Course for 3rd year students:

- Pensum (syllabus): Lectures/exercises
  - Literature (see www.nt.ntnu.no/users/skoge/prosessregulering_lynkurs):
    - 1. Nybraaten og Svendsen, "Kort innføring i prosessregulering" (1986)
    - (det kan synes gammelt, men det står faktisk mye bra her)
    - 3. S. Skogestad, "Prosessteknikk", 2./3. utgave, Tapir: Kap. 11.3 (tidsrespons) og 11.6/11.6 (prosessregulering)
    - 3. S. Skogestad, "Chemical and Energy Process Engineering", CRC Press, 2009: Ch. 11.3 (Dynamic analysis and time response) + Ch. 11.6 (Process control)
  - Slides

- Forelesningsplan
  - F1: Oversikt over regulering, forover- og tilbakekobling, dusjeksempel
  - F2: Klassifisering av variable, prosedyre for utforming av reguleringssystem
  - F3: Eksempler
  - F4: Prosessdynamikk, tidskonstant, dødtid, PID-regulering,
  - F5/F6: Stabilitet, Tuning PID, Forsøk, Eksempler,

More information (literature, old exams, etc.):
- www.nt.ntnu.no/users/skoge/prosessregulering_lynkurs
<table>
<thead>
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<th>English</th>
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<td>regulering</td>
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<tr>
<td>Operation</td>
<td>drift</td>
</tr>
<tr>
<td>Measurement</td>
<td>måling</td>
</tr>
<tr>
<td>Disturbance DV</td>
<td>forstyrrelse</td>
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<tr>
<td>Manipulated var. (MV) = input</td>
<td>Pådrag = inngang</td>
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<tr>
<td>Controlled variab. (CV) = output</td>
<td>Regulert variabel = utgang</td>
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<td>Feedforward</td>
<td>Foroverkobling</td>
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<tr>
<td>Controller</td>
<td>Regulator</td>
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</table>

### Why control?

- Until now: *Design* of process. Assume steady-state
- Now: *Operation*

In practice never steady-state:
- Feed changes
- Startup
- Operator changes
- Failures
- ....

"Disturbances" (d's)

- Control is needed to reduce the effect of disturbances
- 30% of investment costs are typically for instrumentation and control
Countermeasures to disturbances (I)

I. Eliminate/Reduce the disturbance
   (a) Design process so it is insensitive to disturbances
      • Example: Use buffertank to dampen disturbances

   (b) Detect and remove source of disturbances
      • "Statistical process control"
      • Example: Detect and eliminate variations in feed composition

Countermeasures to disturbances (II)

II. Process control (*prosessregulering*)

Do something (usually manipulate valve) to *counteract* the *effect* of the disturbances

(a) Manual control: Need operator
(b) Automatic control: Need measurement + automatic valve + computer

Goals automatic control:
• Smaller variations
• More consistent quality
• More optimal ("squeeze and shift")
• Smaller losses (environment)
• Lower costs
• More production

Industry: Still large potential for improvements!
Example: Control of shower temperature

Classification of variables

Independent variables (“the cause”):
(a) Inputs (MV, u): Variables we can adjust (valves)
(b) Disturbances (DV, d): Variables outside our control

Dependent (output) variables (“the effect or result”):
(c) Primary outputs (CVs, y): Variables we want to keep at a given setpoint
(d) Internal variables in dynamic model (“states”) (x)
Example: Control of shower temperature

5s delay: $\theta = \frac{V}{q} = \frac{100\text{ml}}{20\text{ ml/s}} = 5\text{s}$

MVs, CVs, DVs and control
1. Control objective
   - Keep temperature ($y_1 = T$) at a given setpoint
   - Keep flow ($y_2 = q$) (“pressure”) at given setpoint
2. Classify variables
   - MVs ($u$) = $q_H$, $q_C$ (strictly speaking, valve positions $z_H$, $z_C$)
   - CVs ($y$) = $T$, $q$
   - DVs ($d$) = $q_H$, $q_C$ (strictly speaking, upstream pressure which gives “uncontrolled” flow changes)

Inputs for control (MVs)

- Usually: Inputs (MVs) are valves.
  - Physical input is valve position ($z$), but we often simplify and say that flow ($q$) is input

Valve equation: $q[m^3/s] = C_v f(z) \sqrt{DP/\rho}$
Valve equation

Valve equation: \( q \left[ \frac{m^3}{s} \right] = C_v f(z) \sqrt{\frac{DP}{\rho}} \)

- \( z \in [0, 1] \) - valve opening (adjustable), \( z = 0 \): closed, \( z = 1 \): fully open.
- \( q \left[ \frac{m^3}{s} \right] \) - volumetric flowrate
- \( DP = p_1 - p_2 \) [N/m²] - pressure drop over valve (Typical value: \( DP = 0.1 \) bar)

- \( C_v [m^2] = C_d A \) - valve coefficient
- \( C_d \) - dimensionless valve constant (Typical value: \( C_d = 1 \))
- \( A [m^2] \) = valve cross-sectional area
- \( f(z) \in [0, 1] \) - valve characteristic (Linear valve has \( f(z) = z \))
- \( \rho [kg/m^3] \) - fluid density (e.g., 1000 kg/m³ for water; 1.19 kg/m³ for air at 1 bar/25°C)

Comment. Mass flowrate: \( w [kg/s] = \rho q = C_v f(z) \sqrt{\rho \cdot DP} \)

Control

- Use inputs (MVs, u) to counteract the effect of the disturbances (DVs, d) such that the outputs (CVs, y) are kept close to their setpoints (\( y_s \))
Two fundamental control principles

- **Feedback**: Measure the result (controlled variable CV; output y) and keep adjusting the manipulated variable (MV; input u) until the results is OK.
  - Example: Measure the temperature T (CV) and adjust the flow of cold water (MV).

- **Feedforward**: Measure the cause (disturbance d; DV) and based on a prediction (model!) make a "forward" adjustment of the MV (input u) to (hopefully) counteract its effect on the result (output y).
  - Example: Room mate (disturbance d) says "I am tapping cold water" - and you know your friend so well (model) that you can make the correct increase in your cold water (MV) to counteract d.
  - NOT VERY REALISTIC FOR SHOWER EXAMPLE
  - BUT a good example of feedforward is coming in time to lecture!

**BLOCK DIAGRAMS**

**FEEDBACK (measure output):**

- $y_s$: Desired value
- $y_m$: Measured output
- $u$: Input (MV)
- $y$: Output (CV)
- $d$: Disturbance

**FEEDFORWARD (measure disturbance):**

- $d_m$: Measured disturbance
- $y$: Output (CV)

- All lines: Signals (information)
- Blocks: controllers and process
- Do not confuse block diagram (lines are signals) with flowsheet (lines are flows); see below
FEEDBACK
+ Self-correcting with negative feedback (keeps adjusting until \( y=y_0 \) at steady state)
+ Do not need model (but most know process sign!)
- May give instability if controller overreacts
- Need good and fast measurement of output

MAIN ENEMY OF FEEDBACK: TIME DELAY
(in process or output measurement)

FEEDFORWARD
+ Good when large time delay (in process or output measurement)
+ Reacts before damage is done
- Need good model
- Sensitive to changes and errors
- Works only for known and measured disturbances

USUALLY COMBINED WITH FEEDBACK

Piping and instrumentation diagram (P&ID) (flowsheet)

- Solid lines: mass flow (streams)
- Dashed lines: signals (control)

Example: Shower
Notation feedback controllers (P&ID)

1st letter: Controlled variable (CV) = What we are trying to control (keep constant)
T: temperature
F: flow
L: level
P: pressure
DP: differential pressure (Δp)
A: Analyzer (composition)
C: composition
X: quality (composition)
H: enthalpy/energy

2nd letter:
C: controller
I: indicator (measurement)
T: transmitter (measurement)

T (measured CV)
Ts (setpoint CV)
MV (could be valve)

Example: Level control

CLASSIFICATION OF VARIABLES:
INPUT (u): OUTFLOW (Input for control!)
OUTPUT (y): LEVEL
DISTURBANCE (d): INFLOW
Procedure for design of control system

1. Define control objective (why control?)
2. Classify variables
   - MVs (u)
   - Disturbances (d)
   - CVs (y)
   - measurements
3. Process description
   - Flow sheet
   - Process matrix
     - Qualitative: with 0, +, -, (+), (-)
     - Quantitative: transfer matrix (see later courses)
4. Control structure
   - Feedforward / feedback
   - Pairing of variables (avoid pairing on 0!)
   - Cascade loops (MV from one controller (master) is setpoint for another (slave))
   - Put on process & instrumentation diagram (P&ID)
5. Control algorithm
   - On/off
   - PID (proportional-integral-derivative)
   - Model based (MPC)
6. Implementation
   - Today: Normally computer + connect measurements and valves (actuators)

### Chemical engineer (YOU):
- Responsible for items 1-4
- The most important is process understanding

#### Example: Shower

1. Define control objective (why control?)
   - CVs: Control temperature T and flow q
2. Classify variables
   - MVs (u): qc, qh
   - Disturbances (d): Focus on main
   - CVs (y): T, q
3. Process description
   - Flow sheet
   - Process matrix
4. Control structure
   - Pairing of variables (Alt.1, Alt.2)
   - Multivariable (Alt 3)

<table>
<thead>
<tr>
<th>Input 1</th>
<th>Input2</th>
</tr>
</thead>
<tbody>
<tr>
<td>qc</td>
<td></td>
</tr>
<tr>
<td>qh</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Output 1</th>
<th>Output 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>T</td>
<td>T</td>
</tr>
<tr>
<td>q</td>
<td>q</td>
</tr>
</tbody>
</table>

In this case the process matrix has no 0's ⇒ Interactive, so pairing is not obvious!
3x3 pairing example

Pairing: Choose one pairing from each row/column. Avoid pairing on 0's

<table>
<thead>
<tr>
<th>Outputs</th>
<th>Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>y₁</td>
<td>+</td>
</tr>
<tr>
<td>y₂</td>
<td>0</td>
</tr>
<tr>
<td>y₃</td>
<td>0</td>
</tr>
</tbody>
</table>

Conclusion:
y₁ ↔ u₁
y₂ ↔ u₃
y₃ ↔ u₂

Example: Evaporator with heating

1. Control objective
   - Keep level H at desired value
   - Keep temperature T at desired value
2. Classify variables (MVs, important DVs, CVs)
3. Process matrix (from MVs to CVs)
4. Suggest pairings and put control loops on the flowsheet
Rules for pairing of variables and choice of control structure

Main rule: “Pair close”

1. The response (from input to output) should be fast, large and in one direction. Avoid dead time and inverse responses!

2. The input (MV) should preferably effect only one output (to avoid interaction between the loops; may use process matrix)

3. Try to avoid input saturation (valve fully open or closed) in “basic” control loops for level and pressure

4. The measurement of the output y should be fast and accurate. It should be located close to the input (MV) and to important disturbances.
   • Use extra measurements y' and cascade control if this is not satisfied

5. The system should be simple
   • Avoid too many feedforward and cascade loops

6. “Obvious” loops (for example, for level and pressure) should be closed first before you spend too much time on deriving process matrices etc.

Most important control structures

1. Feedback control
2. Cascade control
3. Ratio control (special case of feedforward)
Cascade control

- Controller ("master") gives setpoint to another controller ("slave")
  - Without cascade: "Master" controller directly adjusts u (input, MV) to control y
  - With cascade: Local "slave" controller uses u to control "extra"/fast measurement (y'). "Master" controller adjusts setpoint y'.

- Example: Flow controller on valve (very common!)
  - y = level H in tank (or could be temperature etc.)
  - u = valve position (z)
  - y' = flowrate q through valve

**Without Cascade**

**With Cascade**

What are the benefits of adding a flow controller (inner cascade)?

Flow rate: \[ q = C_v f(z) \sqrt{\frac{p_1 - p_2}{\rho}} \] [m³/s]

1. Counteracts nonlinearity in valve, f(z)
   - With fast flow control we can assume q = q_s

2. Eliminates effect of disturbances in p1 and p2
Example (again): Evaporator with heating

NEW Control objective
- Keep level $H$ at desired value
- Keep composition $c$ (rather than temperature $T$) at desired value

BUT: Composition measurement has large delay + unreliable
Suggest control structure based on cascade control

Ratio control (most common case of feedforward)

Example: Process with two feeds $q_1 (d)$ and $q_2 (u)$, where ratio should be constant.

Use multiplication block ($x$):

\[
(q_2 / q_1)_s \quad (\text{desired flow ratio})
\]

\[
q_1 \quad \text{(measured flow disturbance)}
\]

\[
q_2 \quad \text{(MV: manipulated variable)}
\]

“Measure disturbance ($d=q_1$) and adjust input ($u=q_2$) such that ratio is at given value $(q_2 / q_1)_s$”
Usually: Combine ratio (feedforward) with feedback

- Adjust \((q_1/q_2)_s\) based on feedback from process, for example, composition controller.
  - This is a special case of cascade control

  - **Example cake baking:** Use recipe (ratio control = feedforward), but adjust ratio if result is not as desired (feedback)
  - **Example evaporator:** Fix ratio \(q_H/q_F\) (and use feedback from \(T\) to fine tune ratio)
Example: Distillation

- Given feed (i.e., feedrate is disturbance)
  1. Objective: “Stabilize” column + keep compositions in top and bottom constant
     - But compositions measurements delayed + unreliable
  2. Classify variables
  3. Process description
     - Flowsheet
     - Process matrix
Control hierarchy based on “time scale separation”

MPC = model predictive control
PID = proportional/integral/derivative control