{dd}^* \tilde{G}^{y\dagger} \end{bmatrix}$$

Look at  $J_{yy}^*$

$$J_{yy}^* = [\tilde{G}^y]^{\dagger T} \begin{bmatrix} [J_{uu}^* & J_{ud}^*] \tilde{G}^{y\dagger} \\ [J_{du}^* & J_{dd}^*] \tilde{G}^{y\dagger} \end{bmatrix}$$

It can be shown that with

$$H = [J_{uu}^* J_{ud}^*] \tilde{G}^{y\dagger}$$
$$HF = 0$$

Like in the null space method

Look at  $J_{yy}^*$

$$J_{yy}^* = [\tilde{G}^y]^\dagger{}^T \begin{bmatrix} [J_{uu}^* & J_{ud}^* \tilde{G}^{y\dagger}] \\ [J_{du}^* & J_{dd}^* \tilde{G}^{y\dagger}] \end{bmatrix}$$

$$H \equiv [J_{uu}^* \quad J_{ud}^*] [\tilde{G}^y]^\dagger$$

$$J_{yy}^* = [\tilde{G}^y]^\dagger{}^T \begin{bmatrix} H \\ [J_{du}^* \quad J_{dd}^* \tilde{G}^{y\dagger}] \end{bmatrix} \rightarrow [\tilde{G}^y]^T J_{yy}^* = \begin{bmatrix} H \\ [J_{du}^* \quad J_{dd}^* \tilde{G}^{y\dagger}] \end{bmatrix}$$

Only interested in the H-matrix

$$[G^y \quad 0_{n_y \times n_d}]^T J_{yy}^* = \begin{bmatrix} H \\ 0_{n_y \times n_d} \end{bmatrix}$$

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### In Summary:

If  $J_{yy}^*$  and  $G^y$  is known, we can calculate the optimal measurement combination  $H$

# Summary

## 1. Fit cost function to measurements

$$J = J^* + J_y^* \Delta y + \frac{1}{2} \Delta y^T J_{yy}^* \Delta y$$

## 2. Find the measurement gain

$$G_y = \frac{\Delta y}{\Delta u}$$

## 3. Determine H

$$[G^y \quad 0_{n_y \times n_d}]^T J_{yy}^* = \begin{bmatrix} H \\ 0_{n_y \times n_d} \end{bmatrix}$$

## 4. Control

$$c = Hy$$



## Obtaining $J_{yy}^*$

- Plant measurement data:  $y_{data} = [y_1 \ y_2 \ \dots \ y_n]$
- Measurements of the cost function:  $J = [J_1 \ J_2 \ \dots \ J_n]$

Augmenting the data with two measurements,  $y_1$  and  $y_2$ :

$$y_{aug} = [y_1 \ y_2 \ y_1^2 \ y_1 y_2 \ y_2^2]$$

Using Partial Least Square regression to predict the model

$$J = [1 \ y_{aug}^T] \beta$$

## In the case with two measurements

$$J = \begin{bmatrix} 1 & y_{aug}^T \end{bmatrix} \beta$$

Or written out:

$$J = \beta_1 + y_1\beta_2 + y_2\beta_3 + y_1^2\beta_4 + y_1y_2\beta_5 + y_2^2\beta_6$$

Or on a quadratic form

$$J = \beta_1 + \begin{bmatrix} \beta_2 & \beta_3 \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} y_1 & y_2 \end{bmatrix} \begin{bmatrix} 2\beta_4 & \beta_5 \\ \beta_5 & 2\beta_6 \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$$

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# Generating data

- Biodiesel plant in Chemcad
- Evaporator process
- Two distillation columns in series

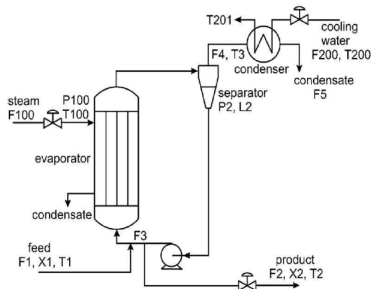


Figure : Flowsheet of the evaporator process

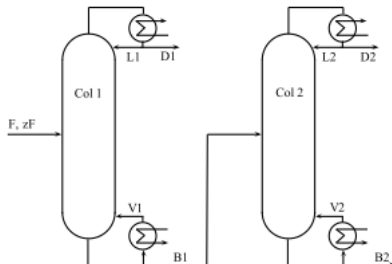


Figure : Flowsheet of the distillation columns in series

## So far ...

- Understanding the method to be used
- Two Matlab scripts that generates data for the evaporator process and the two distillation columns in series.
- A matlab script to use the data-based method that is *almost* working.
- Learned how to simulate in Chemcad

## Next ...

- Get Chemcad to work with Matlab
- Debug the Matlab script for the data-based method

Once a H-matrix is found:

- Control the process with the calculated H-matrix.
- Find the truly optimal operation.
- Find the H-matrix with the exact local method.
- Compare the losses.

## Questions?

$$[G^y \quad 0_{n_y \times n_d}]^T J_{yy}^* = \begin{bmatrix} H \\ 0_{n_y \times n_d} \end{bmatrix}$$

### In Summary:

If  $J_{yy}^*$  and  $G^y$  is known, we can calculate the optimal measurement combination  $H$