

representation (three-dim.) of  $SO(3)$ . This is valid for a real vector, but what about spinors? We have that:

$$\begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} = U(\vec{\theta}) \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \quad \text{with} \quad U(\vec{\theta}) = e^{-i \vec{\theta} \cdot \vec{\sigma} / 2}$$

Here,  $\vec{\theta}$  points along the axis of rotation and  $|\vec{\theta}|$  is the angle of rotation in the right-hand sense.

Note that for a matrix  $A$ , we understand  $e^A = 1 + A + \frac{1}{2}A^2 + \dots$

The set of all such matrices constitute the group  $SU(2)$ .  $U(\vec{\theta})$  is a unitary matrix with determinant +1.

### SHORT INTRO TO GROUP THEORY: $SU(2)$ vs. $SO(3)$

These two groups are of particular importance since they are related to both rotations of spins (half-integer as well as integer spins) and to internal symmetries between particles and in Lagrangians describing relevant quantum fields.

Let's introduce some terminology:

Isomorphism A one-to-one correspondence between elements  $G$  of a group  $G$  and  $G'$  of a group  $G'$  such that if  $G_i G_j = G_k$ , then  $G'_i G'_j = G'_k$ .

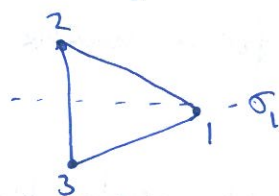
Homomorphism Let  $f$  be a mapping that maps  $G$  of  $G$  onto  $G'$  of  $G'$ :  $G' = f(G)$ . If  $f(G_i G_j) = f(G_i) f(G_j)$  holds for any two elements,  $f$  is a homomorphic mapping.

Note that homomorphism signifies a  $n$ -to-one correspondence between the elements of the group, in general. A homomorphism with  $n=1$  is an isomorphism.

**EXAMPLE** Return to the equilateral triangle. The allowed symmetry operations constitute a group  $C_{3v}$ :

$$C_{3v} = \{E, C_3, C_3^{-1}, \sigma_1, \sigma_2, \sigma_3\} \quad C_3 = \text{rotation counterclockwise } \frac{\pi}{3} \text{ around center}$$

$\sigma_j$  = mirror reflection around symmetry axis



Multiplication table (entries  $G_j \circ G_i$ )

$G_j \backslash G_i$	$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$E$	$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$C_3$	$C_3$	$C_3^{-1}$	$E$	$\sigma_3$	$\sigma_1$	$\sigma_2$
$C_3^{-1}$	$C_3^{-1}$	$E$	$C_3$	$\sigma_2$	$\sigma_3$	$\sigma_1$
$\sigma_1$	$\sigma_1$	$\sigma_2$	$\sigma_3$	$E$	$C_3$	$C_3^{-1}$
$\sigma_2$	$\sigma_2$	$\sigma_3$	$\sigma_1$	$C_3^{-1}$	$E$	$C_3$
$\sigma_3$	$\sigma_3$	$\sigma_1$	$\sigma_2$	$C_3$	$C_3^{-1}$	$E$

Compare this with group  $C_2 = \{E, C\}$ , where

$C$  = rotation  $\pi \Rightarrow C^2 = E$ . Let the mapping be defined:

$$E, C_3, C_3^{-1} \xrightarrow{f} E \quad \sigma_1, \sigma_2, \sigma_3 \xrightarrow{f} C$$

This fulfills the homomorphism criterion, as seen from the multiplication tables:

$C_2$	$E$	$C$
$E$	$E$	$C$
$C$	$C$	$E$

As seen, there are three elements in  $C_{3v}$  corresponding to one element in  $C_2$ : homomorphism (not isomorphism).

The above is related to representations of a group:

Let  $G$  be a ~~finite~~ group with elements  $G_i$  and associate a square matrix with each element,  $\hat{D}(G_i)$ . If the matrices satisfy  $\hat{D}(G_j)\hat{D}(G_i) = \hat{D}(G_n)$  for the corresponding relation  $G_j G_i = G_n$ , then the set of matrices  $\hat{D}(G_i)$  is a representation of  $G$ .

$\Rightarrow$  The mapping  $\hat{D}: G_i \rightarrow \hat{D}(G_i)$  is then homomorphic as the same matrix may be associated with several elements.

Faithful representation: one-to-one correspondence between  $G_i$  and  $\hat{D}(G_i)$ .

Note that a group can have representations in several dimensions.

EXAMPLE 1D rep. of  $C_{3v}$ :

$$\hat{D}(E) = 1, \hat{D}(C_3) = 1, \hat{D}(C_3^{-1}) = 1$$

$$\hat{D}(\sigma_1) = -1, \hat{D}(\sigma_2) = -1, \hat{D}(\sigma_3) = -1.$$

Now consider the rotation group. Rotation matrix:

$$\tilde{R} = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{pmatrix} \begin{pmatrix} \cos \gamma & -\sin \gamma & 0 \\ \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\hat{R}$  is a  $3 \times 3$  orthogonal matrix with  $\det(\hat{R}) = +1$ , since only proper rotations (not inversions) are considered.

Thus, the set of rotation matrices forms the group  $SO(3)$ .

The range of the parameters is important when discussing the relation to  $SU(2)$ , as we will now see.

A possible representation of  $SO(3)$  in two-dim. is ~~given~~ <sup>given</sup>

$$\text{by: } \hat{D}^{(1/2)} [R(\alpha, \beta, \gamma)] = \begin{bmatrix} e^{-i(\alpha+\gamma)/2} \cos \frac{\beta}{2} & -e^{-i(\alpha-\gamma)/2} \sin \frac{\beta}{2} \\ e^{i(\alpha-\gamma)/2} \sin \frac{\beta}{2} & e^{i(\alpha+\gamma)/2} \cos \frac{\beta}{2} \end{bmatrix}$$

We see that  $\hat{D}^{(1/2)}$  is a special, unitary  $2 \times 2$  matrix.

Hence, these matrices form the group  $SU(2)$  if the parameter range of  $(\alpha, \beta, \gamma)$  covers all possible  $SU(2)$  matrices.

Now:  $\hat{R}(0,0,0)$  and  $\hat{R}(0,0,2\pi)$  are both corresponding to the same identity operation. However,  $\hat{D}(0,0,0) \neq \hat{D}(0,0,2\pi)$ .

In effect, both  $\hat{D}^{(1/2)}(R)$  and  $-\hat{D}^{(1/2)}(R)$  correspond to  $\hat{R}$ .

$SU(2)$  would then be a double-valued representation of  $SO(3) \Rightarrow$  not allowed for a true representation.

However, for  $\hat{D}^{(1/2)}$  to constitute  $SU(2)$  we need e.g.  $0 \leq \gamma < 4\pi$ .

By restricting the range to  $0 \leq \gamma < 2\pi$ ,  $\hat{D}^{(1/2)}$  can represent  $SO(3)$  - but then it is no longer  $SU(2)$ .

Instead, the factor group  $SU(2)/\mathbb{Z}_2$  with  $\mathbb{Z}_2 = \{E, \bar{E}\}$ ,  $\bar{E} = -E$  is isomorphic to  $SO(3)$ : this removes the double-valuedness (equivalent to restricting the parameters).

We may turn the argument around: since there are two elements in  $SU(2)$  corresponding to  $SO(3)$ , the groups are homomorphic  $\Rightarrow SO(3)$  is a representation of  $SU(2)$  (not faithful, however).

### General properties:

- The representations of  $SU(2)$  may be classified by a number  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$  and the dimensionality is  $2j+1$ .

- The spin  $j$  rep. of  $SU(2)$  is  $\{\hat{D}^{(j)}\}$  where  $\hat{D}^{(j)}$  are rotation matrices for angular momentum  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$

$j = \text{half-integer}$ : the rep. is faithful to  $SU(2)$   
(e.g.  $j = 1/2$  like above).

$j = \text{integer}$ : the rep. is also a representation for  $SO(3)$ , and faithful as long as  $j \neq 0$ . (e.g.  $j = 1$ ).