

Persistent diagrams as diagrams

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June 7, 2018

Abel Symposium 2018 Topological Data Analysis, Geiranger

Joint work with Michael Lesnick (Princeton/Albany)

The many faces of persistence

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- ▶ Persistence diagrams: multiset of points $(b, d) \in \overline{\mathbb{R}^2} : b \leq d$
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- ▶ Persistence measures: for all $a < b \leq c < d$, count multiplicity of $0 \rightarrow \mathbb{K} \rightarrow \mathbb{K} \rightarrow 0$
as summand of $M_a \rightarrow M_b \rightarrow M_c \rightarrow M_d$
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- ▶ Matching diagrams: sequence of partial bijections
(Edelsbrunner et al. 2014)

Interval decompositions and persistence modules

Theorem (Crawley-Boewey 2015)

Any pointwise finite-dimensional (pfd) persistence module (a diagram $M : \mathbb{R} \rightarrow \mathbf{vect}$) has an essentially unique decomposition as a direct sum of indecomposable interval modules, isomorphic to

$$0 \rightarrow \cdots \rightarrow 0 \rightarrow \underbrace{\mathbb{K} \rightarrow \cdots \rightarrow \mathbb{K}}_{\text{supported by an interval } I \subseteq \mathbb{R}} \rightarrow 0 \rightarrow \cdots$$

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- ▶ The corresponding collection (multiset) of intervals is the *persistence barcode* of M .
- ▶ The points in the *persistence diagram* are the endpoints of the intervals in the barcode.
- ▶ This is not a diagram in the sense of category theory (functor)!

Persistence and stability: the big picture

point cloud

$$P \subset \mathbb{R}^d$$

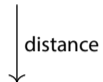
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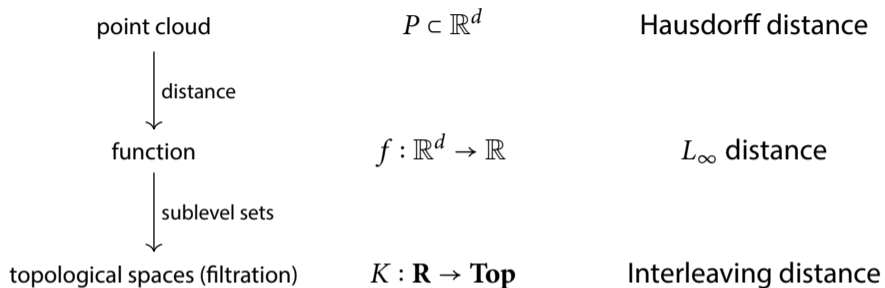


function

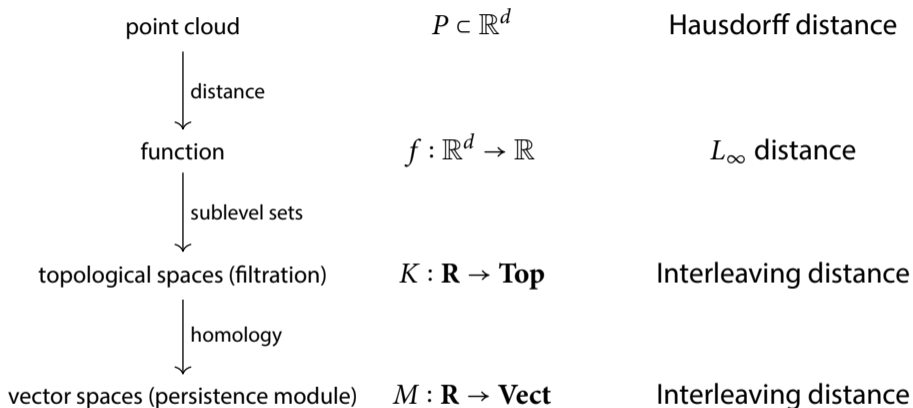
$$f : \mathbb{R}^d \rightarrow \mathbb{R}$$

L_∞ distance

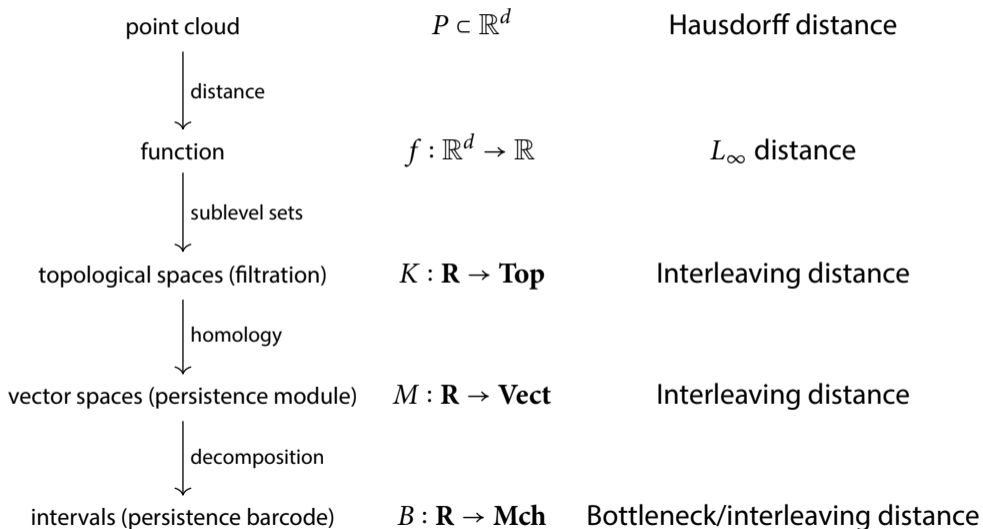
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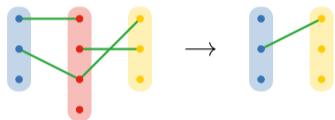


The category of matchings

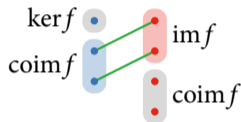
Consider the category **Mch** (a subcategory of the category **Rel** of sets and relations) with

- objects: sets,
- morphisms: matchings (partial bijections).

Composition:



(Co)kernel/image:

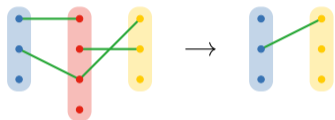


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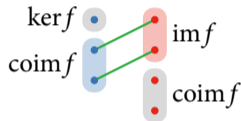
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Mch is *Puppe-exact* (*p-exact*):

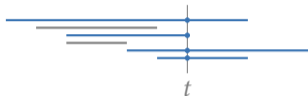
- ▶ it has a zero object (\emptyset)
- ▶ it has all (co)kernels
- ▶ every mono (epi) is (co)kernel
- ▶ every morphism $f : A \rightarrow B$ has an epi-mono factorization $A \twoheadrightarrow \text{im } f \hookrightarrow B$

but not additive:

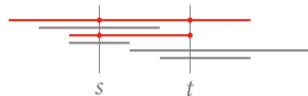
- ▶ it does not have all (co)products

From barcodes to matching diagrams (and back)

- ▶ A barcode (collection of intervals) can be read as a diagram $\mathbb{R} \rightarrow \mathbf{Mch}$:



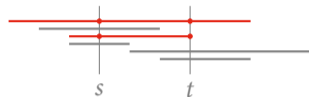
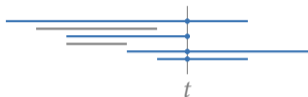
$t \mapsto \{\text{intervals in barcode containing } t\}$



$(s \leq t) \mapsto \{\text{intervals containing both } s, t\}$

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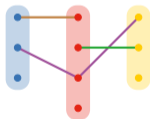
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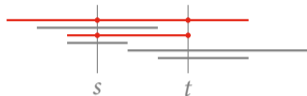
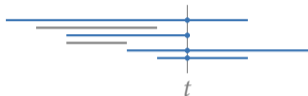
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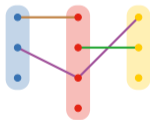
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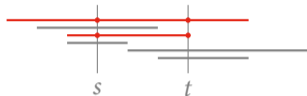
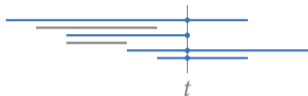
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- ▶ equivalence classes $\mathcal{E}(D) := \left(\bigcup_{t \in \mathbb{R}} \{t\} \times D_t \right) / \sim$, where $(s, x) \sim (t, y)$ for all $s \leq t$, $x \in D_s$, $y \in D_t$

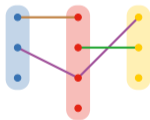
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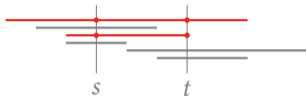
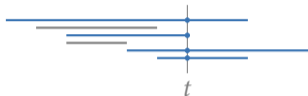
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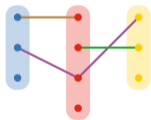
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Turn this into an equivalence of categories $\mathbf{Barc} \simeq \mathbf{Mch}^{\mathbb{R}}$

A category of barcodes

Proposition

The functor category is equivalent to **Barc**, the category with

- ▶ *objects*: barcodes (as a disjoint union of intervals),
- ▶ *morphisms*: overlap matchings of barcodes $U \rightarrow V$:

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- ▶ composition of overlap matchings: $\tau \bullet \sigma = \{(I, K) \in \tau \circ \sigma \mid I \text{ overlaps } K \text{ above}\}$
(where $\tau \circ \sigma$ is the standard composition of matchings)



$(I, K) \in \tau \bullet \sigma$ (overlap)



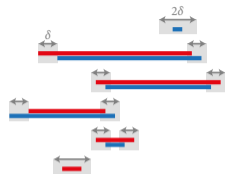
$(I, K) \notin \tau \bullet \sigma$ (no overlap)

Bottleneck distance as an interleaving distance

δ -matching between barcodes U, V :

- ▶ if I is matched to J , then endpoints are δ -close
- ▶ unmatched intervals are 2δ -trivial (shorter than 2δ)

Bottleneck distance: $d_B(U, V) = \inf\{\delta \mid \exists \delta\text{-matching } U \rightarrow V\}$

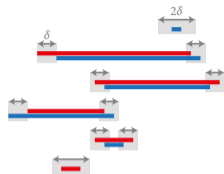


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δ -interleaving between diagrams X, Y indexed over \mathbb{R} (in any category):

natural transformations $f_t : X_t \rightarrow Y_{t+\delta}, g_t : Y_t \rightarrow X_{t+\delta}$ yielding commutative diagrams

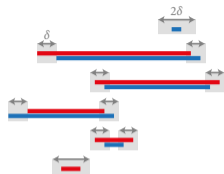
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Interleaving distance: $d_B(U, V) = \inf\{\delta \mid \exists \delta\text{-interleaving } X \leftrightarrow Y\}$

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Interleaving distance: $d_I(U, V) = \inf\{\delta \mid \exists \delta\text{-interleaving } X \leftrightarrow Y\}$

Proposition

$d_I = d_B$ (using the equivalence $\mathbf{Barc} \simeq \mathbf{Mch}^{\mathbb{R}}$).

Non-functoriality of persistence barcodes

Can a pfd persistence module $M : \mathbf{vect}^{\mathbb{R}}$ be turned into its barcode $B(M) : \mathbf{Mch}^{\mathbb{R}}$ by a functor $B : \mathbf{vect} \rightarrow \mathbf{Mch}$ (or $\mathbf{vect}^{\mathbb{R}} \rightarrow \mathbf{Mch}^{\mathbb{R}}$)?

- ▶ This would preserve δ -interleavings, and thus yield stability of persistence barcodes.

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Theorem

There is no functor $\mathbf{vect} \rightarrow \mathbf{Mch}$ sending every vector space V to a set of cardinality $\dim V$ (equivalently, a linear map f to a matching of cardinality $\text{rank } f$).

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But: there is a barcode functor for subcategories of monos/epis of persistence modules $\mathbf{vect}^{\mathbb{R}}$:

Structure of persistence sub-/quotient modules

Proposition

Let N be a quotient module of a persistence module M (for $M \twoheadrightarrow N$ an epimorphism).

Then there is an injective map between the barcodes $B(N) \hookrightarrow B(M)$.

If J is mapped to I , then

- ▶ *I and J are aligned below, and*
- ▶ *I bounds J above.*

This construction is functorial. There is a dual result for submodules.



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Rephrased for $\mathbf{Mch}^{\mathbb{R}}$:

Proposition

There is a functor from epimorphisms of persistence modules to epimorphisms of matching diagrams.

(Dually, there is a functor from monos to monos.)



Induced matchings

Theorem

For $f : M \rightarrow N$ a morphism of pfd persistence modules, the epi-mono factorization $M \twoheadrightarrow \text{im } f \hookrightarrow N$ gives an induced matching $\chi(f)$ between their barcodes. If I is matched to J , then

- (i) I overlaps J above.
- (ii) If $\ker f$ is δ -trivial, then
 - (a) J bounds $I(\delta)$ above, and
 - (b) any unmatched interval of $B(M)$ is δ -trivial.
- (iii) If $\text{coker } f$ is δ -trivial, then
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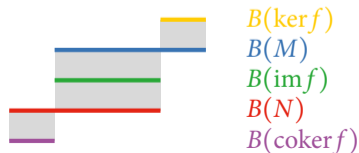
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Rephrased in $\mathbf{Mch}^{\mathbb{R}}$:

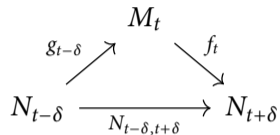
Theorem

If $f : M \rightarrow N$ has δ -trivial (co)kernel, then so does $\chi(f)$.



Algebraic stability via induced matchings

Consider interleaving $f_t : M_t \rightarrow N_{t+\delta}$, $g_t : N_t \rightarrow M_{t+\delta}$ ($\forall t \in \mathbb{R}$):



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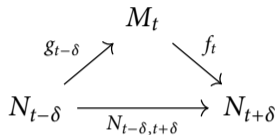
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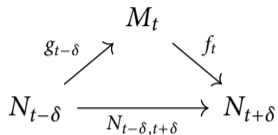
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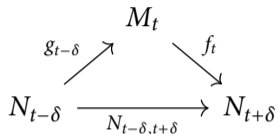
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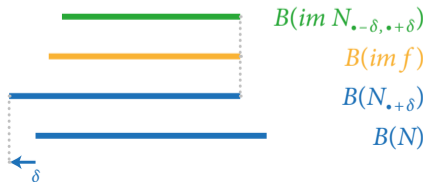
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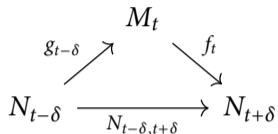
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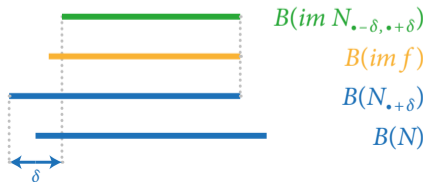
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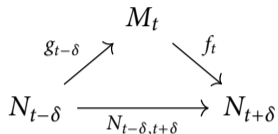
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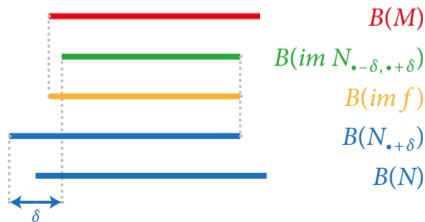
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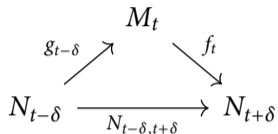
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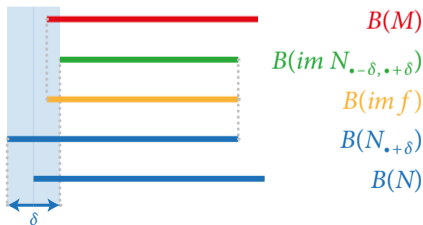
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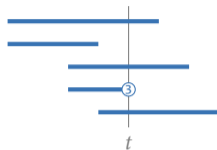
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Matching diagrams from persistence modules

Let $M : \mathbb{R} \rightarrow \mathbf{vect}$. For $t \in \mathbb{R}, i \in \mathbb{N}$, define

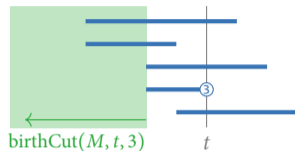
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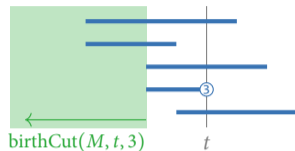


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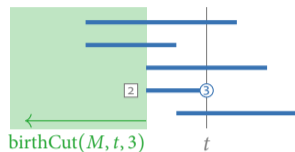
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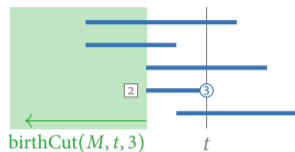
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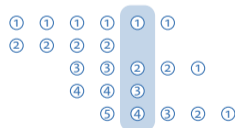
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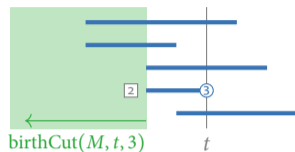
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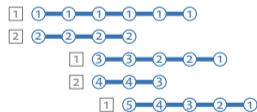


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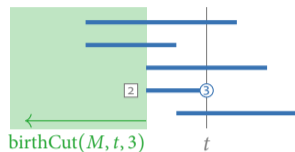
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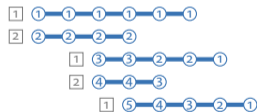


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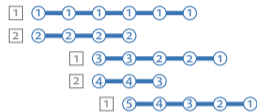


- Yields a barcode without using interval decomposition!

More about matching diagrams

Proposition

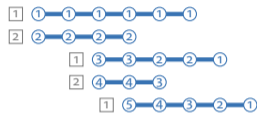
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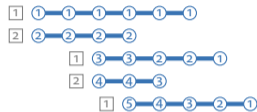
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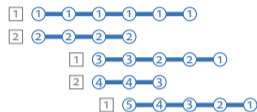
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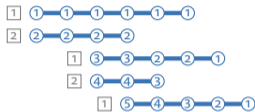
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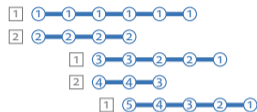
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 - ▶ earlier birth, and (for same birth)
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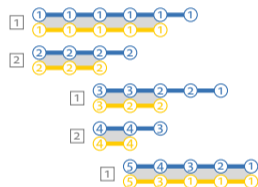


Applies even to q-tame persistence modules ($\text{rank } M_{t,u} < \infty$ for all $t < u$)!

Induced matchings for matching diagrams

Let N be a quotient module of a persistence module M ($M \twoheadrightarrow N$ an epimorphism). Define

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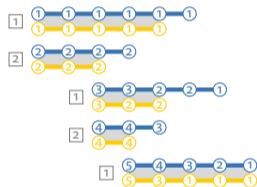
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B and χ form a functor from epimorphisms of persistence modules to epimorphisms of matching diagrams.

(Dually, there is a functor from monos to monos.)



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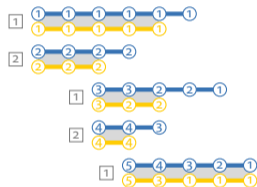
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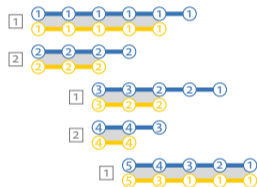
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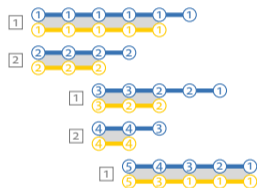
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- ▶ This is the structure theorem for sub-/quotient modules, in terms of matching diagrams.
- ▶ Using an epi-mono factorization, this yields induced matchings and algebraic stability for q-tame persistence modules.
- ▶ Can be used to guide the construction of a decomposition for pdf modules.

Thanks for your attention!

