

ERRATA list relating to the book

“*Ocean Waves and Oscillating Systems*”, ISBN 0-521-78211-2.

Misprints, corrections (pp. 1–3) and comments etc. (pp. 4–11).
[New insertions in this 2013 revision are marked with * at the start and with \diamond at the end.]

List of contents, page vii: The title (heading) of Section 5.9 should not be more than “Motion Response”.

Preface, page x, line 3: Between “- - - of the present book.” and “I am also in - - -”, insert the following sentence: “He and Torkel Bjarte-Larsson, a course participant from 1999, used the computer code WAMIT to work out Figures 5.8 and 5.9.”

Page 9, third line above Figure 2.3: “The stored energy is $u_0^2/2$ and” should be “The stored energy is $mu_0^2/2$ and”.

Page 11, Table 2.1: $+ \frac{\hat{x}}{2}e^{-i\omega t}$ should be $+ \frac{\hat{x}^*}{2}e^{-i\omega t}$

Page 11, third line from below: $u = \hat{x} =$ should be $u = \hat{x} =$.

Page 18, Eq. (2.77): $\hat{F}\hat{u}^* + \hat{F}\hat{u}^*$ should be $\hat{F}\hat{u}^* + \hat{F}^*\hat{u}$

Page 20, line 7: $S\omega_0^2|\hat{u}|^2/2$ should be $S\omega_0^{-2}|\hat{u}|^2/2$.

Page 32: Two places in Eq. (2.168), ω should be replaced by ω_0 .

Page 35, first line below Eq. (2.192) could preferably be: “If this is not true, we may conveniently subtract the singular part of $H(\omega)$. Let”

Page 35, line 4 from below: “casual” should be “causal”

Page 53, Figure 3.3: R_f should (two places) be R_m

Page 63, line 9: “kinetic” should be “kinematic”

Page 67, fourth line below Eq. (4.64): To be more correct, “Eq. (4.62) and” could preferably be replaced by “Eq. (4.62) – assuming ω is real – and”

Page 76, Eq. (4.120): $|\hat{\eta}_f|^2 + |\hat{\eta}_f|^2 +$ should be $|\hat{\eta}_f|^2 + |\hat{\eta}_b|^2 +$

Page 76, second line from below: “(cf. Problem 4.8) that” should be “(cf. Problem 4.7) that”

Page 78, Eq. (4.137): “(976 Ws^{-1}m^3) TH^2 ” should be “(976 $\text{Ws}^{-1}\text{m}^{-3}$) TH^2 ”.

Page 79, last line before Eq. (4.148): “with Eq. (4.146) gives” should be “with Eq. (4.147) gives”

Page 80: Three places in Eqs. (4.152)&(4.153), the lower integration limit h should be corrected to $-h$.

Page 83, Eq. (4.168): $32 \times (10^3 \text{ N} \cong 3.2 \text{ tons})$ should be $32 \times 10^3 \text{ N} (\cong 3.2 \text{ tons})$

Page 83, last line before Eq. (4.170): “Eq. (4.118) as” should be “Eq. (4.169) as”

Page 85, first line after Eq.(4.185): “conjugate. Hence” may be replaced by “conjugate; cf. Eq. (2.139). Hence”

Page 103, line 13: “in Eq. (4.274),” should be “in Eq. (4.273),”

Page 107, Eq. (4.324): $\cos\left(\frac{\omega t - \omega^2 \ell}{g}\right)$ should be $\cos(\omega t - \omega^2 \ell/g)$

Page 110: Observe that there is no minus sign in line 3 from bottom:

The line reads: $Z'_n(0) = \frac{\omega^2}{g} Z_n(0)$

Page 110, bottom line; and also page 111, line 2: m_m should be m_n .

Page 111, lines 16–18: Three places T should be T .

Page 112, Problem 4.8, line 3: Ae^{-kx} should be Ae^{-ikx}

Page 115, Problem 4.12, two places on line 8: “ $\cos h$ ” should be “ \cosh ”

Page 117, Problem 4.14, line 9: $\eta_r =$ should be $\hat{\eta}_r =$

Page 117, Problem 4.15, line 4: $\eta =$ should be $\hat{\eta} =$

Page 120: The line above Eq. (5.9) should read:

“amplitudes \hat{U} , $\hat{\Omega}$, \hat{u}_j and $\hat{\phi}(x, y, z)$. Thus”

Page 123, Eq. (5.27): $F_{e,j} =$ should be $\hat{F}_{e,j} =$

Pages 131 and 132, in Eqs. (5.77), (5.80), (5.81) and (5.86), a minus sign is missing on the right-hand side, thus: $=$ should be $= -$

Pages 133 and 135: On the graph of Figure 5.6 and on the upper right graph of Figure 5.7, the symbol ε is used to indicate non-dimensionalised radiation resistance. It would have been better if, instead, the symbol ϵ had been used, because this is the symbol used in the two figure captions, and also in the main text on pages 125, 133, 134, 190, 191 and 192. (Alternatively, the symbol ε in the captions and in the main text could have been changed to ϵ .)

Page 138, lines 8 and 12 of Section 5.3: Replace “introduced; See” by “introduced; see” and “by Eqs. (5.28) and (5.11)” by “by Eq. (5.28) and by Eq. (5.8), (5.9) or (5.11)”.

Page 142, In line 4 of the caption to Figure 5.11:

Replace $k_3/(\rho g a^2)$ by $k_3/(\pi \rho g a^2)$.

Page 148, Eq. (5.146): \mathbf{F}_e should be $\hat{\mathbf{F}}_e$ and ϕ_0 should be $\hat{\phi}_0$

Page 166: In the last term (the summation term) of Eq. (5.247):

Replace q by q' and j by q . Then Eq. (5.247) could preferably read:

$$\hat{F}_{e,j} = \hat{F}_{e,q} \approx \rho g \hat{\eta}_0 S_w \delta_{q3} + \rho V \hat{a}_{0q} + \sum_{q'=1}^3 m_{qq'} \hat{a}_{0q'}$$

Also in Eq. (5.248), $\hat{F}_{e,q}$ could preferably be replaced by $\hat{F}_{e,j} = \hat{F}_{e,q}$

Page 169, Figure 5.19: Below the indicated horizontal still-water surface, a few horizontal dashed lines (as e.g. in Figure 5.18) are missing in the vertical-section upper part of the Figure 5.19.

Page 181: The title (heading) of Section 5.9 should be **Motion Response**.

Correspondingly, the page header on pages 181, 183 and 185 should only be: 5.9 MOTION RESPONSE

Page 184, Eq. (5.323): $S_b/(i\omega)$ should be S_b/ω

Page 186: Eq (5.332) should read: $Y_i(\omega) = i\omega H_i(\omega)$.

Page 192, line 1: “the resistance” should be “the radiation resistance”.

- Page 194**, Problem 5.15(b), line 4: “heave velocity is given” should be “heave velocity is given as”.
- Page 203**, first line below Eq. (6.21): “where $Z_{u,j}(\omega)$ is a load” should be “where $Z_u(\omega)$ is a load”.
- Page 207**, line 7 below figure caption: $E_{u,P} > 0$ should be $W_{u,P} > 0$
- Page 207**, line 8 below figure caption:
 “a principle⁶⁷ which” should be “a principle^{66,67} which”
- Page 208**: On Figure 6.8, the minus sign should be a plus sign. Accordingly, in the third line of the figure caption, “Deducting from” should be replaced by “Adding to”.
- Page 209**, last line: “and $X_i(\omega) = \text{Im}Z_i(\omega)$, respectively.” should be “and $iX_i(\omega) = i\text{Im}Z_i(\omega)$, respectively.”
- *Page 210**, in the integral of Eq. (6.48): dt should be $d\tau$ ◇
- Page 211**, lines 5-6: Replace
 “The following paragraphs, as an example, give - - -” by
 “The following paragraph, as an example, gives - - -”
- Page 211**, lines 20, 21, 22 and 27 (four places): $E_{u,P}$ should be $W_{u,P}$
- Page 214**, second line below Eq. (6.62): $\hat{\mathbf{u}} = \hat{\mathbf{U}} + \hat{\boldsymbol{\delta}}$ should be $\hat{\mathbf{u}} = \mathbf{U} + \boldsymbol{\delta}$
- *Page 215**, in Eq. (6.72): $= \frac{1}{4}(\hat{\mathbf{F}}^T \hat{\mathbf{u}}^* +$ should be $= \frac{1}{4}(\hat{\mathbf{F}}_e^T \hat{\mathbf{u}}^* +$ ◇
- Page 229**: Eq. (7.28) should be: $P_r = \frac{1}{4}\hat{p}_k \hat{Y}_{kk}^* \hat{p}_k^* + \frac{1}{4}\hat{p}_k^* \hat{Y}_{kk} \hat{p}_k = \frac{1}{2}G_{kk} |\hat{p}_k|^2$
- Page 242**: The first line of Eq. (7.99) should read:

$$2\mathcal{P}_r = \hat{\mathbf{u}}^\dagger(\mathbf{Z}\hat{\mathbf{u}} + \mathbf{H}\hat{\mathbf{p}}) + \hat{\mathbf{p}}^T(\mathbf{Y}^*\hat{\mathbf{p}}^* - \mathbf{H}^\dagger\hat{\mathbf{u}}^*)$$
- Page 245**, Eq. (7.123): ϕ should be $\hat{\phi}$
- Page 250**, line 3: $\mathbf{H}^T = -\mathbf{H}$ should be $\mathbf{H} = \mathbf{H}_{up} = -\mathbf{H}_{pu}^T$
- Page 254**, first line below Eq. (7.189): “vectors φ_u and φ_p ” should be “vectors $\boldsymbol{\varphi}_u$ and $\boldsymbol{\varphi}_p$ ”
- Page 256**, fourth line above Eq. (7.200): “heave mode 2 (i.e.,” should be “heave mode (i.e.,”
- Page 260**, three last lines: Four places, $\hat{\boldsymbol{\delta}}$ should be $\boldsymbol{\delta}$
- Page 272**, left column: Between lines 27 and 28 insert:
 intrinsic mechanical impedance, 184, 203, 204
 intrinsic mechanical resistance, 205
- Page 273**, right column: Line 12 from below should read:
 matrix singularity, 172, 174, 175, 181, 196, 213, 218, 221
- Page 275**, right column, line 6 from below: “chanel” should be “channel”

Comments, additional information, etc.

Page 26: Comment to the first line after Eq. (2.128): Eq. (2.147) proves this statement of commutativity.

Page 57: In the text of Problem 3.8, on the third line, “Eqs. (3.42) - (3.45)” could be changed to “Eqs. (3.42) - (3.46)” provided the following is added at the end of the expression on the second line: $= 1 - |1 - 2R_r \hat{u} / \hat{F}_e|^2$

Page 59: Concerning derivation of Eq. (4.12): From the equation

$$\nabla(\partial\phi/\partial t + v^2/2 + p_{tot}/\rho + gz) = 0 \quad (4.11)$$

it follows that $\partial\phi/\partial t + v^2/2 + p_{tot}/\rho + gz = \mathcal{C}(t)$ is independent of the spatial coordinates x , y and z . However, without loss of generality, we may assume that $\mathcal{C}(t)$ is a constant independent of time, because our mathematical auxiliary function, the velocity potential, may be redefined by $\phi' = \phi - \int^t \mathcal{C}(t) dt$. As the difference between ϕ' and ϕ does not depend on the spatial coordinates, but only of the time, the physical quantity, the fluid velocity, $\vec{v} = \nabla\phi' = \nabla\phi$ is unambiguous. Hence, Eq. (4.12), which results when we replace $\mathcal{C}(t)$ by the constant C , is sufficiently general.

Page 62, second line below Fig. 4.5:

“depends on t ” might preferably be replaced by “depends on x , y and t ”.

Page 73, last line of Eq. (4.120) may preferably be extended to read:

$$= \left[1 - \left(\frac{\omega^2}{gk} \right)^2 \right] kh + \frac{\omega^2}{gk} = (2\omega/g)v_g = (2k/g)v_p v_g \quad (4.111)$$

Comment 1: Thus, the somewhat complicated mathematical function $D(kh)$ has been more simply expressed by the physical quantities v_g and v_p , the group and phase velocities.

Comment 2: See also the last paragraph before Subsection 5.5.6 (p.159).

Comment 3: Note that the fraction $[\rho g^2 D(kh)/4\omega]$, appearing in several equations, e.g. on pages 77-78, may alternatively be written as $(\rho g/2)v_g$.

Page 74, line 9: After “(see Problem 4.12).” may be inserted/added

“This maximum occurs for $\omega^2 h/g = 1$ ”.

***Page 75** (Subsection 4.4.1. Potential Energy).

An alternative, and more general, derivation is the following:

Let us consider the surface density $E_{pot}(t)$ of potential energy, which is associated with the elevation η of the open-air-to-water interface S_0 at $z = 0$, as well as with the elevation η_k of the OWC-air-to-water interface S_k at $z = z_k$, where a dynamic air pressure p_k is applied (see Fig. 4.5, p. 62).

Let ΔS denote an infinitesimally small surface element of the air-water interface, and let us consider an envisaged water column of height η_k , horizontal cross section ΔS and volume $\eta_k \Delta S$. When its height η_k is increased, from below, by an infinitesimal amount $d\eta_k$, under the influence of the gravity force $\rho g \eta_k \Delta S$ and the dynamic air-pressure force $p_k \Delta S$, the potential energy is increased by an infinitesimal amount $(\rho g \eta_k + p_k) d\eta_k \Delta S$. Thus, by replacing η_k by ζ as a dummy integration variable, and then integrating from $\zeta = 0$ (corresponding to zero potential energy) to $\zeta = \eta_k$, we find that the instantaneous potential energy per unit surface of the air-water interface is

$$[E_{pot}(t)]_{S_k} = (\rho g/2) (\eta_k(t))^2 + p_k(t) \eta_k(t).$$

(Note that we here, as a reference, have chosen the potential energy to be zero when $\eta_k = 0$ and $p_k = 0$.) The time-average of the above time-dependent expression,

$$E_p|_{S_k} \equiv \overline{[E_{pot}(t)]_{S_k}} = (\rho g/2) \overline{(\eta_k(t))^2} + \overline{p_k(t) \eta_k(t)},$$

agrees with equation (4.118) for the special case when the dynamic air pressure, above the water, is assumed to be zero. Note that $\eta_k(t)$, as well as $[E_{pot}(t)]_{S_k}$, may depend on the horizontal coordinates (x, y) too. However, inside any OWC chamber $\neq k$, say, (see Fig. 4.5), $p_k(t)$ does not vary with the horizontal coordinates. Moreover, also $E_p|_{S_k}$, which by definition is independent of time, may depend on the horizontal coordinates (x, y) ; equations (4.119) and (4.120) show examples.

For cases when η_k and p_k vary sinusoidally with angular frequency ω , we may, in terms of complex amplitudes, write

$$E_p|_{S_k} = (\rho g/4) |\hat{\eta}_k|^2 + (1/2) \text{Re}\{\hat{p}_k \hat{\eta}_k^*\} = (\rho g \hat{\eta}_k \hat{\eta}_k^* + \hat{p}_k \hat{\eta}_k^* + \hat{p}_k^* \hat{\eta}_k)/4,$$

in analogy with equation (2.78), page 18. For the case of zero dynamic air pressure, only the first term remains, which term is in agreement with equation (4.119). [ADDITIONAL COMMENT: The first term in the above two displayed expressions for E_p , if multiplied by ΔS , may be interpreted as the potential energy of a vertical water-column "body" of cross section ΔS and stiffness $\rho g \Delta S$. This is easily seen if we compare with equations (2.3), (2.74) and (2.86). For a semi-submerged column-shaped real body, the corresponding stiffness is called buoyancy stiffness or hydrostatic stiffness; see page 183 and Fig 5.27 of Subsection 5.9.1.]

Next, we wish to express E_p in terms of the velocity potential. Using equations (4.37) and (4.40), we find

$$\hat{\eta}_k = (1/i\omega)[\partial\hat{\phi}/\partial z]_{S_k} \quad \text{and} \quad \hat{p}_k = -i\omega\rho[\hat{\phi} - (g/\omega^2)(\partial\hat{\phi}/\partial z)]_{S_k}.$$

Thus, we may express the time-average potential-energy surface density (which is a real quantity), in terms of the (complex) velocity potential, as

$$E_p|_{S_k} = -(\rho g/4\omega^2)[(\partial\hat{\phi}/\partial z)(\partial\hat{\phi}^*/\partial z)]_{S_k} + (\rho/4)[\hat{\phi}(\partial\hat{\phi}^*/\partial z) + \hat{\phi}^*(\partial\hat{\phi}/\partial z)]_{S_k}.$$

On the interface S_0 between the water and open air, where zero dynamic air pressure is assumed, the simpler boundary condition (4.43) replaces (4.37). There the surface density of potential energy may be expressed in various ways as

$$\begin{aligned} E_p|_{S_0} &= E_p|_{z=0} = (\rho g/4) |\hat{\eta}|^2 = (\rho g/4\omega^2)[(\partial\hat{\phi}/\partial z)(\partial\hat{\phi}^*/\partial z)]_{S_0} \\ &= (\rho\omega^2/4g)[\hat{\phi}^* \hat{\phi}]_{S_0} = (\rho/4)[\hat{\phi}(\partial\hat{\phi}^*/\partial z)]_{S_0} = (\rho/4)[\hat{\phi}^*(\partial\hat{\phi}/\partial z)]_{S_0}. \end{aligned}$$

This, real and non-negative, expression is being applied in Subsection 5.5.4., equation (5.192), page 157. It may be noted that the above last expression for $E_p|_{S_k}$ is valid for $E_p|_{S_0}$ provided $|_{S_k}$ and $]_{S_k}$ are replaced by $|_{S_0}$ and $]_{S_0}$, respectively. (However, the opposite procedure — to replace $|_{S_0}$ by $|_{S_k}$ in the explicitly shown expressions for $E_p|_{S_0}$ — is not permissible, unless $\hat{p}_k \equiv 0$). \diamond

Pages 83-87, comments related to Section 4.5: As β , ω and f have SI units rad, rad/s and Hz, equations on e.g. page 86 reveal that S , s and s_ω have SI units m^2/Hz , $\text{m}^2/(\text{rad Hz})$, and $(\text{m/rad})^2\text{s}$, respectively. According to Eq. (4.197) then the function $A(\omega, \beta)$ has SI unit $\text{m s}(\text{rad})^{-2}$, which also agrees with Eq. (4.178). An analogous, but different, discrete-frequency quantity A_j used by some other authors [see e.g. equations 2.22, 2.23 and 2.38 in Faltinsen's book *Sea Loads on Ships and Offshore Structures*] has SI unit m.

Page 117, Problem 4.15: The text on lines 4–7 (“Derive an expression for - - nowhere an accumulation of energy.”) may be replaced by “For the superposition of these two plane waves, derive an expression for the intensity

$$\vec{I} = \overline{p(t)\vec{v}(t)}$$

which, by definition, is a time-independent vector. Further, referring to Subsection 4.4.4 (pp. 77–78), show that the wave-power-level vector may be expressed as

$$\vec{J} = (\rho g/2)v_g [\vec{e}_x (|A|^2 + |B|^2 \cos \beta) + \vec{e}_y |B|^2 \sin \beta] + \vec{s}(x, y)$$

where the spatially dependent vector $\vec{s}(x, y)$ is solenoidal. Find an expression for $\vec{s}(x, y)$, and show explicitly that $\nabla \cdot \vec{s} = 0$, which has the physical significance that there is nowhere, in the water, accumulation of any permanent wave energy (active energy — but possibly only of reactive energy —).”

***Page 175:** Comment to the last paragraph of Subsection 5.7.2:

Let us, for convenience, adopt notation $\delta_0 = (\delta_{0,1} \delta_{0,2} \delta_{0,3} \cdots \delta_{0,6N})^T$ for any particular non-zero complex-velocity-amplitude column vector $\hat{\mathbf{u}}$, for which the corresponding far-field radiated wave vanishes. It follows from equations (5.261) to (5.267) — writing subscript $_{pj0}$ as $_{i,0}$ ($i = 1, 2, 3, \dots, 6N$) —, that such a case may exist with, for instance, a combined surge-and-pitch oscillation of an axisymmetric body, provided

$$\varphi_1 \hat{u}_1 + \varphi_5 \hat{u}_5 \sim e(kz)(kr)^{-1/2} e^{-ikr} (a_{1,0} \hat{u}_1 + a_{5,0} \hat{u}_5) \cos \theta = 0,$$

that is, $\hat{u}_1/\hat{u}_5 = \delta_{0,1}/\delta_{0,5} = -a_{5,0}/a_{1,0} = -h_{5,0}/h_{1,0} = -f_{5,0}/f_{1,0}$. A similar interrelationship holds for a combined sway-and-roll oscillation. Moreover, yaw oscillation of an axisymmetric body does not produce any wave in an ideal fluid.

Hence, a single immersed axisymmetric body, which has a complex velocity amplitude represented by the the six-dimensional column vector

$\delta_0 = (-[f_{5,0}/f_{1,0}]\delta_{0,5} - [f_{4,0}/f_{2,0}]\delta_{0,4} \ 0 \ \delta_{0,4} \ \delta_{0,5} \ \delta_{0,6})^T$ does not produce any far-field radiated wave. Here $\delta_{0,4}$, $\delta_{0,5}$ and $\delta_{0,6}$ are three arbitrary complex quantities of dimension angular velocity (SI unit rad/s).

For two axisymmetric bodies, which are concentric about a vertical axis, the condition for cancellation of the $\cos \theta$ varying part of the far-field radiated wave is

$$a_{1,0} \hat{u}_1 + a_{5,0} \hat{u}_5 + a_{7,0} \hat{u}_7 + a_{11,0} \hat{u}_{11} = 0 = a_{1,0} \delta_{0,1} + a_{5,0} \delta_{0,5} + a_{7,0} \delta_{0,7} + a_{11,0} \delta_{0,11},$$

from which we may eliminate $\delta_{0,11}$, in terms of $\delta_{0,1}$, $\delta_{0,5}$ and $\delta_{0,7}$, thus

$$\delta_{0,11} = -(a_{1,0} \delta_{0,1} + a_{5,0} \delta_{0,5} + a_{7,0} \delta_{0,7})/a_{11,0}.$$

Similarly, the sway-and-roll generated $\sin \theta$ varying part of the far-field radiated wave is cancelled if

$$\delta_{0,10} = -(a_{2,0} \delta_{0,2} + a_{4,0} \delta_{0,4} + a_{8,0} \delta_{0,8})/a_{10,0}.$$

Moreover, the isotropic part of the far-field radiated wave is cancelled, provided $\delta_{0,9} = -(a_{3,0}/a_{9,0}) \delta_{0,3}$. Finally, any finite yaw components $\delta_{0,6}$ and $\delta_{0,12}$ of the two concentric axisymmetric bodies do not contribute to any wave generation. Thus, we have a far-field-radiation-cancelling, finite (non-zero), 12-dimensional velocity vector δ_0 that may be expressed by 9 arbitrary, mutually independent, complex quantities, which we have here chosen to be $\delta_{0,1}$, $\delta_{0,2}$, $\delta_{0,3}$, $\delta_{0,4}$, $\delta_{0,5}$, $\delta_{0,6}$, $\delta_{0,7}$, $\delta_{0,8}$ and $\delta_{0,12}$.

Observe that the number of arbitrary, mutually independent, complex quantities, on which δ_0 is composed, equals $(6N - r)$, the difference between dimensionality $6N$ and rank r of the radiation resistance matrix \mathbf{R} . \diamond

Page 185: In order to insert an additional comment, the second line after Eq. (5.327) could be replaced by: “cylinder is relatively high ($l/a \gg 1$). For the floating, truncated, vertical cylinder discussed in Subsection 5.2.4 (cf. Figure 5.7), condition $l/a \gg 1$ is not satisfied, and the added mass appears to exceed the value given by Eq. (5.327) by a factor in the range between 1.4 and 1.7. If $l \gg a$, we have $m_m \gg m_{33}$ and the angular”.

Page 201, inequalities (6.13):

When deriving inequality (6.14), Budal^{67,68} considered a tall cylindrical body with relatively small water-plane area. Then, with optimum phase ($\gamma_3 = 0$), the heave amplitude $|\hat{s}_3|$ may be significantly larger than the wave amplitude $|A|$. Inequalities (6.13) are based on this assumption. However, for a wave-interacting low cylindrical body with relatively large water-plane area, the heave amplitude should not exceed the wave amplitude. Moreover, the excitation force amplitude is bounded by the body’s buoyancy force at equilibrium in still water. In this case, inequalities (6.13) are to be replaced by

$$|\hat{u}_3| < \omega|A|, \quad |\hat{F}_{e,3}| < \rho g V / 2,$$

as suggested by Rod Rainey [Rainey, 2003, private communication]. This alternative to inequalities (6.13) leads, however, to the same fundamental result, Budal’s upper bound (6.14).

Page 205: The last line of Eq. (6.30) could alternatively be written as:

$$= \frac{2}{\pi} \int_0^\infty \left\{ \frac{|F_e(\omega)|^2}{8R_i(\omega)} - \frac{\alpha(\omega)}{8R_i(\omega)} \right\} d\omega$$

Corresponding changes in Eqs. (6.33) and (6.35) would make comparison with Eq. (6.25) more direct and easy.

***Page 215:** The following comments concern mainly the first ten lines on Page 215:

(In relation to the parenthesis on lines 3 to 4, the above comments to Page 175 may be useful.)

With reference to equation (6.57) and inequality (6.59), we may note that the real, non-negative quantity $P_r(\boldsymbol{\delta}) = \boldsymbol{\delta}^\dagger \mathbf{R} \boldsymbol{\delta} / 2$, which appears in the last term of equation (6.64), is the radiated power corresponding to a complex-velocity-amplitude column vector $\boldsymbol{\delta}$. When the radiation resistance matrix \mathbf{R} is non-singular, equation (6.62) has a unique solution for \mathbf{U} as given by equation (6.66), and $P_r(\boldsymbol{\delta})$ can vanish only if $\boldsymbol{\delta} = \mathbf{0}$.

However, if the radiation resistance matrix \mathbf{R} is singular, and thus its determinant is zero, $|\mathbf{R}| = 0$, then the algebraic equation (6.62) may have infinitely many solutions for \mathbf{U} , and, moreover, certain finite (non-zero) values $\boldsymbol{\delta} = \boldsymbol{\delta}_0$, say, may be found, for which $P_r(\boldsymbol{\delta}_0) = 0$. Then also $P_r(C\boldsymbol{\delta}_0) = 0$, where C is an arbitrary complex scalar (and dimensionless) factor. This means that oscillations corresponding to a complex-velocity-amplitude column vector $\boldsymbol{\delta}_0$, even if it may produce a near-field oscillation in the water, it does not produce any radiated far-field wave. (An example is discussed in more detail in the above Comment to page 175.) If a solution $\mathbf{U} = \mathbf{U}_1$, say, of equation (6.62), produces an optimum radiated far-field wave for maximum absorbed power, then also a solution $\mathbf{U} = \mathbf{U}_1 + \boldsymbol{\delta}_0 = \mathbf{U}_2$, say, produces the same optimum radiated far-field wave, and hence, also the same maximum absorbed power, as the solution $\mathbf{U} = \mathbf{U}_1$ does. Thus, even if the solution of equation (6.62) is ambiguous when \mathbf{R} is singular, the maximum power P_{MAX} , as given by equation (6.65), is unambiguous.

Concerning solution of the optimum condition (6.62) and derivation of the maximum absorbed power P_{MAX} and discussion of the case when the radiation resistance matrix \mathbf{R} is singular; see further comments below in Comments to page 244. Note that, since the real matrix \mathbf{R} is symmetrical, it is a special case of the complex, but hermitian, matrix Δ . \diamond

***Page 244:** Comment to the derivation of Eq. (7.107) for the maximum absorbed power P_{MAX} , and a discussion of the case when the radiation damping matrix Δ is singular:

For convenience, we rewrite Eq. (7.106) as

$$4P = 4P(\hat{\mathbf{v}}) = \hat{\boldsymbol{\kappa}}^T \hat{\mathbf{v}}^* + \hat{\boldsymbol{\kappa}}^\dagger \hat{\mathbf{v}} - 2\hat{\mathbf{v}}^\dagger \Delta \hat{\mathbf{v}}.$$

When writing $\hat{\mathbf{v}}$ as $\hat{\mathbf{v}} = \mathbf{U} + \boldsymbol{\delta}$, where \mathbf{U} is an optimum oscillation amplitude vector, which is a solution of the algebraic equation (7.109) — that is, $2\Delta\mathbf{U} = \hat{\boldsymbol{\kappa}}$ —, we may rewrite this as $4P = 4P(\hat{\mathbf{v}}) = 4P(\mathbf{U} + \boldsymbol{\delta}) = \hat{\boldsymbol{\kappa}}^T \mathbf{U}^* + \hat{\boldsymbol{\kappa}}^\dagger \mathbf{U} + \hat{\boldsymbol{\kappa}}^T \boldsymbol{\delta}^* + \hat{\boldsymbol{\kappa}}^\dagger \boldsymbol{\delta} - 2\mathbf{U}^\dagger \Delta \mathbf{U} - 2\boldsymbol{\delta}^\dagger \Delta \mathbf{U} - 2\mathbf{U}^\dagger \Delta \boldsymbol{\delta} - 2\boldsymbol{\delta}^\dagger \Delta \boldsymbol{\delta}$. Here, each of the eight terms, which we may label as #1, #2, ..., #8 (running from left to right), is a scalar, according to the rules for matrix multiplication. Hence, we may, for convenience, transpose any term, without changing its value.

For instance, we may write term #5 — which is necessarily real, according to equations (7.103)–(7.105) — as $-2\mathbf{U}^\dagger \Delta \mathbf{U} = -2\mathbf{U}^T \Delta^T \mathbf{U}^* = -2\mathbf{U}^\dagger \Delta^\dagger \mathbf{U} = -2\mathbf{U}^\dagger \Delta \mathbf{U}$. In the third step, we utilised the fact that matrix Δ is hermitian; see eq. (7.104). In the second, complex-conjugation, step, we utilised the fact that term #5 is real.

Further, term #2 may be written as $\hat{\boldsymbol{\kappa}}^\dagger \mathbf{U} = \mathbf{U}^T \hat{\boldsymbol{\kappa}}^* = 2\mathbf{U}^T \Delta^* \mathbf{U}^* = 2\mathbf{U}^\dagger \Delta^\dagger \mathbf{U} = 2\mathbf{U}^\dagger \Delta \mathbf{U} = \hat{\boldsymbol{\kappa}}^T \mathbf{U}^* = \mathbf{U}^\dagger \hat{\boldsymbol{\kappa}}$, where we, in the second step, applied the algebraic equation (7.109). In the first and third steps, we again applied matrix transposition, and in the fourth step, we used eq. (7.104). Having now demonstrated that term #2 is real, we finally applied complex conjugation in the two last steps.

We see from this that terms #1 and #2 have equal values, and that term #5 has the same value with opposite sign. Thus, terms #2 and #5 cancel each other. Moreover, by utilising eq. (7.109) or its adjoint (i.e. transpose & complex conjugate), it can be shown that terms #3 and #6, as well as terms #4 and #7, cancel each other. Thus, we are left only with terms #1 and #8, that is $4P = 4P(\hat{\mathbf{v}}) = 4P(\mathbf{U} + \boldsymbol{\delta}) = \hat{\boldsymbol{\kappa}}^T \mathbf{U}^* - 2\boldsymbol{\delta}^\dagger \Delta \boldsymbol{\delta}$.

As the radiation damping matrix Δ is, in general, positive semidefinite — see eq. (7.105) —, that is $\boldsymbol{\delta}^\dagger \Delta \boldsymbol{\delta} \geq 0$ for arbitrary vectors $\boldsymbol{\delta}$, it follows that the maximum absorbed wave power is

$$P_{MAX} = P(\mathbf{U}) = \hat{\boldsymbol{\kappa}}^T \mathbf{U}^* / 4 = \hat{\boldsymbol{\kappa}}^\dagger \mathbf{U} / 4 = \mathbf{U}^\dagger \Delta \mathbf{U} / 2,$$

which proves equation (7.107). In correspondence with equation (7.96), we may write

$$P_{MAX} = P_{e,OPT} / 2 = P_{r,OPT}, \text{ where}$$

$$P_{e,OPT} = \hat{\boldsymbol{\kappa}}^T \mathbf{U}^* / 4 + \hat{\boldsymbol{\kappa}}^\dagger \mathbf{U} / 4, \text{ is the optimum excitation power, and}$$

$$P_{r,OPT} = \mathbf{U}^\dagger \Delta \mathbf{U} / 2, \text{ is the optimum radiated power.}$$

In special cases where the radiation damping matrix Δ is positive definite, its determinant $|\Delta|$ is positive, $|\Delta| > 0$, and then equation (7.109) has an unambiguous solution for the optimum oscillation amplitude vector $\hat{\mathbf{v}}_{OPT} = \mathbf{U}$. Then equation (7.111) for the maximum absorbed power P_{MAX} , is applicable.

However, in general, we can only say that Δ is positive semidefinite, thus $|\Delta| \geq 0$. This means that we may encounter cases where the determinant vanishes, $|\Delta| = 0$. (See, e.g., page 258 of Subsection 7.2.8.) In such cases, equation (7.109) has infinitely many possible solutions, for instance two different values \mathbf{U}_1 and \mathbf{U}_2 , say. Observe, however, that $P_{MAX} = P(\mathbf{U})$, as well as $P_{e,OPT}$ and $P_{r,OPT}$, is unambiguous, because

$$\begin{aligned} P(\mathbf{U}_2) - P(\mathbf{U}_1) &= \hat{\kappa}^\dagger(\mathbf{U}_2 - \mathbf{U}_1)/4 = (\Delta\mathbf{U})^\dagger(\mathbf{U}_2 - \mathbf{U}_1)/2 = \\ &= \mathbf{U}^\dagger\Delta^\dagger(\mathbf{U}_2 - \mathbf{U}_1)/2 = \mathbf{U}^\dagger\Delta(\mathbf{U}_2 - \mathbf{U}_1)/2 = \mathbf{U}^\dagger(\hat{\kappa} - \hat{\kappa})/4 = 0, \end{aligned}$$

where we have used equation (7.109) twice (or three times, if you like!) and — the complex conjugate of — equation (7.104) once.

ADDITIONAL REMARKS, which also concern the above Comments to Page 175 and Page 215 (noting that the real symmetrical matrix \mathbf{R} is a special case of the complex hermitian matrix Δ):

An alternative, more general, but also more abstract, derivation of the above results follows. We consider equation (7.109), $\Delta\mathbf{U} = \mathbf{V} \equiv \hat{\kappa}/2$, as an operation, where the elements \mathbf{U} in the *domain*, \mathcal{C}^N , of the linear operator Δ , are mapped into elements \mathbf{V} in the *range*, \mathcal{R}_Δ , of the same operator. Here the domain \mathcal{C}^N is the N dimensional complex space, where N , as given by equation (7.66), is the dimensionality of $\hat{\mathbf{U}}$, as well as of Δ . Moreover, the range \mathcal{R}_Δ is an r dimensional complex space, where r is the rank of matrix Δ . To say it in short: all elements $\mathbf{U} \in \mathcal{C}^N$ are mapped into corresponding elements $\mathbf{V} \in \mathcal{R}_\Delta$.

When the matrix Δ is singular, its determinant is zero, $|\Delta| = 0$, and then it is possible to find a finite (non-zero) column vector $\boldsymbol{\delta} = \boldsymbol{\delta}_0$, say, for which $\Delta\boldsymbol{\delta}_0 = \mathbf{0}$. [The above vector $(\mathbf{U}_2 - \mathbf{U}_1)$ is an example of such a finite vector $\boldsymbol{\delta}_0$.] Then, of course, the corresponding radiated power vanishes, $P_r(\boldsymbol{\delta}_0) = \boldsymbol{\delta}_0^\dagger\Delta\boldsymbol{\delta}_0/2 = 0$.

As the above Comments to Page 175 exemplify, many different possibilities may exist for choosing such $\boldsymbol{\delta}_0$ vectors. They all belong to what is called the *null space*, \mathcal{N}_Δ , of operator Δ , thus $\boldsymbol{\delta}_0 \in \mathcal{N}_\Delta$. (The null space \mathcal{N}_Δ is a subspace of Δ 's domain \mathcal{C}^N .) As equation (7.109) is not self-contradictory, the column vector $\hat{\kappa} = 2\mathbf{V}$ belongs to the range \mathcal{R}_Δ of the linear operator Δ , that is, $\hat{\kappa} \in \mathcal{R}_\Delta$. From the theory of linear operators [see, e.g., page 288 in M.C. Pease, *Methods of Matrix Algebra*, Academic Press, 1965, or page 171 in Ivar Stakgold, *Boundary Value Problems in Mathematical Physics*, Vol. 1, Macmillan, 1967], it is well-known that the null space of a linear operator is the orthogonal complement to the range of the associated adjoint operator. Because the radiation damping matrix Δ is hermitian, it is a self-adjoint operator. Hence, all vectors of the null space \mathcal{N}_Δ are orthogonal to all vectors in the range \mathcal{R}_Δ . Thus, vectors $\boldsymbol{\delta}_0$ and $\hat{\kappa}$ are orthogonal, that is $\boldsymbol{\delta}_0^\dagger\hat{\kappa} = 2\boldsymbol{\delta}_0^\dagger\Delta\hat{\mathbf{v}} = 0$. Here we may choose column vector $\hat{\mathbf{v}}$ as any column vector belonging to Δ 's domain \mathcal{C}^N , such as, e.g., one of the above column vectors \mathbf{U} , $\boldsymbol{\delta}$, $(\mathbf{U} + \boldsymbol{\delta})$, $(\mathbf{U}_2 - \mathbf{U}_1)$ or $\boldsymbol{\delta}_0$. It follows that $P_{MAX} = P(\mathbf{U}) = P(\mathbf{U} + \boldsymbol{\delta}_0)$ is unambiguous, in spite of the fact that the optimum amplitude vector \mathbf{U} is ambiguous when the radiation damping matrix Δ is singular.

In the above Comments to Page 175, an example of ($N_i =$) 2 concentric axisymmetric bodies was considered. With $\Delta = \mathbf{R}$ having a dimensionality of $6N_i = 12$ and a rank of $r = 3$ [cf. the last three paragraphs of Subsection 5.7.2 (pages 174-175)], the null space $\mathcal{N}_\mathbf{R}$ is a ($6N_i - r =$) 9 dimensional subspace of the ($6N_i =$) 12 dimensional complex space \mathcal{C}^{12} , while the range $\mathcal{R}_\mathbf{R}$ is the complementary ($r =$) 3 dimensional subspace of \mathcal{C}^{12} . The three

dimensions of this subspace $\mathcal{R}_{\mathbf{R}}$ correspond to the three possible far-field radiation patterns, the isotropic pattern and the $\cos\theta$ and the $\sin\theta$ varying patterns. \diamond

***Pages 247–250 (Subsection 7.2.5):** Radiation parameters in matrix notation:

Using notation as on the right-hand side of equation (7.113) for the radiated wave's velocity potential, it may be convenient to write equation (7.136) as:

$$S = S_u + S_p \quad \text{where } S_u = \sum_{i=1}^{N_i} S_i \quad \text{and} \quad S_p = \sum_{k=1}^{N_k} S_k .$$

Then we may rewrite equation (7.134) in matrix notation as

$$\mathbf{Z} = \mathbf{Z}^T = -i\omega\rho \iint_{S_u} \frac{\partial\varphi_u}{\partial n} \varphi_u^T dS = -i\omega\rho \iint_{S_u} \frac{\partial\varphi_u^*}{\partial n} \varphi_u^T dS,$$

which is an extension of the radiation impedance matrix equations (5.168) and (5.169). For convenience, we have also used equation (7.139) to introduce \mathbf{Z}^T in the above expression. To obtain the most right-hand expression we utilised the fact that boundary condition (7.116) has a real right-hand side.

Moreover, in the last term of equations (7.137) and (7.138), as well as in several expressions on the next few pages, we may simply replace

$$\sum_k \iint_{S_k} \quad \text{by} \quad \iint_{S_p} .$$

Thus, e.g., equation (7.138) may be simplified to

$$\mathbf{Z} = -i\omega\rho \iint_S \frac{\partial\varphi_u^*}{\partial n} \varphi_u^T dS - \frac{i\omega^3\rho}{g} \iint_{S_p} \varphi_u^* \varphi_u^T dS.$$

The radiation admittance as given by equation (7.142), may in matrix notation be rewritten as

$$\mathbf{Y} = \mathbf{Y}^T = i\omega\rho \iint_{S_p} \left(\varphi_p - \frac{g}{\omega^2} \frac{\partial\varphi_p}{\partial z} \right) \frac{\partial\varphi_p^T}{\partial z} dS = -i\omega\rho \iint_{S_p} \left(\varphi_p^* - \frac{g}{\omega^2} \frac{\partial\varphi_p^*}{\partial z} \right) \frac{\partial\varphi_p^T}{\partial z} dS$$

where use has been made also of equations (7.144) and (7.149).

Concerning the radiation coupling matrix

$$\mathbf{H} = \mathbf{H}_{up} = -\mathbf{H}_{pu}^T$$

— cf. equations (7.84) and (7.160) — equation (7.155) may be rewritten in matrix notation as

$$\mathbf{H}_{up} = -i\omega\rho \iint_{S_u} \frac{\partial\varphi_u}{\partial n} \varphi_p^T dS = -i\omega\rho \iint_{S_u} \frac{\partial\varphi_u^*}{\partial n} \varphi_p^T dS,$$

and equation (7.157) as

$$\mathbf{H}_{pu} = i\omega\rho \iint_{S_p} \left(\varphi_p - \frac{g}{\omega^2} \frac{\partial\varphi_p}{\partial z} \right) \frac{\partial\varphi_u^T}{\partial z} dS = -i\omega\rho \iint_{S_p} \left(\varphi_p^* - \frac{g}{\omega^2} \frac{\partial\varphi_p^*}{\partial z} \right) \frac{\partial\varphi_u^T}{\partial z} dS,$$

where we, to obtain the most right-hand expressions, utilised the two boundary conditions (7.116) and (7.120) [or (7.144)], respectively. \diamond

Page 263, Bibliography, entry # 7:

The review prepared by the ECOR (Engineering Committee on Oceanic Resources) Working Group on Wave Energy Conversion, has been published as a book by Elsevier 2003 under the title "*Wave Energy Conversion*" (ISBN 0-08-044212-9).

Errata list created 2002-04-26 (020426).

Revised 020503/020829/031230/050204/050613/051017/060706/070815-
/080211/101217/120313/130430

Insertions in the last revision are marked with * at the start and with \diamond at the end.