PID Controller Design for Controlling Integrating Processes with Dead Time using Generalized Stability Boundary Locus

Serdal Atic*, Erdal Cokmez**, Fuat Peker**, Ibrahim Kaya**

*Electricity and Energy Department, Vocational High School, Batman University, Batman, Turkey, (e-mail: serdal.atic@batman.edu.tr).
**Electrical and Electronics Engineering Department, Dicle University, Diyarbakir, Turkey, (e-mail: erdal.cokmez@dicle.edu.tr, fuat.peker@dicle.edu.tr, ikaya@dicle.edu.tr)

Abstract: This paper proposes a method so that all PID controller tuning parameters, which are satisfying stability of any integrating time delay processes, can be calculated by forming the stability boundary loci. Processes having a higher order transfer function must first be modeled by an integrating plus first order plus dead time (IFOPDT) transfer function in order to apply the method. Later, IFOPDT process transfer function and the controller transfer function are converted to normalized forms to obtain the stability boundary locus in \((KK,T,KK,(T^{-1}/T))\), \((KK,T,KK,TT)\) and \((KK,(T^{-1}/T),KK,TT)\) planes for PID controller design. PID controller parameter values achieving stability of the control system can be determined by the obtained stability boundary loci. The advantage of the method given in this study compared with previous studies in this subject is to remove the need of re-plotting the stability boundary loci as the process transfer function changes. That is, the approach results in somehow generalized stability boundary loci for integrating plus time delay processes under a PID controller. Application of the method has been clarified with examples.

Keywords: Stability, PI controller, PID controller, transfer function, dead time, modeling.

1. INTRODUCTION

Researchers have always been interested in PID controllers which are generally used industrial control systems owing to their simple structure and performing robustly. Compared to PD controllers, PI controllers have a larger usage. For this reason, determination of tuning parameters of a PI or PID controller is quite important (Aström and Hagglund, 2001). Most commonly used methods for determination of PID controllers are Ziegler and Nichols (1942), Cohen and Coon (1953) and Aström and Hagglund (1984) methods. Methods based on integral performance criteria (Zhuang and Atherton, 1993) are among very standard approaches as well. Other methods that used for calculating PID controller tuning parameters are Internal Model Control (IMC) (Morari and Zafiriou) and controller synthesis (Smith and Corripio, 1997) methods.

Special interest has been paid to determination of all stabilizing PI and PID controller parameters after the study of Ho et al. (1996, 1997a, 1997b, 1997c). Thanks to these studies, all integral and derivative gain values of a PID controller can be shown in the same plane for a fixed proportional gain value. Although the method provides calculation of all PI and PID controller tuning parameters, application of the method takes time. For that reason, researchers have gravitated to different approaches. Munro and Soylemez (2000) and Soylemez et al. (2003) find out a method that provided a faster calculation of all PID controller tuning parameters. Shafiei and Shenton (1997) and Huang and Wang (2000) provided graphical solutions for determination of all stabilizing PID controller parameter values. Tan et al. (2003) and Tan (2005) suggested a new approach providing a faster calculation of all stabilizing PI or PID controller tuning parameters, based on stability boundary locus calculation. This approach has been used in different studies up to date. Závacká et al. (2013) suggested a robust PI controller design for a continuous stirred tank reactor with multiple steady-states. Sandeep and Yogesh (2014) gave design of a PID controller for an inverted pendulum. Yogesh (2016) provided a PI controller design for one joint robotic arm. Deniz et al. (2016) recommended an integer order approximation method based on stability boundary locus for fractional order derivative/integrator operators. All of the studies mentioned above consider the case of a specific plant transfer function.

In this paper, the approach suggested by Kaya and Atic (2016) for obtaining all stabilizing PI controllers to control open loop stable time delay processes has been extended to all stabilizing PID controllers to control integrating and time delay processes. In this approach, modeling of higher order processes by a first order plus integrating plus dead time (IFOPDT) model is required. It is assumed that relay feedback identification method of Kaya and Atherton (2001) can be used for this purpose. The relay feedback method gives exact solutions if there are no measurement errors and disturbances entering the control system. Process transfer function model and the controller transfer function are first
2. PID CONTROLLER DESIGN FOR THE INTEGRATING PROCESSES

Consider single-input single-output control system depicted in Fig. 1.

![Fig. 1. SISO control system](image)

C(s) and G(s) are the controller and the process transfer functions, respectively. Transfer function for ideal PID controller is:

$$C(s) = K_c \left(1 + \frac{1}{T_s s} + T_d s\right)$$  \hfill (1)

and the IFOPDT model of process transfer function is assumed to be given by:

$$G(s) = \frac{K e^{-\omega_s}}{s(T_s + 1)}$$  \hfill (2)

By substituting $T_s = \tau$ in (1) and (2), the normalized controller and process transfer functions were obtained:

$$C(\tau) = K_c \left(1 + \frac{T}{\tau s^2} + \tau T_d s\right)$$  \hfill (3)

$$G(\tau) = \frac{K T e^{-\tau s}}{\tau^2 s^2 + \tau} = \frac{K T e^{-\tau s}}{\tau^2 s^2 + \tau^2}$$  \hfill (4)

Here, the aim is to calculate all controller parameter values in (1) to satisfy the stability of the control system shown in Fig. 1. Closed-loop characteristic equation of the system is

$$(1+C(\tau)G(\tau)) = 0$$

Hence, substituting $C(\tau)$ and $G(\tau)$, correspondingly, from (3) and (4), the closed loop characteristic equation can be found to be given by:

$$\Delta(\tau) = K_c T e^{-\tau s} + K_c T e^{-\tau s} + K_c T T e^{\tau s} e^{-\tau s} + T T e^{\tau s} - T T e^{\tau s}$$  \hfill (5)

The numerator and the denominator of (2) have been decomposed into their even and odd parts and $\tau = j\omega$ is replaced in order to achieve

$$G(j\omega) = \frac{N_j(-\omega^2) + j\omega N_j(-\omega^2)}{D_j(-\omega^2) + j\omega D_j(-\omega^2)}$$  \hfill (6)

Dropping the dash over $\omega$ for simplification, the characteristic equation can be written as:

$$\Delta(j\omega) = j\omega K_c T^2 e^{\tau s} \cos(\omega\tau) + \omega K_c T^2 T e^{\tau s} \sin(\omega\tau) + K_c T e^{\tau s} \cos(\omega\tau) - j K_c T^2 \sin(\omega\tau) + \omega^2 K_c T T e^{\tau s} \sin(\omega\tau) + j \omega^2 K_c T^2 T e^{\tau s} \sin(\omega\tau) - \omega^2 T T e^{\tau s} \sin(\omega\tau) - R_0 + j L_0 = 0.$$

By equating the real and imaginary parts of the characteristic equation to zero, the following equations are obtained:

$$KK_T[\omega \sin(\omega\tau)] + \frac{KK_T^2}{T_c} \cos(\omega\tau) = \omega^2$$

$$KK_T[\omega \cos(\omega\tau)] + \frac{KK_T^2}{T_c} \sin(\omega\tau) = \omega^2$$  \hfill (8)

Defining the following equations,

$$Q(\omega) = \omega \sin(\omega\tau)$$

$$R(\omega) = \cos(\omega\tau)$$

$$F(\omega) = -\omega^2 \cos(\omega\tau)$$

$$X(\omega) = \omega^2 + \omega^2 KK_T T e^{\tau s} \cos(\omega\tau)$$

$$H(\omega) = \omega^2 - \frac{KK_T^2}{T_c} \cos(\omega\tau).$$  \hfill (10)

and

$$S(\omega) = \omega \cos(\omega\tau)$$

$$U(\omega) = -\sin(\omega\tau)$$

$$B(\omega) = \omega^2 \sin(\omega\tau)$$

$$Y(\omega) = \omega^2 - \omega^2 KK_T T e^{\tau s} \sin(\omega\tau)$$

$$N(\omega) = \omega^2 + \frac{KK_T^2}{T_c} \sin(\omega\tau).$$  \hfill (11)

Equations (8) and (9) are rewritten as follows:

$$KK_T Q(\omega) + KK_T^2 \frac{T}{T_c} R(\omega) = X(\omega)$$

$$KK_T S(\omega) + KK_T^2 \frac{T}{T_c} U(\omega) = Y(\omega).$$  \hfill (12)

and

$$KK_T Q(\omega) + KK_T^2 \frac{T}{T_c} F(\omega) = H(\omega)$$

$$KK_T S(\omega) + KK_T^2 \frac{T}{T_c} B(\omega) = N(\omega).$$  \hfill (13)
Equations (12) and (13) can be solved to obtain the following expressions:

\[ KK, T = \frac{X(\omega)U(\omega) - Y(\omega)R(\omega)}{Q(\omega)U(\omega) - R(\omega)S(\omega)}, \]

and

\[ KK, T^2 = \frac{Y(\omega)Q(\omega) - X(\omega)S(\omega)}{Q(\omega)B(\omega) - F(\omega)S(\omega)}, \]

Equations (10) and (11) are substituted into (14), (15) and (16) to gain the following equations:

\[ KK, T = \omega \sin(\omega \tau) + \omega^2 \cos(\omega \tau), \]

\[ KK, T^2 = -\omega^3 \sin(\omega \tau) + \omega^3 \cos(\omega \tau) + \omega^2 KK, T_d, \]

and

\[ KK, T_d = \omega \sin(\omega \tau) - \cos(\omega \tau) + \omega^2 KK, T^2. \]

Stability boundary loci in \((KK, T, KK, (T^2 / T))\) plane for the normalized dead time value of \(\tau = 1\) and fixed \(KK, T_d\) values of 1 and 0.5 are drawn, by using (17) and (18), in Fig. 2. In Fig. 3 illustrates the stability boundary loci in \((KK, T, KK, T_d)\) plane for the normalized dead time value of \(\tau = 1\) and fixed \(KK, (T^2 / T)\) values of 1 and 0.5, by the use of (17) and (19).

Also, it is worth mentioning that plotting stability boundary locus for \(\omega \in [0, \omega_c]\) will be enough since the controller operates in this frequency range (Tan, 2005). Here, \(\omega_c\) is the critical frequency value where the Nyquist plot of a plant transfer function intersects the negative real axis, or open loop transfer function phase is equal to \(-180^\circ\). Therefore, with the help of these graphs, the following four linear equations are obtained by using \(KK, (T^2 / T)\) and \(KK, T_d\) values corresponding to a constant \(KK, T\) value.

Fig. 2. Stability boundary locus in \((KK, T, KK, (T^2 / T))\) plane for fixed values of \(KK, T_d\).

Fig. 3. Stability boundary locus in \((KK, T, KK, T_d)\) plane for fixed values of \(KK, (T^2 / T)\).

Fig. 4. Stability region for \(KK, T = 1\) and \(\tau = 1\) in \((KK, (T^2 / T), KK, T_d)\) plane.

Similar computations are carried out for normalized dead time values of \(\tau = 0.75\), \(\tau = 0.5\) and \(\tau = 0.25\) so that generalized stability boundary locus are formed in \((KK, (T^2 / T), KK, T_d))\) plane. Stability boundary loci corresponding to those cases are presented in Fig. 5. The stability boundary loci given in Fig. 5 can be considered as generalized, since, once the IFOPDT model is known, all stabilizing PID controller tuning parameters can be found from Fig. 5 for the fixed value of \(KK, T = 1\) and varying values of normalized dead time. If it is required, stability boundary loci can be plotted for different normalized dead
time and \(KK_iT\) values. By this way, the approach can be made more generalized.

3. EXAMPLES

3.1 Example 1: Let's consider a process transfer function of 
\[G(s) = e^{-\tau s} / (s + 1).\] The normalized dead time value for this transfer function is \(\tau = 1\). Since the actual system transfer function exactly matches the IFOPDT model transfer function, the relay feedback identification method (Kaya and Atherton, 2001) will give exact solutions for the IFOPDT model. In Fig. 5, the region remaining inside of \(\tau = 1\) can be used to determine all stabilizing PID controller tuning parameters. Some points taken from the stability region corresponding to \(\tau = 1\) and the resultant PID tuning parameters are summarized in Table 1. Note that the controller gain \(K_c = 1\) in all cases, as \(K = 1\), \(T = 1\) for this example. Fig. 6, shows the unit step responses of the closed loop system for the determined PID controllers. The figure proves the validity of the obtained stability region.

**Table 1.** Some calculated tuning parameters for example 1

<table>
<thead>
<tr>
<th>Case</th>
<th>Selected points</th>
<th>Calculated tuning parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(KK_i(T_i^2/T_i))</td>
<td>(KK_iT_i) (T_i) (T_d)</td>
</tr>
<tr>
<td>a</td>
<td>0.2</td>
<td>1.2 5 1.2</td>
</tr>
<tr>
<td>b</td>
<td>0.4</td>
<td>1.4 2.5 1.4</td>
</tr>
<tr>
<td>c</td>
<td>0.6</td>
<td>1.6 1.66 1.6</td>
</tr>
<tr>
<td>d</td>
<td>0.8</td>
<td>1.8 1.25 1.8</td>
</tr>
<tr>
<td>e</td>
<td>1</td>
<td>2 1 2</td>
</tr>
<tr>
<td>f</td>
<td>1.2</td>
<td>2.2 0.83 2.2</td>
</tr>
</tbody>
</table>

3.2 Example 2: In this example, let's take a higher order process transfer function given by 
\[G(s) = e^{-0.2s} / (0.1s + 1)(s + 1.2).\] This process transfer function is modelled as IFOPDT model of 
\[G_1(s) = 0.843e^{-0.2789s} / s(0.1072s + 1)\] by using relay feedback identification method of Kaya and Atherton (2001). Obtained IFOPDT model transfer function has the normalized dead time of \(\tau = 0.2789\). Before determining all stabilizing PID controller parameters for this example, it would be appropriate to show the matching between the stability boundary locus of the actual process transfer function and the stability boundary locus of IFOPDT model transfer function. This matching is shown in Fig. 7. As it is seen, a very close matching has been achieved and the stability boundary locus obtained by the actual process transfer function includes the stability boundary locus obtained by the IFOPDT model transfer function. This is a general case observed from many different experiences. This means that the PID controller tuning parameters which are determined by each point taken from the corresponding stability boundary locus will make the system stable.

Fig. 5. Stability region in \((KK_i(T_i^2/T_i), KK_iT_i)\) plane for different normalized dead time ratios and \(KK_iT = 1\).

Fig. 6. Step input responses for determined PID controllers for example 1.

Fig. 7. Stability regions for actual system and IFOPDT model transfer function of example 2.
So, the stability region obtained in Fig. 5 for the value of 
\( r = 0.25 \), which is the closest value to the normalized dead
time value of the IFOPDT model transfer function, is used to
determine the PID controller tuning parameters. Table 2
summarizes the results for this example. In this example, the
controller gain \( K_c = 1.106 \) in all cases, as \( K = 0.843 \),
\( T = 1.072 \). In Fig. 8, unit step responses are given for the
determined PID controller parameter values. Again, the
validity of the design approach has been verified.

### Table 2. Some calculated tuning parameters for example 2

<table>
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<th>Calculated tuning parameters</th>
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<tbody>
<tr>
<td></td>
<td>( KK_c(T^2/T_i) )</td>
<td>( KK_iT_d )</td>
</tr>
<tr>
<td>a</td>
<td>0.5</td>
<td>1</td>
</tr>
<tr>
<td>b</td>
<td>1.5</td>
<td>3</td>
</tr>
<tr>
<td>c</td>
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<tr>
<td>d</td>
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<td>4.5</td>
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<tr>
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<td>1</td>
<td>5</td>
</tr>
<tr>
<td>f</td>
<td>3.5</td>
<td>5.2</td>
</tr>
</tbody>
</table>

Fig. 8. Step input responses for determined PID controller
parameter values for example 2.

3.3 Example 3: In this example, another high order transfer
function of \( G(s) = e^{-0.5s}/s(s+1)(0.5s+1)(0.2s+1)(1s+1) \) is
studied. This IFOPDT model was obtained as
\( G_n(s) = e^{-1.123s}/s(1.756s+1) \) by using relay feedback
identification method of Kaya and Atherton (2001). IFOPDT
model has the normalized dead time of \( r = 0.6395 \). In Fig. 5,
the stability region for the normalized dead time of \( r = 0.75 \)
can be used to determine all stabilizing PID controllers. The
points and corresponding PID controller parameters taken
from the inside of stability region corresponding to the
normalized dead time of \( r = 0.6395 \) are given in Table 3.

Since \( K = 1 \) and \( T = 1.756 \) for this example, hence the
controller gain \( K_c = 0.569 \) in all cases. Fig. 9 illustrates unit
step responses for designed PID controllers. The validity of
the approach has been confirmed once again.

### Table 3. Some calculated tuning parameters for example 3

<table>
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<th>case</th>
<th>Selected points</th>
<th>Calculated tuning parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( KK_c(T^2/T_i) )</td>
<td>( KK_iT_d )</td>
</tr>
<tr>
<td>a</td>
<td>0.25</td>
<td>0.5</td>
</tr>
<tr>
<td>b</td>
<td>0.6</td>
<td>1.1</td>
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<tr>
<td>c</td>
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<tr>
<td>d</td>
<td>0.9</td>
<td>2</td>
</tr>
<tr>
<td>e</td>
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<td>1.7</td>
</tr>
<tr>
<td>f</td>
<td>1.5</td>
<td>2.5</td>
</tr>
</tbody>
</table>

Fig. 9. Step input responses for determined PID controller
parameter values for example 3.

### 4. CONCLUSIONS

In this study, a generalized method has been given for
determining all stabilizing PID controllers for stability of
integrating plus time delay processes. In order to implement
the method, the IFOPDT model of the actual process transfer
function has to be obtained. If the actual process and the
IFOPDT model transfer functions matches exactly, then
obtained stability regions will give exact solutions. If the
actual process transfer function is a high-order transfer one,
there will be a small mismatch between the stability regions
obtained from the actual model transfer functions, but this
will not cause any serious problem because it has been shown
that the stability boundary locus of the IFOPDT model
process transfer function always lies inside the stability
boundary locus of the actual transfer function. Thus, the
proposed approach removes the necessity of redrawing the
stability boundary locus each time as the process transfer
function changes.
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