Segmentation Theory for Design of a Multi-Axis Actuator Array using Segmented Binary Control

Kyu-Jin Cho, and H. Harry Asada, Associate Member, IEEE

Abstract—In this paper, we present a segmentation theory for designing a Multi-Axis Actuator Array. This array uses artificial muscle actuators, i.e., shape memory alloys, to control multiple axes in a coordinated manner. The multi-axis actuator array uses Segmented Binary Control (SBC), which is a method of controlling artificial muscle actuators in a digital manner. In SBC, actuators are segmented and each segment is controlled independently, instead of controlling the strain of the actuator as a whole. The advantage of using SBC is that it allows us to avoid the nonlinear properties of the actuator and to use a simple control for each segment. However, one problem of using SBC is the increased number of segments. The segmentation theory provides a basis for using coupled segments, or segments to be shared within the actuator array, along with independent segments, to control the multiple axes with reduced number of segments. A segmentation design procedure is developed based on the theory and the method is applied to an actuator array for driving a five-fingered robot hand capable of taking variety of postures.

I. INTRODUCTION

Multi-Axis Actuator Array is an actuator that uses Segmented Binary Control (SBC) to control multi-axis actuators in order to activate multiple links of a robot mechanism in a coordinated way. SBC is a method of controlling artificial muscle actuators by segmenting the actuator and controlling each segment in a digital manner [1]. This Segmented Binary Control uses basic characteristics of artificial muscle actuators such as shape memory alloy actuators [2-4] and electroactive polymer actuators [5,6]. Namely, the resultant motion is the integration of the strain along the whole material, or the summation of individual displacements contributed by all the segments. As far as the value of the integral remains the same, the resultant displacement does not vary although some segments have larger strains than others, or the strain is uniformly distributed along the material. Therefore, we do not have to control the strain of the material as a whole, but can generate the same total displacement by activating local segments of the material.

One drawback of SBC is increased number of control loops and drive system. Although each control loop regulating the individual segment is rather simple, many loops are needed. Interestingly, however, there is an effective method of reducing the control loops for multi-axis SMA actuators. As illustrated in Fig. 1, M-axes of SMA wires are laid on a two-dimensional array of local heating and cooling units. Note that this two-dimensional array of units is segmented not only in the longitudinal direction of each bundle of SMA wires but also in the transverse direction. Therefore adjacent SMA wires lay on the same segment are heated or cooled at the same time for that particular portion of the wires. This, of course, reduces independence of the adjacent SMA wires to a certain degree, but on the other hand generates a coordinated movement among them. It is also important to note that with this two-dimensional segmentation the number of control loops is significantly reduced.

In this paper, we first define a Coupling Coefficient. This coefficient plays a major role in determining how much the multi-axis segments can be lumped together. Next, segmentation theory will be presented, which states that the independent segments can generate the given m trajectories with the coupled segments. A segmentation design procedure will be developed based on the theory followed by a simple example. Finally, the method will be applied to a five-fingered anthropomorphic robot hand capable of taking variety of postures.

Fig. 1. Two-dimensional segmentation of multi-axis SMA actuator system

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The authors are with the d’Arbeloff Laboratory for Information Systems and Technology, Department of Mechanical Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139 USA. (email: kyujin@mit.edu, asada@mit.edu).
II. MULTI-AXIS SEGMENTATION THEORY

Fundamental properties of multi-axis coordination using SBC will be analyzed in this section. Consider a subset of actuator axes $A_m$, as shown in Fig. 2. The subset consists of $m$, $1 \leq m \leq M$, actuator axes with an equal total length: 

$$A_m = \{i_j | 1 \leq i_j < i_2 < \cdots < i_m \leq M \} \quad (1)$$

If these $m$ axes are to generate “similar” output displacements, $y_i(t), \forall i \in A_m$, they should be generated with some coupled segments combined with independent segments. The goal of the analysis is to quantify the “similarity” of output trajectories and find the proper length of the coupled segments that can generate the “similar” part of the trajectories.

For the sake of simplicity let us normalize each output displacement with its maximum stroke so that it is confined within the interval between 0 and 1: $0 \leq y_i(t) \leq 1$. In Segmented Binary Control, the output displacement is proportional to the total length of the actuator material whose state is “ON”. If the total ON-state length is normalized with its maximum length so that $0 \leq x_{ON}^i(t) \leq 1$, then the total ON-state length of the actuator material is the same as the normalized output displacement $y_i(t)$; $x_{ON}^i(t) = y_i(t)$. On the other hand, the actuator material length of “OFF” state is the rest of the entire actuator length. Therefore,

$$x_{ON}^i(t) + x_{OFF}^i(t) = 1 \quad (2)$$

When coupled segments are used, the ON-state length $x_{ON}^i(t)$ consists of the one involved in the coupled segments and the one in the independent segments. As shown in Fig. 2, the coupled segments are divided into the ON state of length $z_{ON}^i(t)$ and the OFF-state of length $z_{OFF}^i(t)$. Likewise each independent strip of segments is divided into $\Delta x_{ON}^i(t)$ and $\Delta x_{OFF}^i(t)$.

$$x_{ON}^i(t) = z_{ON}^i(t) + \Delta x_{ON}^i(t), \quad x_{OFF}^i(t) = z_{OFF}^i(t) + \Delta x_{OFF}^i(t), \quad \forall i \in A_M \quad (3)$$

The question is to find the size of the coupled segments: $z_{ON}^i(t) + z_{OFF}^i(t)$. The maximum size of the coupled segments depends on how the trajectories of a given subset of actuator axes are similar to each other. Note that such similarity must be evaluated for the entire set of discrete output positions, or the entire trajectories.

Fig. 3-(a) illustrates trajectories of a subset of actuators $A_m$ defined over time interval $T_i$:

$$0 \leq y_i(t) \leq 1, \quad \forall t \in T_i, \forall i \in A_m \quad (4)$$

The dynamic range of these trajectories stays in a certain middle range, as shown by the shaded area in Fig. 3-(b). The figure also indicates the normalized length of the actuator material at ON-state, $x_{ON}^i(t)$, as well as the one of OFF-state, $x_{OFF}^i(t)$, corresponding to the output trajectories, $x_{ON}^i(t) = y_i(t)$. The height below the shaded area, given by $l_{ON} = \text{Min} x_{ON}^i(t)$, implies that all the $m$ actuators have at least $l_{ON}$ length of ON-state, while the one above the shaded area, given by $l_{OFF} = \text{Min} x_{OFF}^i(t)$, indicates that all the $m$ actuators have at least $l_{OFF}$ length of OFF-state. In other words, all the $m$ actuators share $l_{ON} + l_{OFF}$ length of ON/OFF states. Fig. 3-(c) shows the profile of the sum of these lengths $l_{ON} + l_{OFF}$ and its lower bound for the entire time span:

$$C_{Am} = \text{Min} \left[ \text{Min} x_{ON}^i(t) + \text{Min} x_{OFF}^i(t) \right] \quad (5)$$

The lower bound $C_{Am}$, shown by the dot-and-dash line in the figure, represents how much the subset of axes $A_m$ can be controlled together for the entire trajectories with a block of coupled segments. The coefficient $C_{Am}$, referred to as a Coupling Coefficient of order $m$, plays the major role in determining how much the multi-axis segments can be lumped together. Now, the following theorem constitutes the principle of multi-axis segmentation.
Theorem Let $x_i^{ON}(t)$ and $x_i^{OFF}(t)$ be, respectively, the lengths of ON-state segments and OFF-state segments that generate a given trajectory of the $i$-th actuator axis. Let $A_m$ be a subset of $m$ actuator axes and $z_m^{ON}(t)$ and $z_m^{OFF}(t)$ be the lengths of ON state and OFF state of coupled segments across the $m$ axes in $A_m$. If the total length of the coupled segments is no larger than the coupling coefficient $C_{Am}$,

$$z_m^{ON}(t) + z_m^{OFF}(t) \leq C_{Am},$$

then the segment lengths, $x_i^{ON}(t)$ and $x_i^{OFF}(t)$, can be generated by a combination of the coupled segments $z_m^{ON}(t)$ and $z_m^{OFF}(t)$ and independent segments such that:

$$x_i^{ON}(t) = z_m^{ON}(t) + \Delta x_i^{ON}(t),$$

$$x_i^{OFF}(t) = z_m^{OFF}(t) + \Delta x_i^{OFF}(t), \quad \forall t \in T, \forall i \in A_m$$

where $\Delta x_i^{ON}(t)$ and $\Delta x_i^{OFF}(t)$ are, respectively, the lengths of the independent segments at ON state and OFF state. The total length of the two is no larger than $1 - C_{Am}$,

$$0 \leq \Delta x_i^{ON}(t) + \Delta x_i^{OFF}(t) \leq 1 - C_{Am}, \quad \forall i \in A_m$$

Hence, the independent segments can generate the given $m$ trajectories although the coupled segments of length less than $C_{Am}$ are lumped together. The proof of this theorem is given in the Appendix.

Remark 1

Among the $m$ axes of independent segments, less than $m$ axes of segments may further be coupled with each other. Subtracting the ON-state coupled segments, $z_m^{ON}(t)$, from the original trajectory yields the residual trajectory to be generated by the independent segments, $\Delta x_i^{ON}(t)$. Treating $m'$ ($2 \leq m' < m$) axes of these residual trajectories $\Delta x_i^{ON}(t)$ as the original trajectories, the above theorem can be applied again. If the coupling coefficient of $m'$ axes is non-zero: $C_{Am'} > 0$, there exist coupled segments of length $C_{Am'}$ across the $m'$ axes. This procedure can be repeated until all the residual trajectories have no coupling, i.e. $C_{Am'} = 0$.

Remark 2

In general, the common trajectories of $z_m^{ON}(t)$ and $z_m^{OFF}(t)$ are not uniquely determined. Although the upper limit of the total length of the coupled segments is unique, $z_m^{ON}(t) + z_m^{OFF}(t) \leq C_{Am}$, each of the trajectories, $z_m^{ON}(t)$ and $z_m^{OFF}(t)$ is not uniquely determined.

Remark 3

Let $m_1$ and $m_2$ be two integers, $M \geq m_1 > m_2 \geq 2$, and $A_{m_1}$ and $A_{m_2}$ be two subsets of axes: $A_{m_1} \supset A_{m_2} \neq \phi$. Then, for arbitrary normalized trajectories,

$$C_{Am_1} \leq C_{Am_2}$$

Namely, the more axes one wishes to lump together, the shorter the maximum length of the coupled segments becomes.

As defined in (5), the coupling coefficient can be computed for various numbers of axes, $2 \leq m \leq M$. For $m = 2$, (5) reduces to

$$C_{ij} = \text{Min} \left[ \text{Min} (x_i^{ON}(t), x_j^{ON}(t)) \right] \quad \forall t \in T, \forall i, j \in A_m$$

Note that when $C_{ij} = 0$, axes $i$ and $j$ cannot be coupled at all, whereas the two actuators are totally coupled if $C_{ij} = 1$. Arranging $C_{ij} (1 \leq i, j \leq M)$ in matrix form yields a $M$-by-$M$ Coupling Matrix of order 2.

$$C = \{ C_{ij} \} \in \mathbb{R}^{M \times M}$$

This symmetric matrix provides useful insight as to how the multiple axes can be coupled together.

III. SEGMENTATION DESIGN PROCEDURE

Based on the theoretical analysis described in the previous section a design procedure for finding multi-axis segmentation with reduced control loops will be presented in this section. Coupling coefficients play the major role in finding which axes to lump together and how long the coupled segments can be.

Step 1. Decoupling and Merger

The original $M$ axis problem can be reduced to reduced axis problems using the following algorithm:

1.1 Compute the $M$-by-$M$ Coupling Matrix given by (10) for all the combinations of two trajectories: $y_i(t), y_j(t) | i, j \in A_M, t \subset T_i$.

1.2 Search for 1’s in the matrix. If 1 is found at the $i,j$th element, actuators $i$ and $j$ are totally coupled. Merge axes $i$ and $j$ to reduce the number of axes. If there are multiple 1’s in a row, merge all of them into a single axis.

1.3 Search for 0’s in the Coupling Matrix. Swap the actuator numbers $l$ through $M$ for shifting 0’s to off-diagonal blocks so that the Coupling Matrix may be transformed to a block-diagonal matrix. Resultant diagonal blocks determine subgroups of axes that are mutually independent. Segmentation design may be performed for each subgroup of axes that are coupled.

Step 2 Gross Segmentation

For each of the axis subgroups determined in Step 1, the following gross segmentation is performed.

2.1 Enumerate all the possible, exclusive partitions of the axes involved in the subgroup. Let $M_o$ be the total number of the axes involved in a subgroup, and $A_{Mo}$ be the set of the $M_o$ axes. Each possible partition of the axes is represented with a set of axis subsets given by
\[ P_k = \{A_{m1}, A_{m2}, \ldots, A_{mk} \mid A_{mk} = A_{m1} \cap A_{m2} \cap \ldots \cap A_{mk} \} \quad (12) \]

where \( A_{m1}, \ldots, A_{mk} \) are axis subsets that are exclusive to each other and that cover the whole \( M_e \) axes. The axis subset \( A_m \) may be a singleton, having only one axis as a component.

2.2 Eliminate partition \( P_k \), if it includes an axis subset \( A_m \) that is not a singleton and whose coupling coefficient \( C_{ami} \) is zero. For such an axis subset, segments cannot be lumped. Hence it is subsumed by other partition having finer partitions.

2.3 Compute the coupling coefficient of each \( A_{ami} \), and construct coupled segments of length \( C_{ami} \) and independent segments of length \( 1 - C_{ami} \).

Step 3 Fine Segmentation

The independent segments created in Step 2 may further be coupled.

3.1 Compute the residual trajectories

\[ \Delta y_j(t) = \Delta y_j^{ON}(t) = x_j^{ON} - z_{ami}^{ON}(t), \quad (13) \]

and compute the \( M_e \)-by-\( M_e \) Coupling Matrix

3.2 Repeat Step 2 for the residual trajectories, and construct coupled segments in the independent segment area generated by the gross segmentation.

3.3 Repeat Steps 3.1 and 3.2 until all the residual trajectories have zero coupling coefficients, no more axes can be coupled, or the number of segments cannot be reduced by coupling the segments.

3.4 Select a segmentation design having the minimum number of segments among all the partitions evaluated above.

Example

<table>
<thead>
<tr>
<th>Axis</th>
<th>Normalized Trajectories</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>0.5 0.75 1 0 0.25 0</td>
</tr>
<tr>
<td>(2)</td>
<td>0.5 0.75 1 0.75 0</td>
</tr>
<tr>
<td>(3)</td>
<td>0.5 0.75 1 0 0.25 1</td>
</tr>
<tr>
<td>(4)</td>
<td>0.5 0.75 1 0 0.25 1</td>
</tr>
<tr>
<td>(5)</td>
<td>0.5 0.75 1 0.75 1</td>
</tr>
<tr>
<td>(6)</td>
<td>0.5 0.75 1 1 1</td>
</tr>
<tr>
<td>(7)</td>
<td>0.5 0.75 1 1 1</td>
</tr>
</tbody>
</table>

Table 1 (a) Example of Trajectories for Seven Axis Actuator Array (b) Coupling Coefficient Matrix of the Example

From the coupling matrix, two sets of mutually independent axis subsets \( \{1,2\} \) and \( \{3,4,5,6,7\} \) are identified. The segmentation of these two groups can be performed separately as shown in Fig. 4-(a). Note that the coupling coefficient \( C_{6,7} \) is one, hence they are totally coupled.

Step 2 Gross Segmentation \( C_{12} \) is 0.25; hence 25% of the length of the actuator axes 1 and 2 can be lumped together. There are eleven different ways of exclusively partitioning the actuators 3 to 7. Among these only meaningful partitions are \( \{3,4\}, \{5,6,7\} \) and \( \{3,4,5\}, \{6,7\} \). Other cases are pruned out in Step 2.2. In Fig. 4-(b), the former partition, \( \{3,4\} \) and \( \{5,6,7\} \), is shown. With \( C_{3,4} = 0.75 \) and \( C_{5,6,7} = 0.75 \), both groups can have 75% length of common segments shown by shaded areas. A similar result can be obtained for the latter partition, too.

Step 3 Fine Segmentation

To further couple the independent segments, residual trajectories of the individual axes are obtained from (13), and a new coupling matrix is derived from the residual trajectories. As stated in Remark 2, the residual trajectories are in general not unique. For the gross segmentation given by Fig. 4-(b), there are 128 different sets of residual trajectories. When the largest possible ON-state length is used for generating the common trajectories, \( z_{ami}^{ON}(t) \) and \( z_{ami}^{OFF}(t) \), the coupling matrix of Table 2 for axes 1 to 6 (now denoted \( li \) to \( 6i \) ) is obtained. In this coupling matrix, \( C_{3,5i} \) is 0.25, hence the independent segments of axes 3 and 5 can be lumped together up to 25% of the total length of the actuator, which is the whole length of the independent segments of axes 3 and 5. As shown in Fig. 4-(c), axis 3 is swapped with axis 4 to make axes 3 and 5 adjacent to each other so that they can be coupled together. Finally, Fig. 4-(d) shows the final segmentation including the ones inside the common segment blocks.
The above computation is repeated for all the possible common trajectories as well as for different gross segmentations in Step 2. The total of twelve segments involved in the segmentation of Fig. 4-(d) is the minimum among these. If the segments were not coupled and only a single size segments were used for the segmentation design, twenty-eight segments should have been used; Hence 57.14% savings in the number of segments have been achieved.

IV. APPLICATION TO AN ANTHROPOMORPHIC ROBOT HAND

The design concept of multi-axis actuator array with two-dimensional segmentation architecture is applied to an anthropomorphic robot hand driven by SMA actuators. A single human hand has 19 joints at the five fingers alone. Despite numerous degrees of freedom, many of them are coupled. Such coupled motion can be generated effectively with use of multi-axis segmented binary control.

Behavior of human fingers has been studied in the robotics and biomechanics communities for years. Okada [7] characterized human grasp and manipulative behavior and classified various poses into seven groups. Cutkosky [8] also shows an example of grasp taxonomy for manufacturing tasks. More recently, human finger postures have been studied extensively in the field of human-computer interaction (HCI) [9]-[10]. To better understand hand gestures from visual images and other sensor data, anatomical and kinesiological constraints among multiple joint axes are used for eliminating unnatural poses and physiologically infeasible poses [10]. Such constraints greatly reduce the search space. Like-wise, the reduction in the space of natural poses helps us reduce the number of segments of a multi-axis actuator array for driving an anthropomorphic robot hand.

We defined fourteen hand postures that span the useful range of human hand motions, and the actuator array will be designed to perform these postures. For these postures, finger joint angles can be derived. These joint angles are transformed into displacements of the actuators driving the individual joints. To implement segmented binary control, the displacements must be discretized with resolution equal to the resolution of the actuator. The resolution of the actuator is the output displacement that can be produced by the smallest segment. The discretized displacements are then normalized and used to design segmentation.

SMA actuators with length equal to $N$ smallest discrete segments drive each finger having a maximum bending angle of $\alpha_i$. The smallest actuator segment can produce an output displacement of $\eta$. Angle $\theta_i$ of the $i$-th finger joint is transformed into normalized displacement $y_i$ of the actuator axis $i$, using the following parameters: 

$$ y_i = \frac{N \cdot \eta}{\alpha_i} \quad (14) $$

Note that $N \cdot \eta$ is the maximum output displacement that each axis can produce.

We have chosen to design an actuator array consisting of five 30 mm segments per axis, with a maximum joint bending angle of 90°; hence $N=5$ and $\alpha = 90°$. The displacements are quantized into five levels, and normalized by the maximum output displacement. Quantized and normalized values of the actuator displacements, $y_i(\theta_i)$, are directly derived from the defined joint angles as follows. 

$$ y_i(\theta_i) = \frac{k}{N} \quad \text{for} \quad k \frac{\alpha}{N} \leq \theta_i < k \frac{\alpha}{N} + \frac{1}{2} \frac{\alpha}{N} \quad (15) $$

where $k$ is an integer between 0 and 5.

TABLE 3 DISCRETIZED AND NORMALIZED DISPLACEMENTS USED FOR SEGMENTATION DESIGN

Table 3 shows the discretized and normalized displacements used for segmentation design, where each column represents a specific hand posture and each row represents the displacements of each actuator. Now using these data, segmentation is designed using the procedure given in the previous section.

![Fig. 5. Minimum segmentation design for 10-axis array actuators driving robotic hand](image-url)
minimum number of segments. Twenty-one segments are
used in the final design, which is 58% savings in the number of
segments compared to when the actuators are not coupled at all.

V. CONCLUSION

The segmentation theory for designing a multi-axis
actuator array has been presented, and the segmentation
design procedure has been developed based on the theory.
The method has been applied to an actuator array for driving
a five-fingered robot hand capable of taking variety of
postures, and we were able to reduce the number of
segments to 58% of the original amount. With this design,
the control of ten-axis actuator array is reduced to a simple
posture can be represented with twenty-one bits of 0’s and
1’s. This segmentation design has been implemented with a
shape memory alloy actuator driven by thermoelectric
modules and will be presented in another conference [11]

APPENDIX

Proof of the theorem

Consider a block of coupled segments of length \( C_{Am} \),
consisting of ON-state segments with length \( z_{Am}^{ON}(t) \) and
OFF-state segments with length \( z_{Am}^{OFF}(t) \):
\[
z_{Am}^{ON}(t) + z_{Am}^{OFF}(t) = C_{Am}, \quad t \in T
\] (A-1)

Let us design the coupled segments such that \( z_{Am}^{ON}(t) \) is
given by
\[
z_{Am}^{ON}(t) = \min \left\{ C_{Am}, \min_{i \in A_{Am}} x_{i}^{ON}(t) \right\} \quad (A-2)
\]
and that the OFF segment length \( z_{Am}^{OFF}(t) \) is given by
\[
z_{Am}^{OFF}(t) = C_{Am} - z_{Am}^{ON}(t) \quad (A-3)
\]
We want to show that, although a fraction of \( x_{i}^{ON}(t) \)
is determined by the coupled segments, the remaining
independent segments whose length is \( 1 - C_{Am} \) are large
enough to generate the rest of \( x_{i}^{ON}(t) \) :
\[
\Delta x_{i}^{ON}(t) = x_{i}^{ON}(t) - z_{Am}^{ON}(t) \leq 1 - C_{Am} \quad (A-4)
\]
Let us first assume that
\[
\min_{i \in A_{Am}} x_{i}^{ON}(t) \leq C_{Am} \quad (A-5)
\]
Then, from (A-2),
\[
\Delta x_{i}^{ON}(t) = x_{i}^{ON}(t) - \min_{j \in A_{Am}} x_{j}^{ON}(t) \\
\leq 1 - \min_{j \in A_{Am}} x_{j}^{OFF}(t) - \min_{j \in A_{Am}} x_{j}^{ON}(t) \\
\leq 1 - \min_{i \in A_{Am}} x_{i}^{ON}(t) + \min_{j \in A_{Am}} x_{j}^{OFF}(t) \\
= 1 - C_{Am} \quad (A-6)
\]
From the first line of the above equation it is clear that
\( \Delta x_{i}^{ON}(t) \) is non-negative, hence
\[
0 \leq \Delta x_{i}^{ON}(t) \leq 1 - C_{Am} \quad (A-7)
\]
If (A-5) does not hold, then from (A-2), \( z_{Am}^{ON}(t) = C_{Am} \).
Therefore,
\[
\Delta x_{i}^{ON}(t) = x_{i}^{ON}(t) - C_{Am} \quad (A-8)
\]
Also,
\[
0 \leq \min_{i \in A_{Am}} x_{i}^{ON}(t) - x_{i}^{ON}(t) - C_{Am} \leq \Delta x_{i}^{ON}(t) \quad (A-9)
\]
Therefore, (A-4) has been derived, i.e. the independent
segments are large enough to generate \( x_{i}^{OFF}(t) \), so
that \( x_{i}^{OFF}(t) = z_{Am}^{ON}(t) + \Delta x_{i}^{ON}(t) \). Similarly, we can show
that the independent segments are long enough to
generate \( x_{i}^{OFF}(t) \). From (A-3) and (13),
\[
\Delta x_{i}^{OFF}(t) = x_{i}^{OFF}(t) - z_{Am}^{OFF}(t) \\
= (1 - x_{i}^{ON}(t)) - (C_{Am} - z_{Am}^{ON}(t)) \\
= 1 - C_{Am} - (x_{i}^{ON}(t) - z_{Am}^{ON}(t)) \\
= 1 - C_{Am} - \Delta x_{i}^{ON}(t) \leq 1 - C_{Am} \quad (A-10)
\]
Using (A-8) in the above equation yields
\[
\Delta x_{i}^{OFF}(t) = 1 - C_{Am} - \Delta x_{i}^{ON}(t) \geq 0 \quad (A-11)
\]
Therefore,
\[
0 \leq \Delta x_{i}^{OFF}(t) \leq 1 - C_{Am} \quad (A-12)
\]
Q.E.D.

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